# Package 'sommer'

January 8, 2023

Type Package

Title Solving Mixed Model Equations in R

**Version** 4.2.0.1

Date 2023-01-06

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**Description** Structural multivariate-univariate linear mixed model solver for estimation of multiple random effects with unknown variance-covariance structures (e.g., heterogeneous and unstructured) and known covariance among levels of random effects (e.g., pedigree and genomic relationship matrices) (Covarrubias-

Pazaran, 2016 <doi:10.1371/journal.pone.0156744>; Maier et al., 2015 <doi:10.1016/j.ajhg.2014.12.006>). REML estimates can be obtained using the Direct-Inversion Newton-Raphson and Direct-Inversion Average Information algorithms for the problems r x r (r being the number of records) or using the mixed-model-equations-based average information algorithm for the problem c x c (c being the number of coefficients to estimate). Spatial models can also be fitted using the two-dimensional spline functionality available in sommer.

**Depends** R (>= 3.5.0), Matrix (>= 1.1.1), methods, stats, MASS, lattice, crayon

License GPL (>= 2)

**Imports** Rcpp (>= 0.12.19)

LinkingTo Rcpp, RcppArmadillo, RcppProgress

**Suggests** rmarkdown, knitr, plyr, parallel, orthopolynom, testthat (>= 3.0.0)

VignetteBuilder knitr

Config/testthat/edition 3

NeedsCompilation yes

Repository CRAN

Date/Publication 2023-01-08 10:20:02 UTC

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sommer-package

Solving Mixed Model Equations in R

#### **Description**

Sommer is a structural multivariate-univariate linear mixed model solver for multiple random effects allowing the specification and/or estimation of variance covariance structures. REML estimates can be obtained using two major methods

Direct-Inversion (Newton-Raphson and Average Information) » mmer function

Henderson's mixed model equations (Average Information) » mmec function

The algorithms are coded in C++ using the Armadillo library to optimize dense matrix operations common in genomic models. Sommer was designed to include complex covariance structures, e.g., additive, dominance and epistatic relationship structures or other covariance structures.

The mmer function can deal well with small and medium-size data sets (< 10,000 observations/records for average computers given the computational burden carried by the direct-inversion algorithms) since it works in the c > r problem and inverts an r x r matrix (being r the number of records). On the other hand, the mmec function can deal with greater number of records as long as the number of coefficients to estimate is < 20,000 coefficients since it works in the r > c problem and inverts a c x c matrix (being c the #of coefficients). The predict.mmer and predict.mmec functions can be used to obtain adjusted means. This package returns variance-covariance components, BLUPs, BLUEs, residuals, fitted values, variances-covariances for fixed and random effects, etc.

#### Functions for genetic analysis

The package provides kernels to estimate additive (A.mat), dominance (D.mat), epistatic (E.mat), single-step (H.mat) relationship matrices for diploid and polyploid organisms. It also provides flexibility to fit other genetic models such as full and half diallel models and random regression models.

A good converter from letter code to numeric format is implemented in the function atcg1234, which supports higher ploidy levels than diploid. Additional functions for genetic analysis have been included such as build a genotypic hybrid marker matrix (build.HMM), plot of genetic maps (map.plot), creation of manhattan plots (manhattan). If you need to use pedigree you need to convert your pedigree into a relationship matrix (use the 'getA' function from the pedigreemm package).

#### Functions for statistical analysis and S3 methods

The vpredict function can be used to estimate standard errors for linear combinations of variance components (e.g. ratios like h2). S3 methods are available for some parameter extraction such as:

```
+ predict.mmer, predict.mmec,
```

- + fitted.mmer, fitted.mmec,
- + residuals.mmer, residuals.mmec,
- + summary.mmer, summary.mmec,

```
+ coef.mmer, coef.mmec,
+ anova.mmer, anova.mmec,
+ plot.mmer, plot.mmec.
```

#### Functions for trial analysis

Recently, spatial modeling has been added added to sommer using the two-dimensional spline (spl2Da and spl2Db for mmer and spl2Dc for mmec) functions.

#### Keeping sommer updated

The sommer package is updated on CRAN every 3-months due to CRAN policies but you can find the latest source at https://github.com/covaruber/sommer . This can be easily installed typing the following in the R console:

library(devtools)

install\_github("covaruber/sommer")

This is recommended since bugs fixes will be immediately available in the GitHub source but not in CRAN until the next update.

#### **Tutorials**

**For tutorials** on how to perform different analysis with sommer please look at the vignettes by typing in the terminal:

```
vignette("v1.sommer.quick.start")
vignette("v2.sommer.changes.and.faqs")
vignette("v3.sommer.qg")
vignette("v4.sommer.gxe")
or visit https://covaruber.github.io
```

## **Getting started**

The package has been equiped with several datasets to learn how to use the sommer package:

- \* DT\_halfdiallel, DT\_fulldiallel and DT\_mohring datasets have examples to fit half and full diallel designs.
- \* DT\_h2 to calculate heritability
- \* DT\_cornhybrids and DT\_technow datasets to perform genomic prediction in hybrid single crosses
- \* DT\_wheat dataset to do genomic prediction in single crosses in species displaying only additive effects.
- \* DT\_cpdata dataset to fit genomic prediction models within a biparental population coming from 2 highly heterozygous parents including additive, dominance and epistatic effects.
- \* DT\_polyploid to fit genomic prediction and GWAS analysis in polyploids.
- \* DT\_gryphon data contains an example of an animal model including pedigree information.
- \* DT\_btdata dataset contains an animal (birds) model.
- \* DT\_legendre simulated dataset for random regression model.
- \* DT\_sleepstudy dataset to know how to translate lme4 models to sommer models.

#### Differences of sommer $\geq$ 4.1.7 with previous versions

Since version 4.1.7 I have introduced the mme-based average information function 'mmec' which is much faster when dealing with the r > c problem (more records than coefficients to estimate). This introduces its own covariance structure functions such as vsc(), usc(), dsc(), atc(), csc(). Please give it a try, although is in early phase of development.

## Differences of sommer $\geq$ 3.7.0 with previous versions

Since version 3.7 I have completly redefined the specification of the variance-covariance structures to provide more flexibility to the user. This has particularly helped the residual covariance structures and the easier combination of custom random effects and overlay models. I think that although this will bring some uncomfortable situations at the beggining, in the long term this will help users to fit better models. In esence, I have abandoned the asreml formulation (not the structures available) given it's limitations to combine some of the sommer structures but all covariance structures can now be fitted using the 'vsr' functions.

## Differences of sommer $\geq$ 3.0.0 with previous versions

Since version 3.0 I have decided to focus in developing the multivariate solver and for doing this I have decided to remove the M argument (for GWAS analysis) from the mmer function and move it to it's own function GWAS.

Before the mmer solver had implemented the usr(trait), diag(trait), at(trait) asreml formulation for multivariate models that allow to specify the structure of the trait in multivariate models. Therefore the MVM argument was no longer needed. After version 3.7 now the multi-trait structures can be specified in the Gt and Gtc arguments of the vsr function.

The Average Information algorithm had been removed in the past from the package because of its instability to deal with very complex models without good initial values. Now after 3.7 I have brought it back after I noticed that starting with NR the first three iterations gives enough flexibility to the AI algorithm.

Keep in mind that sommer uses direct inversion (DI) algorithm which can be very slow for datasets with many observations (big 'n'). The package is focused in problems of the type p > n (more random effect(s) levels than observations) and models with dense covariance structures. For example, for experiment with dense covariance structures with low-replication (i.e. 2000 records from 1000 individuals replicated twice with a covariance structure of 1000x1000) sommer will be faster than MME-based software. Also for genomic problems with large number of random effect levels, i.e. 300 individuals (n) with 100,000 genetic markers (p). On the other hand, for highly replicated trials with small covariance structures or n > p (i.e. 2000 records from 200 individuals replicated 10 times with covariance structure of 200x200) asreml or other MME-based algorithms will be much faster and I recommend you to use that software.

#### **Models Enabled**

The core of the package are the mmer and mmec (formula-based) functions which solve the mixed model equations. The functions are an interface to call the 'NR' Direct-Inversion Newton-Raphson, 'AI' Direct-Inversion Average Information or the mme-based Average Information (Tunnicliffe 1989; Gilmour et al. 1995; Lee et al. 2016). Since version 2.0 sommer can handle multivariate models. Following Maier et al. (2015), the multivariate (and by extension the univariate) mixed model implemented has the form:

where  $y_i$  is a vector of trait phenotypes,  $\beta_i$  is a vector of fixed effects,  $u_i$  is a vector of random effects for individuals and  $e_i$  are residuals for trait i (i = 1,..., t). The random effects ( $u_i$  ...  $u_i$  and  $e_i$ ) are assumed to be normally distributed with mean zero. X and Z are incidence matrices for fixed and random effects respectively. The distribution of the multivariate response and the phenotypic variance covariance (V) are:

where K is the relationship or covariance matrix for the kth random effect (u=1,...,k), and R=I is an identity matrix for the residual term. The terms  $\sigma_{g_i}^2$  and  $\sigma_{\epsilon_i}^2$  denote the genetic (or any of the kth random terms) and residual variance of trait i, respectively and  $\sigma_{g_{ij}}$  and  $\sigma_{\epsilon_{ij}}$  the genetic (or any of the kth random terms) and residual covariance between traits i and j (i=1,...,t, and j=1,...,t). The algorithm implemented optimizes the log likelihood:

where  $\parallel$  is the determinant of a matrix. And the REML estimates are updated using a Newton optimization algorithm of the form:

Where,  $\theta$  is the vector of variance components for random effects and covariance components among traits, H^-1 is the inverse of the Hessian matrix of second derivatives for the kth cycle,  $dL/d\sigma_i^2$  is the vector of first derivatives of the likelihood with respect to the variance-covariance components. The Eigen decomposition of the relationship matrix proposed by Lee and Van Der Werf (2016) was included in the Newton-Raphson algorithm to improve time efficiency. Additionally, the popular vpredict function to estimate standard errors for linear combinations of variance components (i.e. heritabilities and genetic correlations) was added to the package as well.

#### **GWAS Models**

The GWAS models in the sommer package are enabled by using the M argument in the functions GWAS, which is expected to be a numeric marker matrix. Markers are treated as fixed effects according to the model proposed by Yu et al. (2006) for diploids, and Rosyara et al. (2016) (for polyploids). The matrices X and M are both fixed effects, but they are separated by 2 different arguments to distinguish factors such as environmental and design factors for the argument "X" and markers with "M".

The genome-wide association analysis is based on the mixed model:

$$y = X\beta + Zg + M\tau + e$$

where  $\beta$  is a vector of fixed effects that can model both environmental factors and population structure. The variable g models the genetic background of each line as a random effect with  $Var[g] = K\sigma^2$ . The variable  $\tau$  models the additive SNP effect as a fixed effect. The residual variance is  $Var[\varepsilon] = I\sigma_e^2$ 

When principal components are included (P+K model), the loadings are determined from an eigenvalue decomposition of the K matrix and are used in the fixed effect part.

The argument "P3D" introduced by Zhang et al. (2010) can be used with the P3D argument. When P3D=FALSE, this function is equivalent to AI/NR with REML where the variance components are estimated for each SNP or marker tested (Kang et al. 2008). When P3D=TRUE, it is equivalent to NR (Kang et al. 2010) where the assumption is that variance components for all SNP/markers are the same and therefore the variance components are estimated only once (and markers are tested in a WLS framework being the the weight matrix (M) the inverse of the phenotypic variance matrix (V)). Therefore, P3D=TRUE option is faster but can underestimate significance compared to P3D=FALSE.

Multivariate GWAS are based in Covarrubias-Pazaran et al. (2018, In preparation), which adjusts betas for all response variables and then does the regular GWAS with such adjusted betas or marker effects.

For extra details about the methods please read the canonical papers listed in the References section.

#### **Bug report and contact**

If you have any questions or suggestions please post it in https://stackoverflow.com or https://stats.stackexchange.com

I'll be glad to help or answer any question. I have spent a valuable amount of time developing this package. Please cite this package in your publication. Type 'citation("sommer")' to know how to cite it.

#### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G. 2016. Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Covarrubias-Pazaran G. 2018. Software update: Moving the R package sommer to multivariate mixed models for genome-assisted prediction. doi: https://doi.org/10.1101/354639

Bernardo Rex. 2010. Breeding for quantitative traits in plants. Second edition. Stemma Press. 390 pp.

Gilmour et al. 1995. Average Information REML: An efficient algorithm for variance parameter estimation in linear mixed models. Biometrics 51(4):1440-1450.

Henderson C.R. 1975. Best Linear Unbiased Estimation and Prediction under a Selection Model. Biometrics vol. 31(2):423-447.

Kang et al. 2008. Efficient control of population structure in model organism association mapping. Genetics 178:1709-1723.

Lee et al. 2015. MTG2: An efficient algorithm for multivariate linear mixed model analysis based on genomic information. Cold Spring Harbor. doi: http://dx.doi.org/10.1101/027201.

Maier et al. 2015. Joint analysis of psychiatric disorders increases accuracy of risk prediction for schizophrenia, bipolar disorder, and major depressive disorder. Am J Hum Genet; 96(2):283-294.

Searle. 1993. Applying the EM algorithm to calculating ML and REML estimates of variance components. Paper invited for the 1993 American Statistical Association Meeting, San Francisco.

Yu et al. 2006. A unified mixed-model method for association mapping that accounts for multiple levels of relatedness. Genetics 38:203-208.

Tunnicliffe W. 1989. On the use of marginal likelihood in time series model estimation. JRSS 51(1):15-27.

```
####=======####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####========####
####=========####
#### EXAMPLES
#### Different models with sommer
####========####
data(DT_example)
DT <- DT_example
DT=DT[with(DT, order(Env)), ]
head(DT)
####==========####
#### Univariate homogeneous variance models ####
####==========####
## Compound simmetry (CS) model
ans1 <- mmer(Yield~Env,</pre>
          random= ~ Name + Env:Name,
          rcov= ~ units,
          data=DT)
summary(ans1)
ans2 <- mmec(Yield~Env,
          random= ~ Name + Env:Name,
          rcov= ~ units,
          data=DT)
summary(ans2)
####=========####
#### Univariate heterogeneous variance models ####
####========####
## Compound simmetry (CS) + Diagonal (DIAG) model
ans3 <- mmer(Yield~Env,
          random= ~Name + vsr(dsr(Env), Name),
          rcov= ~ vsr(dsr(Env),units),
          data=DT)
summary(ans3)
ans4 <- mmec(Yield~Env,</pre>
          random= ~Name + vsc(dsc(Env),isc(Name)),
          rcov= ~ vsc(dsc(Env),isc(units)),
          data=DT)
summary(ans4)
```

10 A.mat

A.mat Additive relationship matrix	
------------------------------------	--

#### **Description**

Calculates the realized additive relationship matrix. Currently is the C++ implementation of Endelman and Jannink (2012) and van Raden (2008).

### Usage

```
A.mat(X,endelman=TRUE,min.MAF=0,return.imputed=FALSE)
```

## **Arguments**

X	Matrix $(n \times m)$ of unphased genotypes for $n$ lines and $m$ biallelic markers, coded as $\{-1,0,1\}$ . Fractional (imputed) and missing values (NA) are allowed.
endelman	Set endelman=TRUE to use the method from Endelman and Jannink (2012) (without the shrinkage, for that method look at the rrBLUP package). If FALSE, regular vanRaden is used.
min.MAF	Minimum minor allele frequency. The A matrix is not sensitive to rare alleles, so by default only monomorphic markers are removed.
return.imputed	When TRUE, the imputed marker matrix is returned.

#### **Details**

For endelman method: At high marker density, the relationship matrix is estimated as A = WW'/c, where  $W_{ik} = X_{ik} + 1 - 2p_k$  and  $p_k$  is the frequency of the 1 allele at marker k. By using a normalization constant of  $c = 2\sum_k p_k(1-p_k)$ , the mean of the diagonal elements is 1+f (Endelman and Jannink 2012).

For vanraden method: the marker matrix is centered by subtracting column means M=X-ms where ms is the coumn means. Then A=MM'/c, where  $c=\sum_k d_k/k$ , the mean value of the diagonal values of the MM' portion.

## Value

If return.imputed = FALSE, the  $n \times n$  additive relationship matrix is returned.

If return.imputed = TRUE, the function returns a list containing

**\$A** the A matrix

**\$X** the imputed marker matrix

#### References

Endelman, J.B., and J.-L. Jannink. 2012. Shrinkage estimation of the realized relationship matrix. G3:Genes, Genomes, Genetics. 2:1405-1413. doi: 10.1534/g3.112.004259

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

add.diallel.vars

#### See Also

```
mmer – the core function of the package
```

#### **Examples**

```
####=======####
#### random population of 200 lines with 1000 markers
####===========####

X <- matrix(rep(0,200*1000),200,1000)
for (i in 1:200) {
    X[i,] <- ifelse(runif(1000)<0.5,-1,1)
}

A <- A.mat(X)

####=============####
#### take a look at the Genomic relationship matrix
#### (just a small part)
####=========####
# colfunc <- colorRampPalette(c("steelblue4","springgreen","yellow"))
# hv <- heatmap(A[1:15,1:15], col = colfunc(100),Colv = "Rowv")
# str(hv)</pre>
```

add.diallel.vars

add.diallel.vars

# Description

'add.diallel.vars' adds 4 columns to the provided diallel dataset. Specifically, the user provides a dataset with indicator variables for who is the male and female parent and the function returns the same dataset with 4 new dummy variables to allow the model fit of diallel models.

## Usage

```
add.diallel.vars(df, par1="Par1", par2="Par2",sep.cross="-")
```

#### **Arguments**

df	a dataset with the two indicator variables for who is the male and female parent.
par1	the name of the column indicating who is the first parent (e.g. male).
par2	the name of the column indicating who is the second parent (e.g. female).
sep.cross	the character that should be used when creating the column for cross.id. A simple paste of the columns par1 and par2.

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#### Value

A new data set with the following 4 new dummy variables to allow the fit of complex diallel models:

returns a 0 if is a self and a 1 for a cross.

is.cisoself returns a 0 if is a cross and a 1 is is a self.

cross.type returns a -1 for a direct cross, a 0 for a self and a 1 for a reciprocal cross.

cross.id returns a column psting the par1 and par2 columns.

#### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

The core functions of the package mmer, the overlay function and the DT\_mohring example.

## **Examples**

```
####=======####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####============####

data(DT_mohring)
DT <- DT_mohring
head(DT)
DT2 <- add.diallel.vars(DT,par1="Par1", par2="Par2")
head(DT2)
## see ?DT_mohring for an example on how to use the data to fit diallel models.</pre>
```

adiag1

Binds arrays corner-to-corner

#### **Description**

Array generalization of blockdiag()

## Usage

```
adiag1(..., pad=as.integer(0), do.dimnames=TRUE)
```

adiag1

#### Arguments

... Arrays to be binded together

Value to pad array with; note default keeps integer status of arrays

do.dimnames Boolean, with default TRUE meaning to return dimnames if possible. Set to

FALSE if performance is an issue

#### **Details**

Binds any number of arrays together, corner-to-corner. Because the function is associative provided pad is of length 1, this page discusses the two array case.

```
If x=adiag1(a,b) and dim(a)=c(a_1,...,a_d), dim(b)=c(b_1,...,b_d); then all(dim(x)==dim(a)+dim(b)) and x[1:a_1,...,1:a_d]=a and x[(a_1+1):(a_1+b_1),...,(a_d+1):(a_d+b_d)]=b.
```

Dimnames are preserved, if both arrays have non-null dimnames, and do.dimnames is TRUE.

Argument pad is usually a length-one vector, but any vector is acceptable; standard recycling is used. Be aware that the output array (of dimension dim(a)+dim(b)) is filled with (copies of) pad *before* a and b are copied. This can be confusing.

#### Value

Returns an array of dimensions dim(a)+dim(b) as described above.

#### Note

In adiag1(a,b), if a is a length-one vector, it is coerced to an array of dimensions rep(1, length(dim(b))); likewise b. If both a and b are length-one vectors, return diag(c(a,b)).

If a and b are arrays, function adiag1() requires length(dim(a))==length(dim(b)) (the function does not guess which dimensions have been dropped; see examples section). In particular, note that vectors are not coerced except if of length one.

adiag1() is used when padding magic hypercubes in the context of evaluating subarray sums.

#### Author(s)

Peter Wolf with some additions by Robin Hankin

## See Also

mmer – the core function of the package

```
a <- array( 1,c(2,2))
b <- array(-1,c(2,2))
adiag1(a,b)
## dropped dimensions can count:
b2 <- b1 <- b
dim(a) <- c(2,1,2)</pre>
```

AI

```
dim(b1) <- c(2,2,1)
 dim(b2) <- c(1,2,2)
 dim(adiag1(a,b1))
dim(adiag1(a,b2))
## dimnames are preserved if not null:
a <- matrix(1,2,2,dimnames=list(col=c("red","blue"),size=c("big","small")))</pre>
b <- 8
dim(b) <- c(1,1)
dimnames(b) <- list(col=c("green"), size=c("tiny"))</pre>
adiag1(a,b) #dimnames preserved
adiag1(a,8) #dimnames lost because second argument has none.
## non scalar values for pad can be confusing:
q <- matrix(0,3,3)</pre>
adiag1(q,q,pad=1:4)
## following example should make the pattern clear:
adiag1(q,q,pad=1:36)
# Now, a use for arrays with dimensions of zero extent:
z \leftarrow array(dim=c(0,3))
colnames(z) <- c("foo","bar","baz")</pre>
adiag1(a,z)
                   # Observe how this has
                  # added no (ie zero) rows to "a" but
                  # three extra columns filled with the pad value
adiag1(a,t(z))
adiag1(z,t(z))
                   # just the pad value
```

ΑI

Average Information Algorithm

# Description

Univariate version of the average information (AI) algorithm.

## Usage

```
AI(X=NULL, Z=NULL, Zind=NULL, Ai=NULL, y=NULL, S=NULL, H=NULL, nIters=80, tolParConvLL=1e-4, tolParConvNorm=.05, tolParInv=1e-6, theta=NULL, thetaC=NULL, thetaF=NULL, addScaleParam=NULL, weightInfEMv=NULL, weightInfMat=NULL)
```

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## **Arguments**

Χ	an incidence matrix for fixed effects.
Z	Z is a list of lists each element contains the Z matrices required for the covariance structure specified for a random effect.
Zind	vector specifying to which random effect each Z matrix belongs to.
Ai	is a list with the inverses of the relationship matrix for each random effect.
у	is the response variable
S	is the list of residual matrices.
Н	is the matrix of weights. This will be squared via the cholesky decomposition and apply to the residual matrices.
nIters	number of REML iterations .
tolParConvLL	rule for stoping the optimization problem, difference in log-likelihood between the current and past iteration.
tolParConvNorm	rule for stoping the optimization problem, difference in norms.
tolParInv	value to add to the diagonals of a matrix that cannot be inverted because is not positive-definite.
theta	is the initial values for the vc (matrices should be symmetric).
thetaC	is the constraints for vc: 1 positive, 2 unconstrained, 3 fixed.
thetaF	is the dataframe indicating the fixed constraints as x times another vc, rows indicate the variance components, columns the scale parameters (other VC plus additional ones preferred).
addScaleParam	any additional scale parameter to be included when applying constraints in thetaF.
weightInfEMv	is the vector to be put in a diagonal matrix (a list with as many matrices as iterations) representing the weight assigned to the EM information matrix.
weightInfMat	is a vector of weights to the information matrix for the operation delta = $I$ - * $dLu/dLx$ # unstructured models may require less weight to the information matrix.

#### **Details**

This algorithm is based on Jensen, Madsen and Thompson (1997)

## Value

If all parameters are correctly indicated the program will return a list with the following information: **res** a list of different outputs

#### References

Jensen, J., Mantysaari, E. A., Madsen, P., and Thompson, R. (1997). Residual maximum likelihood estimation of (co) variance components in multivariate mixed linear models using average information. Journal of the Indian Society of Agricultural Statistics, 49, 215-236.

Covarrubias-Pazaran G. Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 2016, 11(6): doi:10.1371/journal.pone.0156744

16 AI

#### See Also

The core functions of the package mmer

```
####=======####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####=======####
data("DT_example")
DT <- DT_example
K <- A_example</pre>
#### look at the data and fit the model
head(DT)
zz <- with(DT, vsr(dsr(Env),Name))</pre>
Z <- c(list(model.matrix(~Name-1, data=DT)),zz$Z)</pre>
Zind <- c(1,2,2,2)
A <- list(diag(41), diag(41))#rep(list(diag(41)),4)
Ai <- lapply(A, function(x){solve(x)})
theta <- list(</pre>
  matrix(10,1,1),
  diag(10,3,3),
  diag(10,3,3)
);theta
thetaC <- list(</pre>
  matrix(1,1,1),
  diag(1,3,3),
  diag(1,3,3)
);thetaC
X <- model.matrix(~Env, data=DT)</pre>
y <- as.matrix(DT$Yield)</pre>
DTx <- DT; DTx$units <- as.factor(1:nrow(DTx))</pre>
ss <- with(DTx, vsr(dsr(Env),units) )</pre>
S <- ss$Z
H <- diag(length(y))</pre>
addScaleParam <- 0
nn <- unlist(lapply(thetaC, function(x){length(which(x > 0))}))
```

anova.mmec 17

```
nn2 <- sum(nn[1:max(Zind)])</pre>
ff <- diag(nn2)</pre>
thetaF <- cbind(ff,matrix(0,nn2,1))</pre>
## apply the function
weightInfMat=rep(1,40); # weights for the information matrix
weightInfEMv=c(seq(.9,.1,-.1),rep(0,36)); # weights for the EM information matrix
# expr = res3<-AI(X=X,Z=Z, Zind=Zind,</pre>
                  Ai=Ai,y=y,
#
                   S=S,
                  H=H,
#
                   nIters=20, tolParConvLL=1e-5,
                   tolParConvNorm=0.05,
                   tolParInv=1e-6, theta=theta,
                   thetaC=thetaC,thetaF=thetaF,
                   addScaleParam=addScaleParam, weightInfEMv = weightInfEMv,
                   weightInfMat = weightInfMat
# # compare results
# res3$monitor
```

anova.mmec

anova form a GLMM fitted with mmec

## **Description**

anova method for class "mmec".

#### Usage

```
## S3 method for class 'mmec'
anova(object, object2=NULL, ...)
```

#### **Arguments**

object an object of class "mmec"

object 2 an object of class "mmec", if NULL the program will provide regular sum of

squares results.

... Further arguments to be passed

#### Value

vector of anova

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## Author(s)

Giovanny Covarrubias

## See Also

anova, mmec

anova.mmer

anova form a GLMM fitted with mmer

# Description

```
anova method for class "mmer".
```

# Usage

```
## S3 method for class 'mmer'
anova(object, object2=NULL, type=1, ...)
```

# Arguments

object an object of class "mmer"

object2 an object of class "mmer", if NULL the program will provide regular sum of

squares results.

type anova type, I or II

... Further arguments to be passed

## Value

vector of anova

# Author(s)

Giovanny Covarrubias

#### See Also

anova, mmer

AR1 19

AR1

Autocorrelation matrix of order 1.

# Description

Creates an autocorrelation matrix of order one with parameters specified.

## Usage

```
AR1(x, rho=0.25)
```

## **Arguments**

x vector of the variable to define the factor levels for the AR1 covariance structure.

rho rho value for the matrix.

#### **Details**

Specially useful for constructing covariance structures for rows and ranges to capture better the spatial variation trends in the field. The rho value is assumed fixed and values of the variance component will be optimized through REML.

# Value

If everything is defined correctly the function returns:

**\$nn** the correlation matrix

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core function of the package mmer

```
x <- 1:4
R1 <- AR1(x,rho=.25)
image(R1)</pre>
```

20 ARMA

ARMA

Autocorrelation Moving average.

## **Description**

Creates an ARMA matrix of order one with parameters specified.

## Usage

```
ARMA(x, rho=0.25, lambda=0.25)
```

## **Arguments**

x vector of the variable to define the factor levels for the ARMA covariance struc-

ture.

rho rho value for the matrix.

lambda dimensions of the square matrix.

#### **Details**

Specially useful for constructing covariance structures for rows and ranges to capture better the spatial variation trends in the field. The rho value is assumed fixed and values of the variance component will be optimized through REML.

#### Value

If everything is defined correctly the function returns:

**\$nn** the correlation matrix

#### References

Covarrubias-Pazaran~G~(2016)~Genome~assisted~prediction~of~quantitative~traits~using~the~R~package~sommer.~PLoS~ONE~11(6):~doi:10.1371/journal.pone.0156744

#### See Also

The core function of the package mmer

```
x <- 1:4
R1 <- ARMA(x,rho=.25,lambda=0.2)
image(R1)</pre>
```

atc 21

atc atc covariance structure

#### **Description**

atc creates a diagonal covariance structure for specific levels of the random effect to be used with the mmec solver.

## Usage

```
atc(x, levs, thetaC, theta)
```

#### **Arguments**

x vector of observations for the random effect.

levels of the random effect to use for building the incidence matrices.

thetaC an optional matrix for constraints in the variance components.

theta an optional matrix for initial values of the variance components.

#### Value

**\$res** a list with the provided vector and the variance covariance structure expected.

#### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

The function vsc to know how to use atc in the mmec solver.

```
x <- as.factor(c(1:5,1:5,1:5));x
atc(x, c("1","2"))</pre>
```

22 atcg1234

atcg1234

Letter to number converter

#### **Description**

This function was designed to help users to transform their data in letter format to numeric format. Details in the format are not complex, just a dataframe with markers in columns and individuals in rows. Only markers, NO extra columns of plant names etc (names of plants can be stored as rownames). The function expects a matrix of only polymorphic markers, please make sure you clean your data before using this function. The apply function can help you identify and separate monomorphic from polymorphic markers.

## Usage

```
atcg1234(data, ploidy=2, format="ATCG", maf=0, multi=TRUE,
        silent=FALSE, by.allele=FALSE, imp=TRUE, ref.alleles=NULL)
```

#### **Arguments**

data	a dataframe w	vith m	narkers in	columns	and	individuals	in rows.	Preferable	the

rownames are the ID of the plants so you don't lose track of what is what.

a numeric value indicating the ploidy level of the specie. The default is 2 which ploidy

means diploid.

format one of the two possible values allowed by the program "ATCG", which means

your calls are in base-pair-letter code, i.e. "AT" in a diploid call, "AATT" tetraploid etc (just example). Therefore possible codes can be "A", "T", "C", "G", "-" (deletion), "+" (insertion). Alternatively "AB" format can be used as well. Commonly this depends from the genotyping technologies used, such as GBS or microarrays. In addition, we have enabled also the use of single-letter code used by Cornell, i.e. A=AA, C=CC, T=TT, G=GG, R=AG, Y=CT, S=CG, W=AT, K=GT, M=AC. In the case of GBS code please make sure that you set the N codes to regular NAs handled by R. The "ATCG" format also works for the biallelic marker codes from join map such as "lm", "ll", "nn", "np", "hh", "hk", "kk"

minor allele frequency used to filter the SNP markers, the default is zero which

means all markers are returned in numeric format.

a TRUE/FALSE statement indicating if the function should get rid of the markers with more than 2 alleles. If FALSE, which indicates that if markers with

multiple alleles are found, the alternate and reference alleles will be the first 2 alleles found. This could be risky since some alleles will be masked, i.e. AA AG AT would take only A and G as reference and alternate alleles, converting to numeric format 2 1 1, giving the same effect to AG and AT which could be a wrong assumption. The default is TRUE, removes markers with more than two

alleles.

a TRUE/FALSE value indicating if a progress bar should be drawn for each

step of the conversion. The default is silent=FALSE, which means that we want

progress bar to be drawn.

maf

multi

silent

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by.allele a TRUE/FALSE value indicating if the program should transform the data in

a zero/one matrix of presence/absense per allele. For example, a marker with 3 alleles A,T,C in a diploid organism will yield 6 possible configurations; AA, AT, AC, TT, TC, CC. Therefore, the program would create 3 columns for this

marker indicating the presence/absence of each allele for each genotype.

imp a TRUE/FALSE value indicating if the function should impute the missing data

using the median for each marker. If FALSE, then the program will not impute.

ref.alleles a matrix with reference alleles to be used for the conversion. The matrix should

have as many columns as markers with reference alleles and with 2 rows, being the first row the alternate allele (Alt) and the second row the reference allele (Ref). Rownames should be "Alt" and "Ref" respectively. If not provided the

program will decide the reference allele.

#### Value

\$data a new dataframe of markers in numeric format with markers in columns and individuals in rows.

## Author(s)

Giovanny Covarrubias-Pazaran

#### See Also

The core function of the package mmer

```
data(DT_polyploid)
genotypes <- GT_polyploid
genotypes[1:5,1:5] # look the original format
####==========####
#### convert markers to numeric format polyploid potatoes
####=============####
# numo <- atcg1234(data=genotypes, ploidy=4)</pre>
# numo$M[1:5,1:5]
#### convert markers to numeric format diploid rice lines
#### single letter code for inbred lines from GBS pipeline
#### A=AA, T=TT, C=CC, G=GG
####===========####
# data(DT_rice)
# X <- GT_rice; X[1:5,1:5]; dim(X)
# numo2 <- atcg1234(data=X, ploidy=2)</pre>
# numo2$M[1:5,1:5]
```

24 atr

atr

atr covariance structure

## **Description**

atr creates a diagonal covariance structure for specific levels of the random effect to be used with the mmer solver.

## Usage

```
atr(x, levs)
```

# **Arguments**

x vector of observations for the random effect.

levels of the random effect to use for building the incidence matrices.

#### Value

**\$res** a list with the provided vector and the variance covariance structure expected.

#### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The function vsr to know how to use atr in the mmer solver.

```
x <- as.factor(c(1:5,1:5,1:5));x
atr(x)
atr(x, c("1","2"))</pre>
```

bathy.colors 25

bathy.colors

Generate a sequence of colors for plotting bathymetric data.

## **Description**

bathy.colors(n) generates a sequence of n colors along a linear scale from light grey to pure blue.

# Usage

```
bathy.colors(n, alpha = 1)
```

## **Arguments**

n The number of colors to return. alpha Alpha values to be passed to rgb().

#### Value

A vector of blue scale colors.

## **Examples**

```
{
# Plot a colorbar using bathy.colors
image(matrix(seq(100), 100), col=bathy.colors(100))
}
```

bbasis

Function for creating B-spline basis functions (Eilers & Marx, 2010)

# Description

Construct a B-spline basis of degree deg with ndx-1 equally-spaced internal knots (ndx segments) on range [x1,xr]. Code copied from Eilers & Marx 2010, WIR: Comp Stat 2, 637-653.

#### Usage

```
bbasis(x, x1, xr, ndx, deg)
```

## Arguments

X	A vector. Data values for spline.
xl	A numeric value. Lower bound for data (lower external knot).
xr	A numeric value. Upper bound for data (upper external knot).
ndx	A numeric value. Number of divisions for x range (equal to number of segments = number of internal knots $+ 1$ )
deg	A numeric value. Degree of the polynomial spline.

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#### **Details**

Not yet amended to coerce values that should be zero to zero!

#### Value

A matrix with columns holding the P-spline for each value of x. Matrix has ndx+deg columns and length(x) rows.

bivariateRun

bivariateRun functionality

## **Description**

Sometimes multi-trait models can present many singularities making the model hard to estimate with many traits. One of the most effective strategies is to estimate all possible variance and covariances splitting in multiple bivariate models. This function takes a model that has t traits and splits the model in as many bivariate models as needed to estimate all the variance and covariances to provide the initial values for the model with all traits.

## Usage

bivariateRun(model, n.core)

## **Arguments**

model a model fitted with the mmer function with argument return.param=TRUE.

n.core number of cores to use in the mclapply function to parallelize the models to

be run to avoid increase in computational time. Please keep in mind that this is only available in Linux and macOS systems. Please check the details in the

mclapply documentation of the parallel package.

## Value

**\$sigmas** the list with the variance covariance parameters for all traits together.

**\$sigmascor** the list with the correlation for the variance components for all traits together.

**\$model** the results from the bivariate models.

## Author(s)

Giovanny Covarrubias-Pazaran

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

build.HMM 27

#### See Also

The core function of the package mmer

## **Examples**

```
# ####========####
# #### EXAMPLE 1
# #### simple example with univariate models
# ####=======####
# ####=======####
# data("DT_cpdata")
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP cpdata
# #### create the variance-covariance matrix
# A <- A.mat(GT)
# #### look at the data and fit the model
# head(DT)
# ans.m <- mmer(cbind(Yield,color,FruitAver, Firmness)~1,</pre>
                random=~ vsr(id, Gu=A, Gtc=unsm(4))
                + vsr(Rowf,Gtc=diag(4))
                + vsr(Colf,Gtc=diag(4)), na.method.Y="include",
                rcov=~ vsr(units,Gtc=unsm(4)), return.param = TRUE,
                data=DT)
# # define the number of cores (number of bivariate models) as (nt*(nt-1))/2
# nt=4
# (nt*(nt-1))/2
# res <- bivariateRun(ans.m,n.core = 6)</pre>
# # now use the variance componets to fit a join model
# mm <- transformConstraints(ans.m[[8]],3)</pre>
# ans.m.final <- mmer(cbind(Yield,color,FruitAver, Firmness)~1,</pre>
              random=~ vsr(id, Gu=A, Gtc=unsm(4))
#
              + vsr(Rowf, Gtc=diag(4))
              + vsr(Colf,Gtc=diag(4)), na.method.Y="include",
              rcov=~ vsr(units,Gtc=unsm(4)),
               init = res$sigmas_scaled, constraints = mm,
               data=DT, iters=1)
# summary(ans.m.final)
```

build.HMM

Build a hybrid marker matrix using parental genotypes from inbred individuals

28 build.HMM

## **Description**

Uses the 2 marker matrices from both sets of inbred or partially inbred parents and creates all possible combinations unless the user specifies which hybrid genotypes to build (custom.hyb argument). It returns the additive and dominance marker matrices (-1,0,1; homo,het,homo in additive and 0,1,0; homo,het,homo for dominance).

#### Usage

```
build.HMM(M1,M2, custom.hyb=NULL, return.combos.only=FALSE)
```

#### **Arguments**

Matrix  $(n \times m)$  of unphased genotypes for n inbreds and m biallelic mark-

ers, coded as {-1,0,1}. Fractional (imputed) and missing values (NA) are not

allowed.

Matrix  $(n \times m)$  of unphased genotypes for n inbreds and m biallelic mark-

ers, coded as {-1,0,1}. Fractional (imputed) and missing values (NA) are not

allowed.

custom.hyb A data frame with columns 'Var1' 'Var2', 'hybrid' which specifies which hy-

brids should be built using the M1 and M2 matrices provided.

return.combos.only

A TRUE/FALSE statement inicating if the function should skip building the geotype matrix for hybrids and only return the data frame with all possible combinations to be build. In case the user wants to subset the hybrids before building

the marker matrix.

#### **Details**

It returns the marker matrix for hybrids coded as additive (-1,0,1; homo,het,homo) and dominance (0,1,0; homo,het,homo). This function is deviced for building marker matrices for hybrids coming from inbreds. If the parents are close to inbred >F5 you can try deleting the heterozygote calls (0's) and imputing those cells with the most common genotype (1 or -1). The expectation is that for mostly inbred individuals this may not change drastically the result but will make the results more interpretable. For non-inbred parents (F1 to F3) the cross of an F1 x F1 has many possibilities and is not the intention of this function to build genotypes for heterzygote x heterozygote crosses.

#### Value

It returns the marker matrix for hybrids coded as additive (-1,0,1; homo,het,homo) and dominance (0,1,0; homo,het,homo).

**\$HMM.add** marker matrix for hybrids coded as additive (-1,0,1; homo,het,homo)

**\$HMM.dom** marker matrix for hybrids coded as dominance (0,1,0; homo,het,homo)

**\$data.used** the data frame used to build the hybrid genotypes

coef.mmec 29

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Nishio M and Satoh M. 2014. Including Dominance Effects in the Genomic BLUP Method for Genomic Evaluation. Plos One 9(1), doi:10.1371/journal.pone.0085792

Su G, Christensen OF, Ostersen T, Henryon M, Lund MS. 2012. Estimating Additive and Non-Additive Genetic Variances and Predicting Genetic Merits Using Genome-Wide Dense Single Nucleotide Polymorphism Markers. PLoS ONE 7(9): e45293. doi:10.1371/journal.pone.0045293

#### See Also

mmer- the core function of the package

## **Examples**

```
####=======####
#### use Technow data as example
####=======####
data(DT_technow)
DT <- DT technow
Md \leftarrow (Md_{technow} * 2) - 1
Mf \leftarrow (Mf_{technow} * 2) - 1
## first get all possible hybrids
res1 <- build.HMM(Md, Mf,
                return.combos.only = TRUE)
head(res1$data.used)
## build the marker matrix for the first 50 hybrids
res2 <- build.HMM(Md, Mf,
               custom.hyb = res1$data.used[1:50,]
res2$HMM.add[1:5,1:5]
res2$HMM.dom[1:5,1:5]
## now you can use the A.mat(), D.mat() and E.mat() functions
# M <- res2$HMM.add
# A <- A.mat(M)
# D <- D.mat(M)
```

coef.mmec

coef form a GLMM fitted with mmec

# Description

coef method for class "mmec".

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## Usage

```
## S3 method for class 'mmec'
coef(object, ...)
```

## **Arguments**

object an object of class "mmec"
... Further arguments to be passed

## Value

vector of coef

## Author(s)

Giovanny Covarrubias

## See Also

coef, mmec

coef.mmer

coef form a GLMM fitted with mmer

# Description

```
coef method for class "mmer".
```

# Usage

```
## S3 method for class 'mmer'
coef(object, ...)
```

# Arguments

object an object of class "mmer"
... Further arguments to be passed

## Value

vector of coef

# Author(s)

Giovanny Covarrubias

## See Also

coef, mmer

CS 31

CS

Compound symmetry matrix

## Description

Creates a compound symmetry matrix with parameters specified.

## Usage

```
CS(x, rho=0.25)
```

## **Arguments**

x vector of the variable to define the factor levels for the ARMA covariance struc-

ture.

rho rho value for the matrix.

#### **Details**

Specially useful for constructing covariance structures for rows and ranges to capture better the spatial variation trends in the field. The rho value is assumed fixed and values of the variance component will be optimized through REML.

## Value

If everything is defined correctly the function returns:

**\$nn** the correlation matrix

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core function of the package mmer

```
x <- 1:4
R1 <- CS(x,rho=.25)
image(R1)</pre>
```

32 csc

csc

customized covariance structure

#### **Description**

csc creates a customized covariance structure for specific levels of the random effect to be used with the mmec solver.

# Usage

```
csc(x, mm, thetaC, theta)
```

## **Arguments**

x vector of observations for the random effect.

mm customized variance-covariance structure for the levels of the random effect.

thetaC an optional matrix for constraints in the variance components.

theta an optional matrix for initial values of the variance components.

#### Value

**\$res** a list with the provided vector and the variance covariance structure expected for the levels of the random effect.

#### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

The function vsc to know how to use csc in the mmec solver.

```
x <- as.factor(c(1:5,1:5,1:5));x
csc(x,matrix(1,5,5))</pre>
```

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csr

customized covariance structure

## **Description**

csr creates a customized covariance structure for specific levels of the random effect to be used with the mmer solver.

# Usage

```
csr(x, mm)
```

## Arguments

x vector of observations for the random effect.

mm customized variance-covariance structure for the levels of the random effect.

#### Value

**\$res** a list with the provided vector and the variance covariance structure expected for the levels of the random effect.

## Author(s)

Giovanny Covarrubias-Pazaran

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The function vsr to know how to use csr in the mmer solver.

```
x \leftarrow as.factor(c(1:5,1:5,1:5)); x 
 csr(x,matrix(1,5,5))
```

D.mat

D.mat	Dominance relationship matrix

## **Description**

C++ implementation of the dominance matrix. Calculates the realized dominance relationship matrix. Can help to increase the prediction accuracy when 2 conditions are met; 1) The trait has intermediate to high heritability, 2) The population contains a big number of individuals that are half or full sibs (HS & FS).

#### Usage

D.mat(X,nishio=TRUE,min.MAF=0,return.imputed=FALSE)

## **Arguments**

X	Matrix $(n \times m)$ of unphased genotypes for $n$ lines and $m$ biallelic markers, coded as $\{-1,0,1\}$ . Fractional (imputed) and missing values (NA) are allowed.
nishio	If TRUE Nishio ans Satoh. (2014), otherwise Su et al. (2012). See references.
min.MAF	Minimum minor allele frequency. The D matrix is not sensitive to rare alleles, so by default only monomorphic markers are removed.
return.imputed	When TRUE, the imputed marker matrix is returned.

## **Details**

The additive marker coefficients will be used to compute dominance coefficients as: Xd = 1-abs(X) for diploids.

For nishio method: the marker matrix is centered by subtracting column means M=Xd-ms where ms is the column means. Then A=MM'/c, where  $c=2\sum_k p_k(1-p_k)$ .

For su method: the marker matrix is normalized by subtracting row means M=Xd-2pq where 2pq is the product of allele frequencies times 2. Then A=MM'/c, where  $c=2\sum_k 2pq_k(1-2pq_k)$ .

## Value

If return.imputed = FALSE, the  $n \times n$  additive relationship matrix is returned.

If return.imputed = TRUE, the function returns a list containing

**\$D** the D matrix

**\$imputed** the imputed marker matrix

dfToMatrix 35

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Nishio M and Satoh M. 2014. Including Dominance Effects in the Genomic BLUP Method for Genomic Evaluation. Plos One 9(1), doi:10.1371/journal.pone.0085792

Su G, Christensen OF, Ostersen T, Henryon M, Lund MS. 2012. Estimating Additive and Non-Additive Genetic Variances and Predicting Genetic Merits Using Genome-Wide Dense Single Nucleotide Polymorphism Markers. PLoS ONE 7(9): e45293. doi:10.1371/journal.pone.0045293

#### See Also

The core functions of the package mmer

## **Examples**

```
####=======####
#### EXAMPLE 1
####========####
#####random population of 200 lines with 1000 markers
X <- matrix(rep(0,200*1000),200,1000)
for (i in 1:200) {
    X[i,] <- sample(c(-1,0,0,1), size=1000, replace=TRUE)
}
D <- D.mat(X)</pre>
```

dfToMatrix

data frame to matrix

## **Description**

This function takes a matrix that is in data frame format and transforms it into a matrix. Other packages that allows you to obtain an additive relationship matrix from a pedigree is the 'pedigreemm' package.

## Usage

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## **Arguments**

x ginv element, output from the Ainverse function.

row name of the column in x that indicates the row in the original relationship matrix.

column name of the column in x that indicates the column in the original relationship

matrix.

value name of the column in x that indicates the value for a given row and column in

the original relationship matrix.

returnInverse a TRUE/FALSE value indicating if the inverse of the x matrix should be com-

puted once the data frame x is converted into a matrix.

bend a numeric value to add to the diagonal matrix in case matrix is singular for

inversion.

#### Value

K pedigree transformed in a relationship matrix.

Kinv inverse of the pedigree transformed in a relationship matrix.

#### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer

dsc 37

dsc

diagonal covariance structure

## **Description**

dsc creates a diagonal covariance structure for the levels of the random effect to be used with the mmec solver.

# Usage

```
dsc(x, thetaC=NULL, theta=NULL)
```

# **Arguments**

x vector of observations for the random effect.

thetaC an optional matrix for constraints in the variance components.

theta an optional matrix for initial values of the variance components.

## Value

**\$res** a list with the provided vector and the variance covariance structure expected for the levels of the random effect.

# Author(s)

Giovanny Covarrubias-Pazaran

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

See the function vsc to know how to use dsc in the mmec solver.

```
x <- as.factor(c(1:5,1:5,1:5));x
dsc(x)</pre>
```

38 dsr

dsr

diagonal covariance structure

# **Description**

dsr creates a diagonal covariance structure for the levels of the random effect to be used with the mmer solver.

# Usage

dsr(x)

## **Arguments**

Х

vector of observations for the random effect.

## Value

**\$res** a list with the provided vector and the variance covariance structure expected for the levels of the random effect.

## Author(s)

Giovanny Covarrubias-Pazaran

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

See the function vsr to know how to use dsr in the mmer solver.

```
x <- as.factor(c(1:5,1:5,1:5));x
dsr(x)</pre>
```

DT\_augment 39

DT\_augment

DT\_augment design example.

## **Description**

This dataset contains phenotpic data for one trait evaluated in the experimental design known as augmented design. This model allows to obtain BLUPs for genotypes that are unreplicated by dividing the field in blocks and replicating 'check genotypes' in the blocks and unreplicated genotypes randomly within the blocks. The presence of check genotypes (usually cultivars) allows the adjustment of unreplicated genotypes.

## Usage

```
data("DT_augment")
```

#### **Format**

The format is: chr "DT\_augment"

#### Source

This data was generated by a potato study.

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

```
# ###=======####
# ### AUGMENTED DESIGN EXAMPLE
# ###===========####
# data(DT_augment)
# DT <- DT_augment
# head(DT)
# ####================####
# ### fit the mixed model and check summary
# #### fit the mixed model and check summary
# mix1 <- mmer(TSW ~ Check.Gen,
# random = ~ Block + Genotype:Check,
# data=DT)
# summary(mix1)$varcomp
#
# mix2 <- mmec(TSW ~ Check.Gen,</pre>
```

40 DT\_btdata

```
# random = ~ Block + Genotype:Check,
# data=DT)
# summary(mix2)$varcomp
```

DT\_btdata

Blue Tit Data for a Quantitative Genetic Experiment

## **Description**

a data frame with 828 rows and 7 columns, with variables tarsus length (tarsus) and colour (back) measured on 828 individuals (animal). The mother of each is also recorded (dam) together with the foster nest (fosternest) in which the chicks were reared. The date on which the first egg in each nest hatched (hatchdate) is recorded together with the sex (sex) of the individuals.

### Usage

```
data("DT_btdata")
```

#### **Format**

The format is: chr "DT\_btdata"

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer, mmec

DT\_cornhybrids 41

```
# mix4 <- mmer(tarsus ~ sex,</pre>
            random = ~ dam + fosternest,
#
            rcov=~units,
#
            data = DT)
# summary(mix4)$varcomp
# mix5 <- mmec(tarsus ~ sex,</pre>
            random = ~ dam + fosternest,
#
            rcov=~units,
#
            data = DT)
# summary(mix5)$varcomp
# ####======####
# ####========####
# ####=======####
# #### EXAMPLE 2
# #### more complex multivariate model
# ####=======####
# ####========####
# data(DT_btdata)
# DT <- DT_btdata
# mix3 <- mmer(cbind(tarsus, back) ~ sex,</pre>
              random = ~ vsr(dam) + vsr(fosternest),
              rcov= ~ vsr(units, Gtc=diag(2)),
#
#
              data = DT)
# summary(mix3)
# #### calculate the genetic correlation
# cov2cor(mix3$sigma$`u:dam`)
# cov2cor(mix3$sigma$`u:fosternest`)
```

DT\_cornhybrids

Corn crosses and markers

# **Description**

This dataset contains phenotpic data for plant height and grain yield for 100 out of 400 possible hybrids originated from 40 inbred lines belonging to 2 heterotic groups, 20 lines in each, 1600 rows exist for the 400 possible hybrids evaluated in 4 locations but only 100 crosses have phenotypic information. The purpose of this data is to show how to predict the other 300 crosses.

The data contains 3 elements. The first is the phenotypic data and the parent information for each cross evaluated in the 4 locations. 1200 rows should have missing data but the 100 crosses performed were chosen to be able to estimate the GCA and SCA effects of everything.

The second element of the data set is the phenotypic data and other relevant information for the 40.

The third element is the genomic relationship matrix for the 40 inbred lines originated from 511 SNP markers and calculated using the A.mat function.

## Usage

```
data("DT_cornhybrids")
```

42 DT\_cornhybrids

#### **Format**

The format is: chr "DT\_cornhybrids"

#### Source

This data was generated by a corn study.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

```
# #### For CRAN time limitations most lines in the
# #### examples are silenced with one '#' mark,
# #### remove them and run the examples using
# #### command + shift + C |OR| control + shift + C
# ####=======####
# data(DT_cornhybrids)
# DT <- DT_cornhybrids
# DTi <- DTi_cornhybrids
# GT <- GT_cornhybrids
# hybrid2 <- DT # extract cross data</pre>
# A <- GT
# K1 <- A[levels(hybrid2$GCA1), levels(hybrid2$GCA1)]; dim(K1)
# K2 <- A[levels(hybrid2$GCA2), levels(hybrid2$GCA2)]; dim(K2)
# S <- kronecker(K1, K2); dim(S)
# rownames(S) <- colnames(S) <- levels(hybrid2$SCA)</pre>
#
# ans <- mmer(Yield ~ Location,</pre>
             random = ~ vsr(GCA1,Gu=K1) + vsr(GCA2,Gu=K2), # + vsr(SCA,Gu=S),
             rcov=~units,
              data=hybrid2)
# summary(ans)$varcomp
# ## mmec uses the inverse of the relationship matrix
# K1i <- as(solve(K1 + diag(1e-4,ncol(K1),ncol(K1))), Class="dgCMatrix")
# K2i <- as(solve(K2 + diag(1e-4,ncol(K2),ncol(K2))), Class="dgCMatrix")</pre>
# Si <- as(solve(S + diag(1e-4,ncol(S),ncol(S))), Class="dgCMatrix")</pre>
# ans2 <- mmec(Yield ~ Location,</pre>
         #
            rcov=~units,
             data=hybrid2)
# summary(ans2)$varcomp
```

DT\_cpdata 43

DT\_cpdata

Genotypic and Phenotypic data for a CP population

## **Description**

A CP population or F1 cross is the designation for a cross between 2 highly heterozygote individuals; i.e. humans, fruit crops, bredding populations in recurrent selection.

This dataset contains phenotpic data for 363 siblings for an F1 cross. These are averages over 2 environments evaluated for 4 traits; color, yield, fruit average weight, and firmness. The columns in the CPgeno file are the markers whereas the rows are the individuals. The CPpheno data frame contains the measurements for the 363 siblings, and as mentioned before are averages over 2 environments.

## Usage

```
data("DT_cpdata")
```

## **Format**

The format is: chr "DT\_cpdata"

## Source

This data was simulated for fruit breeding applications.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

```
# ####==========####
# #### For CRAN time limitations most lines in the
# #### examples are silenced with one '#' mark,
# #### remove them and run the examples using
# #### command + shift + C |OR| control + shift + C
# ####========####
#
# data(DT_cpdata)
# DT <- DT_cpdata</pre>
```

DT\_example

```
# GT <- GT_cpdata
# MP <- MP_cpdata
# #### create the variance-covariance matrix
# A <- A.mat(GT) # additive relationship matrix
# #### look at the data and fit the model
# head(DT)
# mix1 <- mmer(Yield~1,</pre>
                random=~vsr(id,Gu=A)
                        + Rowf + Colf,
                rcov=~units,
#
                data=DT)
# summary(mix1)$varcomp
# ## mmec uses the inverse of the relationship matrix
# Ai <- as(solve(A + diag(1e-4,ncol(A),ncol(A))), Class="dgCMatrix")</pre>
# mix2 <- mmec(Yield~1,</pre>
              random=~vsc(isc(id),Gu=Ai)
#
               + Rowf + Colf,
#
               rcov=~units,
               data=DT)
# summary(mix2)$varcomp
# ####======####
# #### multivariate model ####
          2 traits
# ####=======####
# #### be patient take some time
# ans.m <- mmer(cbind(Yield,color)~1,</pre>
                random=~ vsr(id, Gu=A)
                 + vsr(Rowf,Gtc=diag(2))
#
                 + vsr(Colf,Gtc=diag(2)),
#
                 rcov=~ vsr(units),
                 data=DT)
# cov2cor(ans.m$sigma$`u:id`)
```

DT\_example

Broad sense heritability calculation.

## Description

This dataset contains phenotpic data for 41 potato lines evaluated in 3 environments in an RCBD design. The phenotypic trait is tuber quality and we show how to obtain an estimate of DT\_example for the trait.

# Usage

```
data("DT_example")
```

DT\_example 45

## **Format**

The format is: chr "DT\_example"

#### Source

This data was generated by a potato study.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

```
####=======####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####=======####
####=======####
#### EXAMPLES
#### Different models with sommer
####==========####
data(DT_example)
DT <- DT_example
A <- A_example
head(DT)
####=========####
#### Univariate homogeneous variance models ####
####========####
## Compound simmetry (CS) model
ans1 <- mmer(Yield~Env,</pre>
         random= ~ Name + Env:Name,
         rcov= ~ units,
         data=DT)
summary(ans1)$varcomp
ans1b <- mmec(Yield~Env,
         random= ~ Name + Env:Name,
         rcov= ~ units,
         data=DT)
summary(ans1b)$varcomp
# ####========####
```

46 DT\_expdesigns

```
# #### Univariate heterogeneous variance models ####
# ####=======####
# ## Compound simmetry (CS) + Diagonal (DIAG) model
# ans2 <- mmer(Yield~Env,</pre>
             random= ~Name + vsr(dsr(Env), Name),
             rcov= ~ vsr(dsr(Env),units),
             data=DT)
# summary(ans2)
# DT=DT[with(DT, order(Env)), ]
# ans2b <- mmec(Yield~Env,</pre>
             random= ~Name + vsc(dsc(Env),isc(Name)),
             rcov= ~ vsc(dsc(Env),isc(units)),
             data=DT)
# summary(ans2b)
#
# ####=======####
# #### Multivariate homogeneous variance models ####
# ####=======####
# ## Multivariate Compound simmetry (CS) model
# DT$EnvName <- paste(DT$Env,DT$Name)</pre>
# ans4 <- mmer(cbind(Yield, Weight) ~ Env,</pre>
             random= ~ vsr(Name) + vsr(EnvName),
              rcov= ~ vsr(units),
#
#
              data=DT)
# summary(ans4)
```

DT\_expdesigns

Data for different experimental designs

## **Description**

The following data is a list containing data frames for different type of experimental designs relevant in plant breeding:

- 1) Augmented designs (2 examples)
- 2) Incomplete block designs (1 example)
- 3) Split plot design (2 examples)
- 4) Latin square designs (1 example)
- 5) North Carolina designs I,II and III

How to fit each is shown at the Examples section. This may help you get introduced to experimental designs relevant to plant breeding. Good luck.

## **Format**

Different based on the design.

DT\_fulldiallel 47

## Source

Datasets and more detail about them can be found in the agricolae package. Here we just show the datasets and how to analyze them using the sommer package.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

# Examples

```
# #### ===== Augmented Block Design 1 ==== ####
# #### ======= ####
# data(DT_expdesigns)
# DT <- DT_expdesigns</pre>
# names(DT)
# data1 <- DT$au1
# head(data1)
# ## response variable: "yield"
# ## check indicator: "entryc" ('nc' for all unreplicated, but personal.name for checks)
# ## blocking factor: "block"
# ## treatments, personal names for replicated and non-replicated: "trt"
# ## check no check indicator: "new"
# mix1 <- mmer(yield~entryc,</pre>
              random=~block+trt,
              rcov=~units, tolpar = 1e-6,
              data=data1)
# summary(mix1)$varcomp
# mix1b <- mmec(yield~entryc,</pre>
              random=~block+trt,
#
              rcov=~units, tolParConv = 1e-6,
              data=data1)
# summary(mix1b)$varcomp
```

DT\_fulldiallel

Full diallel data for corn hybrids

## **Description**

This dataset contains phenotpic data for 36 winter bean hybrids, coming from a full diallel design and evaluated for 9 traits. The column male and female origin columns are included as well.

## Usage

```
data("DT_fulldiallel")
```

48 DT\_fulldiallel

## **Format**

The format is: chr "DT\_fulldiallel"

#### Source

This data was generated by a winter bean study and originally included in the agridat package.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

```
####========####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####=======####
data(DT_fulldiallel)
DT <- DT_fulldiallel
head(DT)
mix <- mmer(stems~1, random=~female+male, data=DT)</pre>
summary(mix)
mixb <- mmec(stems~1, random=~female+male, data=DT)</pre>
summary(mixb)$varcomp
####==========####
####========####
#### Multivariate model example
####========####
####=======####
data(DT_fulldiallel)
DT <- DT_fulldiallel
head(DT)
mix <- mmer(cbind(stems,pods,seeds)~1,</pre>
          random=~vsr(female) + vsr(male),
          rcov=~vsr(units),
          data=DT)
summary(mix)
#### genetic variance covariance
cov2cor(mix$sigma$`u:female`)
cov2cor(mix$sigma$`u:male`)
cov2cor(mix$sigma$`u:units`)
```

DT\_gryphon 49

DT\_gryphon

Gryphon data from the Journal of Animal Ecology

## **Description**

This is a dataset that was included in the Journal of animal ecology by Wilson et al. (2010; see references) to help users understand how to use mixed models with animal datasets with pedigree data

The dataset contains 3 elements:

gryphon; variables indicating the animal, the mother of the animal, sex of the animal, and two quantitative traits named 'BWT' and 'TARSUS'.

pedi; dataset with 2 columns indicating the sire and the dam of the animals contained in the gryphon dataset.

A; additive relationship matrix formed using the 'getA()' function used over the pedi dataframe.

#### **Usage**

```
data("DT_gryphon")
```

### **Format**

The format is: chr "DT\_gryphon"

## Source

This data comes from the Journal of Animal Ecology. Please, if using this data cite Wilson et al. publication. If using our mixed model solver please cite Covarrubias' publication.

## References

Wilson AJ, et al. (2010) An ecologist's guide to the animal model. Journal of Animal Ecology 79(1): 13-26.

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

50 DT\_h2

## **Examples**

```
####========####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples using
#### command + shift + C |OR| control + shift + C
####=========####
# data(DT_gryphon)
# DT <- DT_gryphon
# A <- A_gryphon
# P <- P_gryphon
# #### look at the data
# #### fit the model with no fixed effects (intercept only)
# mix1 <- mmer(BWT~1,</pre>
              random=~vsr(ANIMAL,Gu=A),
#
              rcov=~units,
#
              data=DT)
# summary(mix1)$varcomp
# ## mmec uses the inverse of the relationship matrix
# Ai <- as(solve(A + diag(1e-4,ncol(A),ncol(A))), Class="dgCMatrix")</pre>
# mix1b <- mmec(BWT~1,</pre>
              random=~vsc(isc(ANIMAL),Gu=Ai),
#
              rcov=~units, tolParConv = 1e-5,
              data=DT)
# summary(mix1b)$varcomp
# #### fit the multivariate model with no fixed effects (intercept only)
# mix2 <- mmer(cbind(BWT, TARSUS)~1,</pre>
              random=~vsr(ANIMAL,Gu=A),
#
              rcov=~vsr(units),
#
              na.method.Y = "include2",
              data=DT)
# summary(mix2)
# cov2cor(mix2$sigma$`u:ANIMAL`)
# cov2cor(mix2$sigma$`u:units`)
```

DT\_h2

Broad sense heritability calculation.

## **Description**

This dataset contains phenotpic data for 41 potato lines evaluated in 5 locations across 3 years in an RCBD design. The phenotypic trait is tuber quality and we show how to obtain an estimate of DT\_h2 for the trait.

DT\_h2 51

## Usage

```
data("DT_h2")
```

#### **Format**

The format is: chr "DT\_h2"

## **Source**

This data was generated by a potato study.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

```
####=======####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####=======####
data(DT_h2)
DT <- DT_h2
head(DT)
####=======####
#### fit the mixed model (very heavy model)
####=======####
# ans1 <- mmer(y~Env,</pre>
             random=~vsr(dsr(Env),Name) + vsr(dsr(Env),Block),
             rcov=~vsr(dsr(Env),units),
             data=DT)
# summary(ans1)$varcomp
# DT=DT[with(DT, order(Env)), ]
# ans1b <- mmec(y~Env,</pre>
#
            random=~vsc(dsc(Env),isc(Name)) + vsc(dsc(Env),isc(Block)),
#
            rcov=~vsc(dsc(Env),isc(units)),
            data=DT)
# summary(ans1b)$varcomp
```

52 DT\_halfdiallel

DT\_halfdiallel

half diallel data for corn hybrids

## **Description**

This dataset contains phenotpic data for 21 corn hybrids, with 2 technical repetitions, coming from a half diallel design and evaluated for sugar content. The column geno indicates the hybrid and male and female origin columns are included as well.

## Usage

```
data("DT_halfdiallel")
```

#### **Format**

The format is: chr "DT\_halfdiallel"

#### **Source**

This data was generated by a corn study.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

DT\_ige 53

DT\_ige

Data to fit indirect genetic effects.

## **Description**

This dataset contains phenotpic data for 98 individuals where they are measured with the purpose of identifying the effect of the neighbour in a focal individual.

## Usage

```
data("DT_ige")
```

## **Format**

The format is: chr "DT\_ige"

## Source

This data was masked from a shared study.

#### References

Covarrubias-Pazaran~G~(2016)~Genome~assisted~prediction~of~quantitative~traits~using~the~R~package~sommer.~PLoS~ONE~11(6):~doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

54 DT\_legendre

## **Examples**

```
####=======####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####=======####
####=======####
#### EXAMPLES
#### Different models with sommer
####==========####
data(DT_ige)
DT <- DT_ige
Af <- A_ige
An <- A_ige
### Direct genetic effects model
# modDGE <- mmer(trait ~ block,</pre>
               random = \sim focal,
#
               rcov = ~ units,
               data = DT)
# summary(modDGE)$varcomp
### Indirect genetic effects model without covariance between DGE and IGE
# modDGE <- mmer(trait ~ block,</pre>
               random = ~focal + neighbour,
#
#
               rcov = ~ units,
#
               data = DT)
# summary(modDGE)$varcomp
### Indirect genetic effects model with covariance between DGE and IGE
# modIGE <- mmer(trait ~ block,</pre>
               random = ~ gvsr(focal, neighbour),
               rcov = ~ units, iters=4,
               data = DT)
#
# summary(modIGE)$varcomp
### Indirect genetic effects model with covariance between DGE and IGE using relationship matrices
# modIGEb <- mmer(trait ~ block,</pre>
#
               random = ~ gvsr(focal, neighbour, Gu=list(Af,An)),
#
               rcov = ~ units,
               data = DT)
# summary(modIGEb)$varcomp
```

DT\_legendre

Simulated data for random regression

### **Description**

A data frame with 4 columns; SUBJECT, X, Xf and Y to show how to use the Legendre polynomials in the mmer function using a numeric variable X and a response variable Y.

DT\_legendre 55

## Usage

```
data("DT_legendre")
```

## **Format**

The format is: chr "DT\_legendre"

## Source

This data was simulated for fruit breeding applications.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

The core functions of the package mmer

```
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples using
#### command + shift + C |OR| control + shift + C
####=======####
# you need to install the orthopolynom library to do random regression models
# library(orthopolynom)
# data(DT_legendre)
# DT <- DT_legendre
\# mRR2<-mmer(Y~ 1 + Xf
            , random=~ vsr(usr(leg(X,1)),SUBJECT)
            , rcov=~vsr(units)
            , data=DT)
# summary(mRR2)$varcomp
\# mRR2b < -mmec(Y^{\sim} 1 + Xf)
            , random=~ vsc(usc(leg(X,1)),isc(SUBJECT))
            , rcov=~vsc(isc(units))
#
            , data=DT)
# summary(mRR2b)$varcomp
```

56 DT\_mohring

DT\_mohring

Full diallel data for corn hybrids

## **Description**

This dataset contains phenotpic data for 36 winter bean hybrids, coming from a full diallel design and evaluated for 9 traits. The column male and female origin columns are included as well.

## Usage

```
data("DT_mohring")
```

### **Format**

The format is: chr "DT\_mohring"

#### **Source**

This data was generated by a winter bean study and originally included in the agridat package.

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and mmec

```
# #### For CRAN time limitations most lines in the
# #### examples are silenced with one '#' mark,
# #### remove them and run the examples
# ####======####
# data(DT_mohring)
# DT <- DT_mohring</pre>
# head(DT)
# DT2 <- add.diallel.vars(DT,par1="Par1", par2="Par2")</pre>
# head(DT2)
# mod1h <- mmer(Ftime ~ 1, data=DT2,</pre>
            random = ~ Block
#
            # GCA male & female overlayed
            + overlay(Par1, Par2)
             # SCA effects (includes cross and selfs)
```

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```
+ cross.id
              # SCAR reciprocal effects (if zero there's no reciprocal effects)
#
#
              + cross.id:cross.type)
# summary(mod1h)$varcomp
# mod1hb <- mmec(Ftime ~ 1, data=DT2,</pre>
               random = ~ Block
               # GCA male & female overlayed
               + vsc(isc(overlay(Par1, Par2)))
               # SCA effects (includes cross and selfs)
               + cross.id
               # SCAR reciprocal effects (if zero there's no reciprocal effects)
               + vsc(dsc(cross.type), isc(cross.id)) )
# summary(mod1hb)$varcomp
#
# ##
                                    VarComp VarCompSE Zratio
# ## Block.Ftime-Ftime
                                    0.00000 9.32181 0.000000
# ## overlay(Par1, Par2).Ftime-Ftime 1276.73089 750.17269 1.701916
# ## cross.id.Ftime-Ftime
                                1110.99090 330.16921 3.364914
# ## cross.id:cross.type.Ftime-Ftime 66.02295 49.26876 1.340057
# ## units.Ftime-Ftime
                                   418.47949 74.56442 5.612321
# mod1h <- mmer(Ftime ~ Block + is.cross, data=DT2,</pre>
              random = ~
               # GCA for all (female and male)
                overlay(Par1, Par2)
              # GCA (only for hybrids)
              + overlay(Par1, Par2):is.cross
              # SCA (hybrids only)
              + cross.id:is.cross)
# summary(mod1h)$varcomp
                                           VarComp VarCompSE Zratio
# ## overlay(Par1, Par2).Ftime-Ftime
                                          2304.1781 1261.63193 1.826347
# ## overlay(Par1, Par2):is.cross.Ftime-Ftime 613.6040 402.74347 1.523560
# ## cross.id:is.cross.Ftime-Ftime
                                           340.7030 148.56225 2.293335
# ## units.Ftime-Ftime
                                           501.6275 74.36075 6.745864
# ##
# mod1h <- mmer(Ftime ~ Block + is.cross, data=DT2,</pre>
#
              random = ~
#
                # GCAC (only for hybrids)
                overlay(Par1, Par2):is.cross
              # male GCA (only for inbreds)
              + Par1:is.self
              # SCA (for hybrids only)
              + cross.id:is.cross)
# summary(mod1h)$varcomp
# ##
                                           VarComp VarCompSE Zratio
# ## overlay(Par1, Par2):is.cross.Ftime-Ftime 927.7895 537.91218 1.724797
                                          9960.9247 5456.58188 1.825488
# ## Par1:is.self.Ftime-Ftime
                                           341.4567 148.53667 2.298804
# ## cross.id:is.cross.Ftime-Ftime
                                           498.5974 73.92066 6.745035
# ## units.Ftime-Ftime
```

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```
# # In Mohring: mixed model 3 reduced
# mod1h <- mmer(Ftime ~ Block + is.cross, data=DT2,</pre>
              random = ~
                # GCAC (for hybrids only)
                overlay(Par1, Par2):is.cross
              # male GCA (for selfs only)
              + Par1:is.self
              # SCA (for hybrids only)
              + cross.id:is.cross
              # SCAR reciprocal effects
              + cross.id:cross.type)
# summary(mod1h)$varcomp
                                            VarComp VarCompSE
# ##
                                                              Zratio
# ## overlay(Par1, Par2):is.cross.Ftime-Ftime
                                           927.78742 537.89981 1.724833
# ## Par1:is.self.Ftime-Ftime
                                         10001.78854 5456.47578 1.833013
# ## cross.id:is.cross.Ftime-Ftime
                                           361.89712 148.54264 2.436318
# ## cross.id:cross.type.Ftime-Ftime
                                            66.43695 49.24492 1.349113
# ## units.Ftime-Ftime
                                           416.82960
                                                      74.27202 5.612203
# ##
# # In Mohring: mixed model 3
# mod1h <- mmer(Ftime ~ Block + is.cross, data=DT2,</pre>
              random = ~
                # GCAC (for hybrids only)
                overlay(Par1,Par2):is.cross
              # RGCA: exclude selfs (to identify reciprocal effects)
              + overlay(Par1,Par2):cross.type
              # male GCA (for selfs only)
              + Par1:is.self
              # SCA (for hybrids only)
              + cross.id:is.cross
              # SCAR: exclude selfs (if zero there's no reciprocal effects)
              + cross.id:cross.type)
# summary(mod1h)$varcomp
                                           VarComp VarCompSE
                                                                Zratio
# ## overlay(Par1, Par2):is.cross.Ftime-Ftime
                                           927.7843 537.88164 1.7248857
# ## Par1:is.self.Ftime-Ftime
                                          10001.7570 5456.30125 1.8330654
# ## cross.id:is.cross.Ftime-Ftime
                                           361.8958 148.53670 2.4364068
# ## overlay(Par1, Par2):cross.type.Ftime-Ftime 17.9799
                                                     19.92428 0.9024114
# ## cross.id:cross.type.Ftime-Ftime
                                            30.9519
                                                     46.43908 0.6665054
# ## units.Ftime-Ftime
```

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## **Description**

This dataset contains phenotpic data for 18 traits measured in 187 individuals from a potato diversity panel. In addition contains genotypic data for 221 individuals genotyped with 3522 SNP markers. Please if using this data for your own research make sure you cite Rosyara's (2015) publication (see References).

## Usage

```
data("DT_polyploid")
```

#### **Format**

The format is: chr "DT\_polyploid"

#### Source

This data was extracted from Rosyara (2016).

## References

If using this data for your own research please cite:

Rosyara Umesh R., Walter S. De Jong, David S. Douches, Jeffrey B. Endelman. Software for genome-wide association studies in autopolyploids and its application to potato. The Plant Genome 2015.

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer

DT\_rice

```
# numo$ref.allele[,1:5]
# ##### plants with both genotypes and phenotypes
# ###=======####
# common <- intersect(DT$Name,rownames(numo$M))</pre>
# ### get the markers and phenotypes for such inds
# ###=======####
# marks <- numo$M[common,]; marks[1:5,1:5]</pre>
# DT2 <- DT[match(common,DT$Name),];</pre>
# DT2 <- as.data.frame(DT2)</pre>
# DT2[1:5,]
#
# ###======####
# ##### Additive relationship matrix, specify ploidy
# ###=======####
# A <- A.mat(marks)
# D <- D.mat(marks)</pre>
# ###========####
# ### run as mixed model
# ###=======####
# ans <- mmer(tuber_shape~1,</pre>
           random=~vsr(Name, Gu=A),
           data=DT2)
# summary(ans)$varcomp
# Ai <- as(solve(A + diag(1e-4,ncol(A),ncol(A))), Class="dgCMatrix")
# ansb <- mmec(tuber_shape~1,</pre>
           random=~vsc(isc(Name), Gu=Ai),
#
           data=DT2)
# summary(ansb)$varcomp
```

DT\_rice

Rice lines dataset

## Description

Information from a collection of 413 rice lines. The DT\_rice data set is from Rice Diversity Org. Program. The lines are genotyped with 36,901 SNP markers and phenotyped for more than 30 traits. This data set was included in the package to play with it. If using it for your research make sure you cite the original publication from Zhao et al.(2011).

## Usage

```
data(DT_rice)
```

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## **Format**

RicePheno contains the phenotypes RiceGeno contains genotypes letter code RiceGenoN contains the genotypes in numerical code using atcg1234 converter function

#### **Source**

Rice Diversity Organization http://www.ricediversity.org/data/index.cfm.

## References

Keyan Zhao, Chih-Wei Tung, Georgia C. Eizenga, Mark H. Wright, M. Liakat Ali, Adam H. Price, Gareth J. Norton, M. Rafiqul Islam, Andy Reynolds, Jason Mezey, Anna M. McClung, Carlos D. Bustamante & Susan R. McCouch (2011). Genome-wide association mapping reveals a rich genetic architecture of complex traits in Oryza sativa. Nat Comm 2:467 DOI: 10.1038/ncomms1467, Published Online 13 Sep 2011.

## See Also

The core functions of the package mmer

```
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples using
#### command + shift + C |OR| control + shift + C
####========####
data(DT_rice)
# DT <- DT_rice
# GT <- GT_rice
# GTn <- GTn_rice
# head(DT)
# M <- atcg1234(GT)
# A <- A.mat(M$M)
# mix <- mmer(Protein.content~1,</pre>
             random = ~vsr(geno, Gu=A) + geno,
#
             rcov=~units,
             data=DT)
# summary(mix)$varcomp
# Ai <- as(solve(A + diag(1e-6,ncol(A),ncol(A))), Class="dgCMatrix")</pre>
# mixb <- mmec(Protein.content~1,</pre>
             random = ~vsc(isc(geno), Gu=Ai) + geno,
#
             rcov=~units,
             data=DT)
# summary(mixb)$varcomp
```

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DT\_sleepstudy

Reaction times in a sleep deprivation study

## **Description**

The average reaction time per day for subjects in a sleep deprivation study. On day 0 the subjects had their normal amount of sleep. Starting that night they were restricted to 3 hours of sleep per night. The observations represent the average reaction time on a series of tests given each day to each subject. Data from sleepstudy to see how lme4 models can be translated in sommer.

## Usage

```
data("DT_sleepstudy")
```

#### **Format**

The format is: chr "DT\_sleepstudy"

## **Source**

These data are from the study described in Belenky et al. (2003), for the sleep deprived group and for the first 10 days of the study, up to the recovery period.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Gregory Belenky et al. (2003) Patterns of performance degradation and restoration during sleep restrictions and subsequent recovery: a sleep dose-response study. Journal of Sleep Research 12, 1-12.

## See Also

The core functions of the package mmer

DT\_technow 63

```
# fm1 <- lmer(Reaction ~ Days + (1 | Subject), data=DT)</pre>
# vc <- VarCorr(fm1); print(vc,comp=c("Variance"))</pre>
## sommer
fm2 <- mmer(Reaction ~ Days,</pre>
           random= ~ Subject,
           data=DT, tolParInv = 1e-6, verbose = FALSE)
summary(fm2)$varcomp
## 1me4
# fm1 <- lmer(Reaction ~ Days + (Days || Subject), data=DT)</pre>
# vc <- VarCorr(fm1); print(vc,comp=c("Variance"))</pre>
fm2 <- mmer(Reaction ~ Days,</pre>
           random= ~ Subject + vsr(Days, Subject),
           data=DT, tolParInv = 1e-6, verbose = FALSE)
summary(fm2)$varcomp
## 1me4
# fm1 <- lmer(Reaction ~ Days + (Days | Subject), data=DT)</pre>
# vc <- VarCorr(fm1); print(vc,comp=c("Variance"))</pre>
## no equivalence in sommer to find the correlation between the 2 vc
## this is the most similar which is equivalent to (intercept || slope)
fm2 <- mmer(Reaction ~ Days,</pre>
           random= ~ Subject + vsr(Days, Subject),
           data=DT, tolParInv = 1e-6, verbose = FALSE)
summary(fm2)$varcomp
## 1me4
# fm1 <- lmer(Reaction ~ Days + (0 + Days | Subject), data=DT)</pre>
# vc <- VarCorr(fm1); print(vc,comp=c("Variance"))</pre>
## sommer
fm2 <- mmer(Reaction ~ Days,</pre>
           random= ~ vsr(Days, Subject),
           data=DT, tolParInv = 1e-6, verbose = FALSE)
summary(fm2)$varcomp
```

DT\_technow

Genotypic and Phenotypic data from single cross hybrids (Technow et al.,2014)

## **Description**

This dataset contains phenotpic data for 2 traits measured in 1254 single cross hybrids coming from the cross of Flint x Dent heterotic groups. In addition contains the genotipic data (35,478

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markers) for each of the 123 Dent lines and 86 Flint lines. The purpose of this data is to demosntrate the prediction of unrealized crosses (9324 unrealized crosses, 1254 evaluated, total 10578 single crosses). We have added the additive relationship matrix (A) but can be easily obtained using the A.mat function on the marker data. Please if using this data for your own research cite Technow et al. (2014) publication (see References).

### Usage

```
data("DT_technow")
```

#### **Format**

The format is: chr "DT\_technow"

#### Source

This data was extracted from Technow et al. (2014).

#### References

If using this data for your own research please cite:

Technow et al. 2014. Genome properties and prospects of genomic predictions of hybrid performance in a Breeding program of maize. Genetics 197:1343-1355.

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer

```
####===========####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples using
#### command + shift + C |OR| control + shift + C
####=======####
data(DT_technow)
DT <- DT_technow
Md <- Md_technow
Mf <- Mf_technow
# Md <- (Md*2) - 1
\# Mf <- (Mf*2) - 1
# Ad <- A.mat(Md)
# Af <- A.mat(Mf)
# ans2 <- mmer(GY~1,
             random=~vsr(dent,Gu=Ad) + vsr(flint,Gu=Af),
#
             rcov=~units,
```

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```
data=DT)
# summary(ans2)$varcomp
#
# Adi <- as(solve(Ad + diag(1e-4,ncol(Ad),ncol(Ad))), Class="dgCMatrix")
# Afi <- as(solve(Af + diag(1e-4,ncol(Af),ncol(Af))), Class="dgCMatrix")</pre>
# ans2b <- mmec(GY~1,</pre>
              random=~vsc(isc(dent),Gu=Adi) + vsc(isc(flint),Gu=Afi),
              rcov=~units,
              data=DT)
# summary(ans2b)$varcomp
# ####=========
# #### multivariate overlayed model
# ####=======####
# M <- rbind(Md,Mf)
# A <- A.mat(M)
# ans3 <- mmer(cbind(GY,GM)~1,</pre>
              random=~vsr(overlay(dent,flint),Gu=A),
#
              rcov=~vsr(units,Gtc=diag(2)),
#
               data=DT)
# summary(ans2)
# cov2cor(ans3$sigma[[1]])
```

DT\_wheat

wheat lines dataset

#### **Description**

Information from a collection of 599 historical CIMMYT wheat lines. The wheat data set is from CIMMYT's Global Wheat Program. Historically, this program has conducted numerous international trials across a wide variety of wheat-producing environments. The environments represented in these trials were grouped into four basic target sets of environments comprising four main agroclimatic regions previously defined and widely used by CIMMYT's Global Wheat Breeding Program. The phenotypic trait considered here was the average grain yield (GY) of the 599 wheat lines evaluated in each of these four mega-environments.

A pedigree tracing back many generations was available, and the Browse application of the International Crop Information System (ICIS), as described in (McLaren *et al.* 2000, 2005) was used for deriving the relationship matrix A among the 599 lines; it accounts for selection and inbreeding.

Wheat lines were recently genotyped using 1447 Diversity Array Technology (DArT) generated by Triticarte Pty. Ltd. (Canberra, Australia; http://www.triticarte.com.au). The DArT markers may take on two values, denoted by their presence or absence. Markers with a minor allele frequency lower than 0.05 were removed, and missing genotypes were imputed with samples from the marginal distribution of marker genotypes, that is,  $x_{ij} = Bernoulli(\hat{p}_j)$ , where  $\hat{p}_j$  is the estimated allele frequency computed from the non-missing genotypes. The number of DArT MMs after edition was 1279.

## Usage

```
data(DT_wheat)
```

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#### **Format**

Matrix Y contains the average grain yield, column 1: Grain yield for environment 1 and so on.

#### Source

International Maize and Wheat Improvement Center (CIMMYT), Mexico.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

McLaren, C. G., L. Ramos, C. Lopez, and W. Eusebio. 2000. "Applications of the geneaology manegment system." In *International Crop Information System. Technical Development Manual, version VI*, edited by McLaren, C. G., J.W. White and P.N. Fox. pp. 5.8-5.13. CIMMyT, Mexico: CIMMyT and IRRI.

McLaren, C. G., R. Bruskiewich, A.M. Portugal, and A.B. Cosico. 2005. The International Rice Information System. A platform for meta-analysis of rice crop data. *Plant Physiology* **139**: 637-642.

#### See Also

The core functions of the package mmer

```
####=======####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples using
#### command + shift + C |OR| control + shift + C
####=======####
# data(DT_wheat)
# DT <- DT_wheat
# GT <- GT_wheat
# DT <- as.data.frame(DT);colnames(DT) <- paste0("x",1:4);DT$line <- rownames(DT);</pre>
# rownames(GT) <- DT$line</pre>
# K <- A.mat(GT) # additive relationship matrix
# K[1:4,1:4]
# ###======####
# ###=======####
# ### using formula based 'mmer'
# ###=======####
# ###=======####
# head(DT)
# #### univariate
# mix0 <- mmer(x1~1,
       random = ~vsr(line,Gu=K),
          rcov=~units,
          data=DT)
```

DT\_yatesoats 67

```
# summary(mix0)$varcomp
#
# Ki <- as(solve(K + diag(1e-4,ncol(K),ncol(K))), Class="dgCMatrix")
# mix0b <- mmec(x1~1,
# random = ~vsc(isc(line),Gu=Ki),
# rcov=~units,
# data=DT)
# summary(mix0b)$varcomp</pre>
```

DT\_yatesoats

Yield of oats in a split-block experiment

## **Description**

The yield of oats from a split-plot field trial using three varieties and four levels of manurial treatment. The experiment was laid out in 6 blocks of 3 main plots, each split into 4 sub-plots. The varieties were applied to the main plots and the manurial (nitrogen) treatments to the sub-plots.

## **Format**

```
block block factor with 6 levels
nitro nitrogen treatment in hundredweight per acre
Variety genotype factor, 3 levels
yield yield in 1/4 lbs per sub-plot, each 1/80 acre.
row row location
column column location
```

## Source

Yates, Frank (1935) Complex experiments, *Journal of the Royal Statistical Society Suppl.* 2, 181–247.

### References

Venables, W. N. and Ripley, B. D. (2002) Modern Applied Statistics with S. Fourth edition. Springer.

```
### ======== ###
### ======== ###
data(DT_yatesoats)
DT <- DT_yatesoats
head(DT)
# m3 <- mmer(fixed=Y ~ V + N + V:N,</pre>
```

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```
# random = ~ B + B:MP,
# rcov=~units,
# data = DT)
# summary(m3)$varcomp
#
# m3b <- mmec(fixed=Y ~ V + N + V:N,
# random = ~ B + B:MP,
# rcov=~units,
# data = DT)
# summary(m3b)$varcomp</pre>
```

E.mat

Epistatic relationship matrix

# Description

Calculates the realized epistatic relationship matrix of second order (additive x additive, additive x dominance, or dominance x dominance) using hadamard products with the C++ Armadillo library.

# Usage

```
E.mat(X,endelman=TRUE,nishio=TRUE,type="A#A",min.MAF=0.02)
```

## **Arguments**

X	Matrix $(n \times m)$ of unphased genotypes for $n$ lines and $m$ biallelic markers, coded as $\{-1,0,1\}$ . Fractional (imputed) and missing values (NA) are allowed.
endelman	Set endelman=TRUE to use the estimation procedure for the A matrix (see Details in the A.mat help page).
nishio	If TRUE Nishio ans Satoh. (2014), otherwise Su et al. (2012) (see Details in the D.mat help page).
type	An argument specifying the type of epistatic relationship matrix desired. The default is the second order epistasis (additive x additive) type="A#A". Other options are additive x dominant (type="A#D"), or dominant by dominant (type="D#D").
min.MAF	Minimum minor allele frequency. The A matrix is not sensitive to rare alleles, so by default only monomorphic markers are removed.

## **Details**

it is computed as the Hadamard product of the epistatic relationship matrix; E=A#A, E=A#D, E=D#D.

#### Value

The epistatic relationship matrix is returned.

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Endelman, J.B., and J.-L. Jannink. 2012. Shrinkage estimation of the realized relationship matrix. G3:Genes, Genomes, Genetics. 2:1405-1413. doi: 10.1534/g3.112.004259

Nishio M and Satoh M. 2014. Including Dominance Effects in the Genomic BLUP Method for Genomic Evaluation. Plos One 9(1), doi:10.1371/journal.pone.0085792

Su G, Christensen OF, Ostersen T, Henryon M, Lund MS. 2012. Estimating Additive and Non-Additive Genetic Variances and Predicting Genetic Merits Using Genome-Wide Dense Single Nucleotide Polymorphism Markers. PLoS ONE 7(9): e45293. doi:10.1371/journal.pone.0045293

#### See Also

The core functions of the package mmer

## **Examples**

```
####======####
####random population of 200 lines with 1000 markers
####===========####

X <- matrix(rep(0,200*1000),200,1000)
for (i in 1:200) {
    X[i,] <- sample(c(-1,0,0,1), size=1000, replace=TRUE)
}

E <- E.mat(X, type="A#A")
# if heterozygote markers are present can be used "A#D" or "D#D"</pre>
```

ΕM

Expectation Maximization Algorithm

## **Description**

Univariate version of the expectation maximization (EM) algorithm.

# Usage

```
EM(y,X=NULL,ZETA=NULL,R=NULL,iters=30,draw=TRUE,silent=FALSE,
    constraint=TRUE, init=NULL, forced=NULL, tolpar = 1e-04,
    tolparinv = 1e-06)
```

### **Arguments**

```
y a numeric vector for the response variable
X an incidence matrix for fixed effects.
```

ZETA an incidence matrix for random effects. This can be for one or more random

effects. This NEEDS TO BE PROVIDED AS A LIST STRUCTURE. For example Z=list(list(Z=Z1, K=K1), list(Z=Z2, K=K2), list(Z=Z3, K=K3)) makes a 2 level list for 3 random effects. The general idea is that each random effect with or without its variance-covariance structure is a list, i.e. list(Z=Z1, K=K1) where Z is the incidence matrix and K the var-cov matrix. When moving to more than one random effect we need to make several lists that need to be inside another list. What we call a 2-level list, i.e. list(Z=Z1, K=K1) and list(Z=Z2, K=K2) would need to be put in the form; list(list(Z=Z1, K=K1),list(Z=Z1, K=K1)),

which as can be seen, is a list of lists (2-level list).

R a list of matrices for residuals, i.e. for longitudinal data. if not passed is assumed

an identity matrix.

draw a TRUE/FALSE value indicating if a plot of updated values for the variance

components and the likelihood should be drawn or not. The default is TRUE.

COMPUTATION TIME IS SMALLER IF YOU DON'T PLOT SETTING draw=FALSE

silent a TRUE/FALSE value indicating if the function should draw the progress bar or

iterations performed while working or should not be displayed.

iters a scalar value indicating how many iterations have to be performed if the EM is

performed. There is no rule of tumb for the number of iterations. The default

value is 100 iterations or EM steps.

constraint a TRUE/FALSE value indicating if the program should use the boundary con-

straint when one or more variance component is close to the zero boundary. The default is TRUE but needs to be used carefully. It works ideally when few variance components are close to the boundary but when there are too many variance components close to zero we highly recommend setting this parameter to FALSE since is more likely to get the right value of the variance components

in this way.

init vector of initial values for the variance components. By default this is NULL

and variance components are estimated by the method selected, but in case the

user want to provide initial values this argument is functional.

forced a vector of numeric values for variance components including error if the user

wants to force the values of the variance components. On the meantime only works for forcing all of them and not a subset of them. The default is NULL,

meaning that variance components will be estimated by REML/ML.

tolpar tolerance parameter for convergence in the models.

tolparinv tolerance parameter for matrix inversion in the models.

#### **Details**

This algorithm is based on Searle (1993) and Bernanrdo (2010). This handles models of the form:

y = Xb + Zu + e

b ~ N[b.hat, 0] .....zero variance because is a fixed term

 $u \sim N[0, K*sigma(u)] \dots where: K*sigma(u) = G$ 

 $e \sim N[0, I*sigma(e)] \dots where: I*sigma(e) = R$ 

```
y \sim N[Xb, var(Zu+e)] .....where;
var(y) = var(Zu+e) = ZGZ+R = V which is the phenotypic variance
The function allows the user to specify the incidence matrices with their respective variance-covariance
matrix in a 2 level list structure. For example imagine a mixed model with the following design:
fixed = only intercept......b ~ N[b.hat, 0]
random = GCA1 + GCA2 + SCA....u \sim N[0, G]
where G is:
|K*sigma(gca1).....0......
The function is based on useing initial values for variance components, i.e.:
var(e) <- 100 var(u1) <- 100 with incidence matrix Z1 var(u2) <- 100 with incidence matrix Z2
var(u3) <- 100 with incidence matrix Z3
and estimates the lambda(vx) values in the mixed model equations (MME) developed by Henderson
(1975), i.e. consider the 3 random effects stated above, the MME are:
|.....Z1'*R*X......Z1'*R*Z1+K1*v1....Z1'*R*Z2......Z1'*R*Z3.......| |...Z1'Ry...|
| .......Z2'*R*X......Z2'*R*Z1......Z2'*R*Z2+K2*v2....Z2'*R*Z3..................
L......Z3'*R*X......Z3'*R*Z1......Z3'*R*Z2......Z3'*R*Z3+K3*v3.....| L...Z3'Ry...|
```

where "\*"" is a matrix product, R is the inverse of the var-cov matrix for the errors, Z1, Z2, Z3 are incidence matrices for random effects, X is the incidence matrix for fixed effects, K1,K2, K3 are the var-cov matrices for random effects and v1,v2,v3 are the estimates of variance components. The algorithm can be summarized in the next steps: . 1) provide initial values for the variance components 2) estimate the coefficient matrix from MME known as "C" 3) solve the mixed equations as theta = RHS \* C.inv 4) obtain new estimates of fixed (b's) and random effects (u's) called theta 5) update values for variance components according to formulas 6) steps are repeated for a number of iterations specified by the user, ideally is enough when no more variations in the estimates is found, in several problems that could take thousands of iterations, whereas in other 10 iterations could be enough.

#### Value

If all parameters are correctly indicated the program will return a list with the following information:

**\$var.com** a vector with the values of the variance components estimated

**\$V.inv** a matrix with the inverse of the phenotypic variance V = ZGZ+R,  $V^-1$ 

**\$u.hat** a vector with BLUPs for random effects

**\$Var.u.hat** a vector with variances for BLUPs

**\$PEV.u.hat** a vector with predicted error variance for BLUPs

**\$beta.hat** a vector for BLUEs of fixed effects

**\$Var.beta.hat** a vector with variances for BLUEs

**\$X** incidence matrix for fixed effects

\$Z incidence matrix for random effects, if not passed is assumed to be a diagonal matrix

**\$K** the var-cov matrix for the random effect fitted in Z

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Bernardo Rex. 2010. Breeding for quantitative traits in plants. Second edition. Stemma Press. 390 pp. Searle. 1993. Applying the EM algorithm to calculating ML and REML estimates of variance components. Paper invited for the 1993 American Statistical Association Meeting, San Francisco.

#### See Also

The core functions of the package mmer

```
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####========####
# ## Import phenotypic data on inbred performance
# ## Full data
# data("DT_cornhybrids")
# hybrid2 <- DT_cornhybrids # extract cross data</pre>
# A <- GT_cornhybrids # extract the var-cov K
# ## breeding values with 3 variance components
# y <- hybrid2$Yield
# X1 <- model.matrix(~ Location, data = hybrid2);dim(X1)</pre>
# Z1 <- model.matrix(~ GCA1 -1, data = hybrid2);dim(Z1)</pre>
```

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```
# Z2 <- model.matrix(~ GCA2 -1, data = hybrid2);dim(Z2)
# Z3 <- model.matrix(~ SCA -1, data = hybrid2);dim(Z3)
#
# K1 <- A[levels(hybrid2$GCA1), levels(hybrid2$GCA1)]; dim(K1)
# ## Realized IBS relationships for set of parents 1
# K2 <- A[levels(hybrid2$GCA2), levels(hybrid2$GCA2)]; dim(K2)
# ## Realized IBS relationships for set of parents 2
# S <- kronecker(K1, K2); dim(S)
# ## Realized IBS relationships for cross (as the Kronecker product of K1 and K2)
# rownames(S) <- colnames(S) <- levels(hybrid2$SCA)
#
# ETA <- list(list(Z=Z1, K=K1), list(Z=Z2, K=K2))#, list(Z=Z3, K=S))
# ans <- EM(y=y, ZETA=ETA, iters=50)
# ans$var.comp
#
# compare with NR method
# mix1 <- mmer(Yield~1, random=~vs(GCA1,Gu=K1)+vs(GCA2,Gu=K2), data=hybrid2)
# summary(mix1)$varcomp
#</pre>
```

fcm

fixed effect constraint indication matrix

## **Description**

fcm creates a matrix with the correct number of columns to specify a constraint in the fixed effects using the Gtc argument of the vsr function.

#### **Usage**

```
fcm(x, reps=NULL)
```

#### **Arguments**

Х

vector of 1's and 0's corresponding to the traits for which this fixed effect should be fitted. For example, for a trivariate model if the fixed effect "x" wants to be fitted only for trait 1 and 2 but not for the 3rd trait then you would use fcm(c(1,1,0)) in the Gtc argument of the vsr() function.

reps

integer specifying the number of times the matrix should be repeated in a list format to provide easily the constraints in complex models that use the ds(), us() or cs() structures.

#### Value

**\$res** a matrix or a list of matrices with the constraints to be provided in the Gtc argument of the vsr function.

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### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

### See Also

The function vsr to know how to use fcm in the mmer solver.

## **Examples**

```
fcm(c(1,1,0))
fcm(c(0,1,1))
fcm(c(1,1,1))
fcm(c(1,1,1),2)
# ## model with Env estimated for both traits
# data(DT_example)
# DT <- DT_example</pre>
# A <- A_example
# ans4 <- mmer(cbind(Yield, Weight) ~ Env,</pre>
                random= ~ vsr(Name) + vsr(Env:Name),
                rcov= ~ vsr(units),
#
                data=DT)
# summary(ans4)$betas
# ## model with Env only estimated for Yield
# ans4b <- mmer(cbind(Yield, Weight) ~ vsr(Env, Gtc=fcm(c(1,0))),</pre>
               random= ~ vsr(Name) + vsr(Env:Name),
               rcov= ~ vsr(units),
               data=DT)
# summary(ans4b)$betas
```

fitted.mmec

fitted form a LMM fitted with mmec

# **Description**

fitted method for class "mmec".

## Usage

```
## S3 method for class 'mmec'
fitted(object, ...)
```

fitted.mmer 75

# Arguments

```
object an object of class "mmec"
... Further arguments to be passed to the mmec function
```

#### Value

vector of fitted values of the form y.hat = Xb + Zu including all terms of the model.

### Author(s)

Giovanny Covarrubias

### See Also

```
fitted, mmec
```

## **Examples**

```
# data(DT_cpdata)
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# #### create the variance-covariance matrix
# A <- A.mat(GT) # additive relationship matrix
# #### look at the data and fit the model
# head(DT)
# mix1 <- mmer(Yield~1,</pre>
                random=~vsr(id,Gu=A)
                        + Rowf + Colf + spl2Da(Row,Col),
                rcov=~units,
                data=DT)
# ff=fitted(mix1)
# colfunc <- colorRampPalette(c("steelblue4", "springgreen", "yellow"))</pre>
# lattice::wireframe(`u:Row.fitted`~Row*Col, data=ff$dataWithFitted,
#
            aspect=c(61/87,0.4), drape=TRUE,# col.regions = colfunc,
            light.source=c(10,0,10))
# lattice::levelplot(`u:Row.fitted`~Row*Col, data=ff$dataWithFitted, col.regions = colfunc)
```

fitted.mmer

fitted form a LMM fitted with mmer

## **Description**

fitted method for class "mmer".

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### Usage

```
## S3 method for class 'mmer'
fitted(object, ...)
```

## **Arguments**

object an object of class "mmer"
... Further arguments to be passed to the mmer function

## Value

vector of fitted values of the form y.hat = Xb + Zu including all terms of the model.

## Author(s)

Giovanny Covarrubias

### See Also

fitted, mmer

```
# data(DT_cpdata)
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# #### create the variance-covariance matrix
# A <- A.mat(GT) # additive relationship matrix
# #### look at the data and fit the model
# head(DT)
# mix1 <- mmer(Yield~1,</pre>
                random=~vsr(id,Gu=A)
                       + Rowf + Colf + spl2Da(Row,Col),
                rcov=~units,
                data=DT)
# ff=fitted(mix1)
# colfunc <- colorRampPalette(c("steelblue4", "springgreen", "yellow"))</pre>
# lattice::wireframe(`u:Row.fitted`~Row*Col, data=ff$dataWithFitted,
#
            aspect=c(61/87,0.4), drape=TRUE,# col.regions = colfunc,
            light.source=c(10,0,10))
# lattice::levelplot(`u:Row.fitted`~Row*Col, data=ff$dataWithFitted, col.regions = colfunc)
```

fixm 77

fixm

fixed indication matrix

# Description

fixm creates a square matrix with 3's in the diagnals and off-diagonals to quickly specify a fixed constraint in the Gtc argument of the vsr function.

## Usage

```
fixm(x, reps=NULL)
```

# Arguments

x integer specifying the number of traits to be fitted for a given random effect.

reps integer specifying the number of times the matrix should be repeated in a list

format to provide easily the constraints in complex models that use the ds(), us()

or cs() structures.

### Value

**\$res** a matrix or a list of matrices with the constraints to be provided in the Gtc argument of the vsr function.

## Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

# See Also

The function vsr to know how to use fixm in the mmer solver.

```
fixm(4)
fixm(4,2)
```

78 gvsr

gvsr

general variance structure specification

## **Description**

gvsr function to build general variance-covariance structures for combination of random effects to be fitted in the mmer solver.

## Usage

```
gvsr(..., Gu=NULL, Guc=NULL, Gti=NULL, Gtc=NULL, form=NULL)
```

## **Arguments**

. . .

names of the random effects (variables in the dataset) to be used to create a general variance structure. For example, for 2 random effects (variables); mom and progeny, a model specified as:

```
gvsr(mom, progeny)
```

will create a variance structure of the form:

```
| sigma2.m sigma.pm |
| sigma.pm sigma2.p |
```

where not only variance components for each random effect will be estimated but also the covariance component between the 2 random effects is estimated. The user can also provide a numeric vector or matrix to be considered the design matrix for the ith random effect. More than two random effects can be provided.

Gu

list of matrices with the known variance-covariance values for the levels of the different random effects provided in the "..." argument (i.e. relationship matrix among individuals or any other known covariance matrix). If NULL, then an identity matrix is assumed. For example, a model with 2 random effects with covariance structure should be provided as:

```
gvsr(mom, progeny, Gu=list(Am,Ap))
```

where Am and Ap are the relationship matrices for the random effects for mom and progeny respectively.

Guc

matrix with the constraints for the u random effects. This is used to specify which variance and covariance parameters between the 1 to 1 combinations of random effects should be estimated. For example, for 2 random effects the expected variance-covariance matrix expected to be estimated (when the default Guc=NULL) is and unstructured model:

```
| sigma2.m sigma.pm |
| sigma.pm sigma2.p |
```

but the user can constrain which parameters should be estimated. Providing:

Guc=diag(2) would fit:

```
| sigma2.m ...0... |
| ...0... sigma2.p |
```

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Gti matrix with dimensions t x t (t equal to number of traits) with initial values of the variance-covariance components for the random effect specified in the ....

argument. If the value is NULL the program will provide the initial values.

matrix with dimensions t x t (t equal to number of traits) of constraints for the variance-covariance components for the random effect specified in the ... argu-

ment according to the following rules:

0: not to be estimated

1: estimated and constrained to be positive (i.e. variance component)

2: estimated and unconstrained (can be negative or positive, i.e. covariance component)

3: not to be estimated but fixed (value has to be provided in the Gti argument)

In the multi-response scenario if the user doesn't specify this argument the default is to build an unstructured matrix (using the unsm() function). This argument needs to be used wisely since some covariance among responses may not make sense. Useful functions to specify constraints are; diag(), unsm(), fixm().

an additional structure to specify a kronecker product on top of the general co-

variance structure defined in the ... argument.

#### Value

form

Gtc

**\$res** a list with all neccesary elements (incidence matrices, known var-cov structures, unknown covariance structures to be estimated and constraints) to be used in the mmer solver.

### Author(s)

Giovanny Covarrubias-Pazaran

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Covarrubias-Pazaran G (2018) Software update: Moving the R package sommer to multivariate mixed models for genome-assisted prediction. doi: https://doi.org/10.1101/354639

#### See Also

The core function of the package: mmer

```
data(DT_ige)
DT <- DT_ige
Af <- A_ige
An <- A_ige
### Direct genetic effects model
# modDGE <- mmer(trait ~ block,
# random = ~ focal,
# rcov = ~ units,</pre>
```

```
data = DT)
#
 summary(modDGE)$varcomp
### Indirect genetic effects model without covariance between DGE and IGE
# modDGE <- mmer(trait ~ block,</pre>
                 random = ~focal + neighbour,
#
                 rcov = ~ units,
                 data = DT)
# summary(modDGE)$varcomp
### Indirect genetic effects model with covariance between DGE and IGE
# modIGE <- mmer(trait ~ block,</pre>
#
                 random = ~ gvsr(focal, neighbour),
#
                rcov = ~ units, iters=4,
#
                 data = DT)
 summary(modIGE)$varcomp
#
#
### Indirect genetic effects model with covariance between DGE and IGE using relatioship matrices
# modIGEb <- mmer(trait ~ block,</pre>
#
                 random = ~ gvsr(focal, neighbour, Gu=list(Af,An)),
                 rcov = ~ units,
#
#
                 data = DT)
# summary(modIGEb)$varcomp
```

**GWAS** 

Genome wide association study analysis

#### **Description**

Fits a multivariate/univariate linear mixed model GWAS by likelihood methods (REML), see the Details section below. It uses the mmer function and its core coded in C++ using the Armadillo library to optimize dense matrix operations common in the derect-inversion algorithms. After the model fit extracts the inverse of the phenotypic variance matrix to perform the association test for the "p" markers. Please check the Details section (Model enabled) if you have any issue with making the function run.

The package also provides functions to estimate additive (A.mat), dominance (D.mat), epistatic (E.mat) and single step (H.mat) relationship matrices to model known covariances among genotypes typical in plant and animal breeding problems. Other functions to build known covariance structures among levels of random effects are autoregresive (AR1), compound symmetry (CS) and autoregressive moving average (ARMA) where the user needs to fix the correlation value for such models (this is different to estimating unknown covariance structures). Additionally, overlayed models can be implemented as well (overlay function). Spatial modeling can be done through the two dimensional splines (spl2Da and spl2Db). Random regression models can also be fitted through the (leg) function (orthopolynom package installation is needed for using the leg function).

The sommer package is updated on CRAN every 3-months due to CRAN policies but you can find the latest source at https://github.com/covaruber/sommer. This can be easily installed typing the following in the R console:

```
library(devtools)
```

install\_github("covaruber/sommer")

This is recommended since bugs fixes will be immediately available in the GitHub source. **For tutorials** on how to perform different analysis with sommer please look at the vignettes by typing in the terminal:

```
vignette("v1.sommer.quick.start")
vignette("v2.sommer.changes.and.faqs")
vignette("v3.sommer.qg")
vignette("v4.sommer.gxe")
or visit https://covaruber.github.io
```

#### Usage

```
GWAS(fixed, random, rcov, data, weights, W,
    nIters=20, tolParConvLL = 1e-03, tolParInv = 1e-06,
    init=NULL, constraints=NULL,method="NR",
    getPEV=TRUE,naMethodX="exclude",
    naMethodY="exclude",returnParam=FALSE,
    dateWarning=TRUE,date.warning=TRUE,verbose=FALSE,
    stepWeight=NULL, emWeight=NULL,
    M=NULL, gTerm=NULL, n.PC = 0, min.MAF = 0.05,
    P3D = TRUE)
```

# **Arguments**

fixed

A formula specifying the response variable(s) and fixed effects, i.e:

response ~ covariate for univariate models

cbind(response.i,response.j) ~ covariate for multivariate models

The fcm() function can be used to constrain fixed effects in multi-response models.

random

a formula specifying the name of the **random effects**, i.e.  $random = \sim genotype + year$ .

Useful functions can be used to fit heterogeneous variances and other special models (see 'Special Functions' in the Details section for more information):

vsr(...,Gu,Gt,Gtc) is the main function to specify variance models and special structures for random effects. On the ... argument you provide the unknown variance-covariance structures (i.e. usr,dsr,at,csr) and the random effect where such covariance structure will be used (the random effect of interest). Gu is used to provide known covariance matrices among the levels of the random effect, Gt initial values and Gtc for constraints. Auxiliar functions for building the variance models are:

\*\* dsr(x), usr(x), csr(x) and atr(x,levs) can be used to specify unknown diagonal, unstructured and customized unstructured and diagonal covariance structures to be estimated by REML.

\*\* unsm(x), fixm(x) and diag(x) can be used to build easily matrices to specify constraints in the Gtc argument of the vsr() function.

\*\* overlay(), spl2Da(), spl2Db(), and leg() functions can be used to specify overlayed of design matrices of random effects, two dimensional spline and random regression models within the vsr() function.

rcov a formula specifying the name of the **error term**, i.e. *rcov*= ~ *units*.

The functions that can be used to fit heterogeneous residual variances are the same used on the random term but the random effect is always "units", i.e.

rcov=~vsr(dsr(Location),units)

data a data frame containing the variables specified in the formulas for response,

fixed, and random effects.

weights name of the covariate for weights. To be used for the product R = Wsi\*R\*Wsi,

where \* is the matrix product, Wsi is the square root of the inverse of W and R

is the residual matrix.

Alternatively, instead of providing a vector of weights the user can specify an entire W matrix (e.g., when covariances exist). To be used first to produce Wis = solve(chol(W)), and then calculate R = Wsi\*R\*Wsi.t(), where \* is the matrix product, and R is the residual matrix. Only one of the arguments weights or W

should be used. If both are indicated W will be given the preference.

nIters Maximum number of iterations allowed. Default value is 15.

tolParConvLL Convergence criteria.

W

init

constraints

method

tolParInv tolerance parameter for matrix inverse used when singularities are encountered.

initial values for the variance components. By default this is NULL and variance components are estimated by the method selected, but in case the user want to provide initial values for ALL var-cov components this argument is functional. It has to be provided as a list or an array, where each list element is one variance component and if multitrait model is pursued each element of the list is a matrix of variance covariance components among traits. Initial values can also be provided in the Gt argument of the vsr function. Is highly encouraged to use the Gt

and Gtc arguments of the vsr function instead of this argument

when initial values are provided these have to be accompanied by their constraints. See the vsr function for more details on the constraints. Is highly encouraged to use the Gt and Gtc arguments of the vsr function instead of this

argument.

this refers to the method or algorithm to be used for estimating variance com-

ponents. Direct-inversion Newton-Raphson NR and Average Information AI

(Tunnicliffe 1989; Gilmour et al. 1995; Lee et al. 2015).

getPEV a TRUE/FALSE value indicating if the program should return the predicted error

variance and variance for random effects. This option is provided since this can

take a long time for certain models where p > n by a big extent.

naMethodX one of the two possible values; "include" or "exclude". If "include" is selected

then the function will impute the X matrices for fixed effects with the median value. If "exclude" is selected it will get rid of all rows with missing values for the X (fixed) covariates. The default is "exclude". The "include" option should

be used carefully.

naMethodY one of the three possible values; "include", "include" or "exclude". If "include"

is selected then the function will impute the response variables with the median value. The difference between "include" and "include2" is only available in the multitrait models when the imputation can happen for the entire matrix of responses or only for complete cases ("include2"). If "exclude" is selected it will get rid of rows in responses where missing values are present for the estimation

of variance components. The default is "exclude".

returnParam a TRUE/FALSE value to indicate if the program should return the parameters

used for modeling without fitting the model.

dateWarning a TRUE/FALSE value to indicate if the program should warn you when is time

to update the sommer package.

date.warning a TRUE/FALSE value to indicate if the program should warn you when is time

to update the sommer package.

verbose a TRUE/FALSE value to indicate if the program should return the progress of

the iterative algorithm.

stepWeight A vector of values (of length equal to the number of iterations) indicating the

weight used to multiply the update (delta) for variance components at each iteration. If NULL the 1st iteration will be multiplied by 0.5, the 2nd by 0.7, and the rest by 0.9. This argument can help to avoid that variance components go outside the parameter space in the initial iterations which doesn't happen very often with the NR method but it can be detected by looking at the behavior of the likelihood. In that case you may want to give a smaller weight to the initial

8-10 iterations.

emWeight A vector of values (of length equal to the number of iterations) indicating with

values between 0 and 1 the weight assigned to the EM information matrix. And the values 1 - emWeight will be applied to the NR/AI information matrix to produce a joint information matrix. If NULL weights for EM information matrix

are zero and 1 for the NR/AI information matrix.

M The marker matrix containing the marker scores for each level of the random

effect selected in the gTerm argument, coded as numeric based on the number of reference alleles in the genotype call, e.g. -1,0,1 = aa,Aa,AA, levels in diploid individuals. Individuals in rows and markers in columns. No additional columns should be provided, is a purely numerical matrix. Similar logic applies to poly-

ploid individuals, e.g. -3,-2,-1,0,1,2,3 = aaaa,aaaA,aaAA,Aaaa,AAaa,AAAAA.

gTerm a character vector indicating the random effect linked to the marker matrix M

(i.e. the genetic term) in the model. The random effect selected should have the same number of levels than the number of rows of M. When fitting only a random effect without a special covariance structure (e.g., dsr, usr, etc.) you will need to add the call 'u:' to the name of the random effect given the behavior of the naming rules of the solver when having a simple random effect without

covariance structure.

n.PC Number of principal components to include as fixed effects. Default is 0 (equals

K model).

min. MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

P3D

When P3D=TRUE, variance components are estimated by REML only once, without any markers in the model and then a for loop for hypothesis testing is performed. When P3D=FALSE, variance components are estimated by REML for each marker separately. The latter can be quite time consuming. As many models will be run as number of marker.

#### **Details**

#### Citation

Type *citation("sommer")* to know how to cite the sommer package in your publications.

#### **Models Enabled**

For details about the models enabled and more information about the covariance structures please check the help page of the package (sommer). In general the GWAS model implemented in sommer to obtain marker effect is a generalized linear model of the form:

```
b = (X'V-X)X'V-y
```

with X = ZMi

where: b is the marker effect (dimensions 1 x mt) y is the response variable (univariate or multivariate) (dimensions 1 x nt) V- is the inverse of the phenotypic variance matrix (dimensions nt x nt) Z is the incidence matrix for the random effect selected (gTerm argument) to perform the GWAS (dimensions nt x ut) Mi is the ith column of the marker matrix (M argument) (dimensions u x m)

for t traits, n observations, m markers and u levels of the random effect. Depending if P3D is TRUE or FALSE the V- matrix will be calculated once and used for all marker tests (P3D=TRUE) or estimated through REML for each marker (P3D=FALSE).

### **Special Functions**

```
vsr(atr(x,levels),y)
```

can be used to specify heterogeneous variance for the "y"" factor covariate at specific levels of the factor covariate "x", i.e.  $random = \sim vsr(at(Location, c("A", "B")), ID)$  fits a variance component for ID at levels A and B of the factor covariate Location.

```
vsr(dsr(x),y)
```

can be used to specify a diagonal covariance structure for the "y"" covariate for all levels of the factor covariate "x", i.e.  $random = \sim vsr(dsr(Location, ID))$  fits a variance component for ID at all levels of the factor covariate Location.

```
vsr(usr(x),y)
```

can be used to specify an unstructured covariance structure for the "y"" covariate for all levels of the factor covariate "x", i.e.  $random = \sim vsr(usr(Location), ID)$  fits variance and covariance components for ID at all levels of the factor covariate Location.

```
vsr(overlay(...,rlist=NULL,prefix=NULL))
```

can be used to specify overlay of design matrices between consecutive random effects specified, i.e.  $random = \sim overlay(male, female)$  overlays (overlaps) the incidence matrices for the male and female random effects to obtain a single variance component for both effects. The 'rlist' argument is a list with each element being a numeric value that multiplies the incidence matrix to be overlayed. See overlay for details. Can be combined with vsr().

```
spl2Da(x.coord, y.coord, at.var, at.levels))
```

can be used to fit a 2-dimensional spline (i.e. spatial modeling) using coordinates x.coord and y.coord (in numeric class) assuming a single variance component. The 2D spline can be fitted at specific levels using the at and at.levels arguments. For example  $random=\sim spl2Da(x.coord=Row,y.coord=Range,at.var=spl2Db(x.coord, y.coord, at.var, at.levels))$ 

can be used to fit a 2-dimensional spline (i.e. spatial modeling) using coordinates x.coord and y.coord (in numeric class) assuming multiple variance components. The 2D spline can be fitted at specific levels using the at and at.levels arguments. For example random=~spl2Db(x.coord=Row,y.coord=Range,at.var=.

For a short tutorial on how to use this special functions you can look at the vignettes by typing in the terminal:

vignette('sommer.start')

## Bug report and contact

If you have any technical questions or suggestions please post it in https://stackoverflow.com or https://stats.stackexchange.com.

If you have any bug report please go to https://github.com/covaruber/sommer or send me an email to address it asap.

#### **Example Datasets**

The package has been equiped with several datasets to learn how to use the sommer package:

- \* DT\_halfdiallel and DT\_fulldiallel datasets have examples to fit half and full diallel designs.
- \* DT\_h2 to calculate heritability
- \* DT\_cornhybrids and DT\_technow datasets to perform genomic prediction in hybrid single crosses
- \* DT\_wheat dataset to do genomic prediction in single crosses in species displaying only additive effects.
- \* DT\_cpdata dataset to fit genomic prediction models within a biparental population coming from 2 highly heterozygous parents including additive, dominance and epistatic effects.
- \* DT\_polyploid to fit genomic prediction and GWAS analysis in polyploids.
- \* DT\_gryphon data contains an example of an animal model including pedigree information.
- \* DT\_btdata dataset contains an animal (birds) model.

#### **Additional Functions**

Other functions such as summary, fitted, randef (notice here is randef not ranef), anova, variogram, residuals, coef and plot applicable to typical linear models can also be applied to models fitted using the GWAS-type of functions.

Additional functions for genetic analysis have been included such as build a genotypic hybrid marker matrix (build.HMM), plot of genetic maps (map.plot), creation of manhattan plots (manhattan). If you need to use pedigree you need to convert your pedigree into a relationship matrix (i.e. use the getA function from the pedigreemm package).

Useful functions for analyzing field trials are included such as the spl2Da and spl2Db.

## Value

If all parameters are correctly indicated the program will return a list with the following information:

Vi the inverse of the phenotypic variance matrix  $V^- = (ZGZ+R)^{-1}$ 

sigma a list with the values of the variance-covariance components with one list ele-

ment for each random effect.

sigma\_scaled a list with the values of the scaled variance-covariance components with one list

element for each random effect.

sigmaSE Hessian matrix containing the variance-covariance for the variance components.

SE's can be obtained taking the square root of the diagonal values of the Hessian.

Beta a data frame for trait BLUEs (fixed effects).

VarBeta a variance-covariance matrix for trait BLUEs

U a list (one element for each random effect) with a data frame for trait BLUPs.

VarU a list (one element for each random effect) with the variance-covariance matrix

for trait BLUPs.

PevU a list (one element for each random effect) with the predicted error variance

matrix for trait BLUPs.

fitted Fitted values y.hat=XB residuals Residual values e = Y - XB AIC Akaike information criterion BIC Bayesian information criterion

convergence a TRUE/FALSE statement indicating if the model converged.

monitor The values of log-likelihood and variance-covariance components across itera-

tions during the REML estimation.

scores marker scores (-log\_10p) for the traits

method The method for extimation of variance components specified by the user.

constraints contraints used in the mixed models for the random effects.

### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G. Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 2016, 11(6): doi:10.1371/journal.pone.0156744

Covarrubias-Pazaran G. 2018. Software update: Moving the R package sommer to multivariate mixed models for genome-assisted prediction. doi: https://doi.org/10.1101/354639

Bernardo Rex. 2010. Breeding for quantitative traits in plants. Second edition. Stemma Press. 390 pp.

Gilmour et al. 1995. Average Information REML: An efficient algorithm for variance parameter estimation in linear mixed models. Biometrics 51(4):1440-1450.

Kang et al. 2008. Efficient control of population structure in model organism association mapping. Genetics 178:1709-1723.

Lee, D.-J., Durban, M., and Eilers, P.H.C. (2013). Efficient two-dimensional smoothing with P-spline ANOVA mixed models and nested bases. Computational Statistics and Data Analysis, 61, 22 - 37.

Lee et al. 2015. MTG2: An efficient algorithm for multivariate linear mixed model analysis based on genomic information. Cold Spring Harbor. doi: http://dx.doi.org/10.1101/027201.

Maier et al. 2015. Joint analysis of psychiatric disorders increases accuracy of risk prediction for schizophrenia, bipolar disorder, and major depressive disorder. Am J Hum Genet; 96(2):283-294.

Rodriguez-Alvarez, Maria Xose, et al. Correcting for spatial heterogeneity in plant breeding experiments with P-splines. Spatial Statistics 23 (2018): 52-71.

Searle. 1993. Applying the EM algorithm to calculating ML and REML estimates of variance components. Paper invited for the 1993 American Statistical Association Meeting, San Francisco.

Yu et al. 2006. A unified mixed-model method for association mapping that accounts for multiple levels of relatedness. Genetics 38:203-208.

Tunnicliffe W. 1989. On the use of marginal likelihood in time series model estimation. JRSS 51(1):15-27.

Zhang et al. 2010. Mixed linear model approach adapted for genome-wide association studies. Nat. Genet. 42:355-360.

```
####========####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples using
#### command + shift + C |OR| control + shift + C
####=========####
#####=========####
##### potato example
#####========####
# data(DT_polyploid)
# DT <- DT_polyploid
# GT <- GT_polyploid
# MP <- MP_polyploid
# ####========####
# ###### convert markers to numeric format
# ####=======####
# numo <- atcg1234(data=GT, ploidy=4);</pre>
# numo$M[1:5,1:5];
# numo$ref.allele[,1:5]
# ###======####
# ##### plants with both genotypes and phenotypes
# ###=======####
# common <- intersect(DT$Name,rownames(numo$M))</pre>
# ###========####
# ### get the markers and phenotypes for such inds
# marks <- numo$M[common,]; marks[1:5,1:5]</pre>
# DT2 <- DT[match(common,DT$Name),];</pre>
# DT2 <- as.data.frame(DT2)</pre>
```

88 H.mat

H.mat

Combined relationship matrix H

### **Description**

Given a matrix A and a matrix G returns a H matrix with the C++ Armadillo library.

## Usage

```
H.mat(A, G, tau = 1, omega = 1, tolparinv=1e-6)
```

### **Arguments**

A Additive relationship matrix based on pedigree.

G Additive relationship matrix based on marker data.

tau As described by Martini et al. (2018).

omega As described by Martini et al. (2018).

tolparinv Tolerance parameter for matrix inverse used when singularities are encountered

in the estimation procedure.

### **Details**

See references

### Value

H Matrix with the relationship between the individuals based on pedigree and corrected by molecular information

imputev 89

### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Martini, J. W., Schrauf, M. F., Garcia-Baccino, C. A., Pimentel, E. C., Munilla, S., Rogberg-Munoz, A., ... & Simianer, H. (2018). The effect of the H-1 scaling factors tau and omega on the structure of H in the single-step procedure. Genetics Selection Evolution, 50(1), 16.

### See Also

The core functions of the package mmer

## **Examples**

```
####=======####
####random population of 200 lines with 1000 markers
####============================####

M <- matrix(rep(0,200*1000),200,1000)
for (i in 1:200) {
    M[i,] <- sample(c(-1,0,0,1), size=1000, replace=TRUE)
}
rownames(M) <- 1:nrow(M)
v <- sample(1:nrow(M),100)
M2 <- M[v,]

A <- A.mat(M) # assume this is a pedigree-based matrix for the sake of example G <- A.mat(M2)

H <- H.mat(A,G)
# colfunc <- colorRampPalette(c("steelblue4","springgreen","yellow"))
# hv <- heatmap(H[1:15,1:15], col = colfunc(100),Colv = "Rowv")</pre>
```

imputev

Imputing a numeric or character vector

## **Description**

This function is a very simple function to impute a numeric or character vector with the mean or median value of the vector.

# Usage

```
imputev(x, method="median")
```

# **Arguments**

x a numeric or character vector.

method the method to choose between mean or median.

90 isc

#### Value

x a numeric or character vector imputed with the method selected.

#### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

### See Also

The core function of the package mmer

## **Examples**

```
####=======####
#### generate your mickey mouse -log10(p-values)
####========####
set.seed(1253)
x <- rnorm(100)
x[sample(1:100,10)] <- NA
imputev(x)</pre>
```

isc

identity covariance structure

## **Description**

isc creates a diagonal covariance structure for the levels of the random effect to be used with the mmec solver.

## Usage

```
isc(x, thetaC=NULL, theta=NULL)
```

### **Arguments**

x vector of observations for the random effect.

thetaC an optional matrix for constraints in the variance components.
theta an optional matrix for initial values of the variance components.

#### Value

**\$res** a list with the provided vector and the variance covariance structure expected for the levels of the random effect.

jet.colors 91

### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

### See Also

See the function vsc to know how to use isc in the mmec solver.

### **Examples**

```
x <- as.factor(c(1:5,1:5,1:5));x
isc(x)</pre>
```

jet.colors

Generate a sequence of colors alog the jet colormap.

## **Description**

jet.colors(n) generates a sequence of n colors from dark blue to cyan to yellow to dark red. It is similar to the default color schemes in Python's matplotlib or MATLAB.

## Usage

```
jet.colors(n, alpha = 1)
```

## **Arguments**

The number of colors to return.

alpha The transparency value of the colors. See ?rgb for details.

#### Value

A vector of colors along the jet colorramp.

## See Also

The core function of the package mmer

```
{
# Plot a colorbar with jet.colors
image(matrix(seq(100), 100), col=jet.colors(100))
}
```

92 LD.decay

LD. decay Calculation of linkage disequilibrium decay
---

## **Description**

This function calculates the LD decay based on a marker matrix and a map with distances between markers in cM or base pairs.

# Usage

LD.decay(markers,map,silent=FALSE,unlinked=FALSE,gamma=0.95)

## **Arguments**

markers	a numeric matrix of markers (columns) by individuals (rows) in -1, 0, 1 format.
map	a data frame with 3 columns "Locus" (name of markers), "LG" (linkage group or chromosome), and "Position" (in cM or base pairs).
silent	a TRUE/FALSE value statement indicating if the program should or should not display the progress bar. silent=TRUE means that will not be displayed.
unlinked	a TRUE/FALSE value statement indicating if the program should or should not calculate the alpha(see next argument) percentile of interchromosomal LD.
gamma	a percentile value for LD breakage to be used in the calculation of interchromosomal LD extent.

#### Value

\$resp a list with 3 elements; "by.LG", "all.LG", "LDM". The first element (by.LG) is a list with as many elements as chromosomes where each contains a matrix with 3 columns, the distance, the r2 value, and the p-value associated to the chi-square test for disequilibrium. The second element (all.LG) has a big matrix with distance, r2 values and p-values, for each point from all chromosomes in a single data.frame. The third element (LDM) is the matrix of linkage disequilibrium between pairs of markers.

If unlinked is selected the program should return the gamma percentile interchromosomal LD (r2) for each chromosome and average.

### References

Laido, Giovanni, et al. Linkage disequilibrium and genome-wide association mapping in tetraploid wheat (Triticum turgidum L.). PloS one 9.4 (2014): e95211.

#### See Also

The core functions of the package mmer and mmec

leg 93

### **Examples**

```
####========####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples using
#### command + shift + C |OR| control + shift + C
####========####
data(DT_cpdata)
#### get the marker matrix
CPgeno <- GT_cpdata; CPgeno[1:5,1:5]</pre>
#### get the map
mapCP <- MP_cpdata; head(mapCP)</pre>
names(mapCP) <- c("Locus", "Position", "LG")</pre>
#### with example purposes we only do 3 chromosomes
mapCP <- mapCP[which(mapCP$LG <= 3),]</pre>
#### run the function
# res <- LD.decay(CPgeno, mapCP)</pre>
# names(res)
#### subset only markers with significant LD
# res$all.LG <- res$all.LG[which(res$all.LG$p < .001),]</pre>
#### plot the LD decay
# with(res$all.LG, plot(r2~d,col=transp("cadetblue"),
                      xlim=c(0,55), ylim=c(0,1),
#
                      pch=20,cex=0.5,yaxt="n",
#
                      xaxt="n",ylab=expression(r^2),
                      xlab="Distance in cM")
\# axis(1, at=seq(0,55,5), labels=seq(0,55,5))
# axis(2,at=seq(0,1,.1), labels=seq(0,1,.1), las=1)
#### if you want to add the loess regression lines
#### this could take a long time!!!
# mod <- loess(r2 ~ d, data=res$all.LG)</pre>
# par(new=T)
# lilo <- predict(mod,data.frame(d=1:55))</pre>
# plot(lilo, bty="n", xaxt="n", yaxt="n", col="green",
      type="l", ylim=c(0,1),ylab="",xlab="",lwd=2)
# res3 <- LD.decay(markers=CPgeno, map=mapCP,</pre>
                 unlinked = TRUE,gamma = .95)
# abline(h=res3$all.LG, col="red")
```

leg

Legendre polynomial matrix

## Description

Legendre polynomials of order 'n' are created given a vector 'x' and normalized to lay between values u and v.

94 leg

### Usage

```
leg(x,n=1,u=-1,v=1, intercept=TRUE, intercept1=FALSE)
```

### **Arguments**

n numeric vector to be used for the polynomial.

n order of the Legendre polynomials.

u lower bound for the polynomial.

v upper bound for the polynomial.

intercept a TRUE/FALSE value indicating if the intercept should be included.

intercept1 a TRUE/FALSE value indicating if the intercept should have value 1 (is multi-

plied by sqrt(2)).

#### Value

**\$S3** an Legendre polynomial matrix of order n.

## Author(s)

Giovanny Covarrubias-Pazaran

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

The core functions of the package mmer

```
x <- sort(rep(1:3,100))
# you need to install the orthopolynom library
# leg(x, n=1)
# leg(x, n=2)
# see dataset data(DT_legendre) for a random regression modeling example</pre>
```

list2usmat 95

list2usmat

list or vector to unstructured matrix

# **Description**

list2usmat creates an unstructured square matrix taking a vector or list to fill the diagonal and upper triangular with the values provided.

## Usage

```
list2usmat(sigmaL)
```

# Arguments

sigmaL

vector or list of values to put on the matrix.

#### Value

**\$res** a matrix with the values provided.

## Author(s)

Giovanny Covarrubias-Pazaran

### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

The function vsr to know how to use list2usmat in the mmer solver.

```
list2usmat(as.list(1:3))
list2usmat(as.list(1:10))
```

96 manhattan

manhattan Creating a manhattan plot	
-------------------------------------	--

# Description

This function was designed to create a manhattan plot using a data frame with columns "Chrom" (Chromosome), "Position" and "p.val" (significance for the test).

# Usage

```
manhattan(map, col=NULL, fdr.level=0.05, show.fdr=TRUE, PVCN=NULL, ylim=NULL, ...)
```

# Arguments

map	the data frame with 3 columns with names; "Chrom" (Chromosome), "Position" and "p.val" (significance for the test).
col	colors prefered by the user to be used in the manhattan plot. The default is NULL which will use the red-blue palette.
fdr.level	false discovery rate to be drawn in the plot.
show.fdr	a TRUE/FALSE value indicating if the FDR value should be shown in the manhattan plot or not. By default is TRUE meaning that will be displayed.
PVCN	In case the user wants to provide the name of the column that should be treated as the "p.val" column expected by the program in the 'map' argument.
ylim	the y axis limits for the manhattan plot if the user wants to customize it. By default the plot will reflect the minimum and maximum values found.
	additional arguments to be passed to the plot function such as pch, cex, etc.

## Value

If all parameters are correctly indicated the program will return:

**\$plot.data** a manhattan plot

# Author(s)

Giovanny Covarrubias-Pazaran

# References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer

map.plot 97

### **Examples**

```
#random population of 200 lines with 1000 markers
M \leftarrow matrix(rep(0,200*1000),1000,200)
for (i in 1:200) {
 M[,i] <- ifelse(runif(1000)<0.5,-1,1)
}
colnames(M) <- 1:200</pre>
set.seed(1234)
pp \leftarrow abs(rnorm(500,0,3)); pp[23:34] \leftarrow abs(rnorm(12,0,20))
geno <- data.frame(Locus=paste("m",1:500, sep="."),Chrom=sort(rep(c(1:5),100)),
                    Position=rep(seq(1,100,1),5),
                    p.val=pp, check.names=FALSE)
geno$Locus <- as.character(geno$Locus)</pre>
## look at the data, 5LGs, 100 markers in each
## -log(p.val) value for simulated trait
head(geno)
tail(geno)
manhattan(geno)
```

map.plot

Creating a genetic map plot

### **Description**

This function was designed to create a genetic map plot using a data frame indicating the Linkage Group (LG), Position and marker names (Locus).

## Usage

## Arguments

data	the data frame with 3 columns with names; Locus, LG and Position
trait	if something wants to be plotted next the linkage groups the user must indicate the name of the column containing the values to be plotted, i.e. p-values, LOD scores, X2 segregation distortion values, etc.
trait.scale	is trait is not NULL, this is a character value indicating if the y axis limits for the trait plotted next to the chromosomes should be the same or different for each linkage group. The default value is "same", which means that the same y axis limit is conserved across linkage groups. For giving an individual y axis limit for each linkage group write "diff".
col.chr	a vector with color names for the chromosomes. If NULL they will be drawn in gray-black scale.

98 map.plot

col.trait	a vector with color names for the dots, lines or histogram for the trait plotted next to the LG's
type	a character value indicating if the trait should be plotted as scatterplot 'dot', histogram 'hist', line 'line' next to the chromosomes.
cex	the cex value determining the size of the cM position labels in the LGs
lwd	the width of the lines in the plot
cex.axis	the cex value for sizing the labels of LGs and traits plotted (top labels)
cex.trait	the cex value for sizing the dots or lines of the trait plotted
jump	a scalar value indicating how often should be drawn a number next to the LG indicating the position. The default is 5 which means every 5 cM a label will be drawn, i.e. 0.5.10.15 cM.

#### Value

If all parameters are correctly indicated the program will return:

**\$plot.data** a plot with the LGs and the information used to create a plot

## Author(s)

Giovanny Covarrubias-Pazaran

### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

The core functions of the package mmer

```
#random population of 200 lines with 1000 markers
M <- matrix(rep(0,200*1000),1000,200)</pre>
for (i in 1:200) {
  M[,i] \leftarrow ifelse(runif(1000)<0.5,-1,1)
}
colnames(M) <- 1:200
set.seed(1234)
geno <- data.frame(Locus=paste("m",1:500, sep="."),LG=sort(rep(c(1:5),100)),</pre>
                   Position=rep(seq(1,100,1),5),
                    X2=rnorm(500,10,4), check.names=FALSE)
geno$Locus <- as.character(geno$Locus)</pre>
## look at the data, 5LGs, 100 markers in each
## X2 value for segregation distortion simulated
head(geno)
tail(geno)
table(geno$LG) # 5 LGs, 100 marks
```

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```
map.plot(geno, trait="X2", type="line")
map.plot(geno, trait="X2", type="hist")
map.plot(geno, trait="X2", type="dot")

# data("DT_cpdata")
# MP <- MP_cpdata
# colnames(MP)[3] <- c("LG")
# head(MP)
# map.plot(MP, type="line", cex=0.6)</pre>
```

**MEMMA** 

Multivariate Efficient Mixed Model Association Algorithm

## Description

This function is used internally in the function mmer when multiple responses are selected for a single variance component other than the error. It uses the efficient mixed model association (MEMMA) algorithm.

## Usage

# **Arguments**

Y a numeric vector for the response variable

X an incidence matrix for fixed effects.

ZETA an incidence matrix for random effects. This can be for one or more random

effects. This NEEDS TO BE PROVIDED AS A LIST STRUCTURE. For example Z=list(list(Z=Z1, K=K1), list(Z=Z2, K=K2), list(Z=Z3, K=K3)) makes a 2 level list for 3 random effects. The general idea is that each random effect with or without its variance-covariance structure is a list, i.e. list(Z=Z1, K=K1) where Z is the incidence matrix and K the var-cov matrix. When moving to more than one random effect we need to make several lists that need to be inside another list. What we call a 2-level list, i.e. list(Z=Z1, K=K1) and list(Z=Z2, K=K2) would need to be put in the form; list(list(Z=Z1, K=K1),list(Z=Z1, K=K1)),

which as can be seen, is a list of lists (2-level list).

tolpar tolerance parameter for convergence tolpariny tolerance parameter for matrix inverse

check.model a TRUE/FALSE value indicating if list structure provided by the user is correct

to fix it. The default is TRUE but is turned off to FALSE within the mmer

function which would imply a double check.

silent a TRUE/FALSE value indicating if the function should draw the progress bar or

iterations performed while working or should not be displayed.

100 MEMMA

#### **Details**

.

The likelihood function optimized in this algorithm is:

.

 $logL = (n - p) * log(sum(eta^2/lambda + delta)) + sum(log(lambda + delta))$ 

.

where: (n-p) refers to the degrees of freedom lambda are the eigenvalues mentioned by Kang et al.(2008) delta is the REML estimator of the ridge parameter

.

The algorithm can be summarized in the next steps:

.

- 1) provide initial value for the ridge parameter
- 2) estimate S = I X(X'X) X'
- 3) obtain the phenotypic variance V = ZKZ' + delta.prov\*I
- 4) perform an eigen decomposition of SVS
- 5) create "lambda"" as the eigenvalues of SVS and "U"" as the eigenvectors
- 6) estimate eta=U'y
- 7) optimize the likelihood shown above providing "eta", "lambdas" and optimize with respect to "delta" which is the ridge parameter and contains Ve/Vu

## Value

If all parameters are correctly indicated the program will return a list with the following information:

**\$Vu** a scalar value for the variance component estimated

**\$Ve** a scalar value for the error variance estimated

**\$V.inv** a matrix with the inverse of the phenotypic variance V = ZGZ+R,  $V^-1$ 

**\$u.hat** a vector with BLUPs for random effects

**\$Var.u.hat** a vector with variances for BLUPs

**\$PEV.u.hat** a vector with predicted error variance for BLUPs

**\$beta.hat** a vector for BLUEs of fixed effects

**\$Var.beta.hat** a vector with variances for BLUEs

- \$X incidence matrix for fixed effects, if not passed is assumed to only include the intercept
- \$Z incidence matrix for random effects, if not passed is assumed to be a diagonal matrix
- **\$K** the var-cov matrix for the random effect fitted in Z
- \$11 the log-likelihood value for obtained when optimizing the likelihood function when using ML or REML

#### References

Kang et al. 2008. Efficient control of population structure in model organism association mapping. Genetics 178:1709-1723.

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

The core functions of the package mmer

## **Examples**

```
####===========####
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####========####
# data(CPdata)
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# ### look at the data
# head(DT)
# GT[1:5,1:5]
# ## fit a model including additive and dominance effects
# Y <- DT[,c("color","Yield")]
# Za <- diag(dim(Y)[1])
# A <- A.mat(GT) # additive relationship matrix
# ####========####
# #### ADDITIVE MODEL ####
# ####=======####
# ETA.A <- list(add=list(Z=Za,K=A))</pre>
# #ans.A <- MEMMA(Y=Y, ZETA=ETA.A)</pre>
# #ans.A$var.comp
```

mmec

mixed model equations for c coefficients

## **Description**

The mmec function uses the Henderson mixed model equations and the Average Information algorithm coded in C++ using the Armadillo library to optimize matrix operations common in problems with sparse data (e.g., genotype by environment models). This algorithm is **intended to be used for problems of the type r > c** (more records in the data than coefficients to estimate). For problems with of the type c > r (more coefficients to estimate than records available), the direct inversion algorithms are faster and we recommend to shift to the use of the mmer function.

### Usage

```
mmec(fixed, random, rcov, data, W, nIters=20, tolParConvLL = 1e-03,
     tolParConvNorm = 1e-04, tolParInv = 1e-06, naMethodX="exclude",
    naMethodY="exclude", returnParam=FALSE, dateWarning=TRUE,
    verbose=TRUE,addScaleParam=NULL, stepWeight=NULL, emWeight=NULL)
```

#### **Arguments**

fixed

A formula specifying the **response variable(s)** and **fixed effects**, i.e.

response ~ covariate

random

A formula specifying the name of the **random effects**, i.e. random = ~ genotype + year.

Useful functions can be used to fit heterogeneous variances and other special models (see 'Special Functions' in the Details section for more information):

vsc(...,Gu) is the main function to specify variance models and special structures for random effects. On the ... argument you provide the unknown variancecovariance structures (i.e. usc,dsc,at,csc) and the random effect where such covariance structure will be used (the random effect of interest). Gu is used to provide known covariance matrices among the levels of the random effect. Auxiliar functions for building the variance models are:

\*\* dsc(x), usc(x), csc(x), isc(x), and atr(x, levs) can be used to specify unknown diagonal, unstructured and customized unstructured and diagonal covariance structures to be estimated by REML.

\*\* unsm(x), fixm(x) and diag(x) can be used to build easily matrices to specify constraints in the Gtc argument of the vsc() function.

\*\* overlay(), spl2Dc(), and leg() functions can be used to specify overlayed of design matrices of random effects, two dimensional spline and random regression models within the vsc() function.

rcov

A formula specifying the name of the **error term**, i.e.  $rcov = \sim units$ .

Special heterogeneous and special variance models and constraints for the residual part are the same used on the random term but the name of the random effect is always "units" which can be thought as a column with as many levels as rows in the data, i.e.  $rcov = \sim vsc(dsc(covariate), isc(units))$ 

When fitting structures at the level of residuals please make sure that your data is sorted based on the factors defining the structure. For example, for rcov= ~ vsc(dsc(xx), isc(units)) sort the dataset by the variable xx.

data

A data frame containing the variables specified in the formulas for response, fixed, and random effects.

Weights matrix (e.g., when covariance among plots exist). Internally W is squared and inverted as Wsi = solve(chol(W)), then the residual matrix is calculated as R = Wsi\*O\*Wsi.t(), where \* is the matrix product, and O is the original residual matrix.

nIters

Maximum number of iterations allowed.

tolParConvLL

Convergence criteria based in the change of log-likelihood between iteration i and i-1.

tolParConvNorm Convergence criteria based in the norm proposed by Jensen, Madsen and Thomp-

son (1997):

 $e1 = \| InfMatInv.diag()/sqrt(N) * dLu \|$ 

where InfMatInv.diag() is the diagonal of the inverse of the information matrix, N is the total number of variance components, and dLu is the vector of first

derivatives.

tolParInv Tolerance parameter for matrix inverse used when singularities are encountered

in the estimation procedure.

naMethodX One of the two possible values; "include" or "exclude". If "include" is selected

then the function will impute the X matrices for fixed effects with the median value. If "exclude" is selected it will get rid of all rows with missing values for the X (fixed) covariates. The default is "exclude". The "include" option should

be used carefully.

naMethodY One of the three possible values; "include", "include2" or "exclude" (default) to

treat the observations in response variable to be used in the estimation of variance components. The first option "include" will impute the response variables for all rows with the median value, whereas "include2" imputes the responses only for rows where there is observation(s) for at least one of the responses (only available in the multi-response models). If "exclude" is selected (default) it will get rid of rows in response(s) where missing values are present for at least one

of the responses.

returnParam A TRUE/FALSE value to indicate if the program should return the parameters

to be used for fitting the model instead of fitting the model.

dateWarning A TRUE/FALSE value to indicate if the program should warn you when is time

to update the sommer package.

verbose A TRUE/FALSE value to indicate if the program should return the progress of

the iterative algorithm.

addScaleParam additional scale parameters for the thetaF matrix.

stepWeight A vector of values (of length equal to the number of iterations) indicating the

weight used to multiply the update (delta) for variance components at each iteration. If NULL the 1st iteration will be multiplied by 0.5, the 2nd by 0.7, and the rest by 0.9. This argument can help to avoid that variance components go outside the parameter space in the initial iterations which happens very often with the AI method but it can be detected by looking at the behavior of the likelihood.

In that case you may want to give a smaller weight.

emWeight A vector of values (of length equal to the number of iterations) indicating with

values between 0 and 1 the weight assigned to the EM information matrix. And the values 1 - emWeight will be applied to the AI information matrix to produce a joint information matrix. By default the function gives a weight to the EM algorithm of 1, 0.9, 0.8, 0.7, 0.6, 0.4, 0.2 to the first 7 iterations and the rest a value 0.04. You can modify this argument by providing a different vector with

as many values as iterations.

#### **Details**

The use of this function requires a good understanding of mixed models. Please review the 'sommer.quick.start' vignette and pay attention to details like format of your random and fixed variables

(e.g. character and factor variables have different properties when returning BLUEs or BLUPs, please see the 'sommer.changes.and.faqs' vignette).

**For tutorials** on how to perform different analysis with sommer please look at the vignettes by typing in the terminal:

```
vignette("v1.sommer.quick.start")
vignette("v2.sommer.changes.and.faqs")
vignette("v3.sommer.qg")
vignette("v4.sommer.gxe")
```

#### Citation

Type citation("sommer") to know how to cite the sommer package in your publications.

#### **Special variance structures**

```
vsc(atc(x,levels),isc(y))
```

can be used to specify heterogeneous variance for the "y" covariate at specific levels of the covariate "x", i.e.  $random = \sim vsc(at(Location, c("A", "B")), isc(ID))$  fits a variance component for ID at levels A and B of the covariate Location.

```
vsc(dsc(x),isc(y))
```

can be used to specify a diagonal covariance structure for the "y" covariate for all levels of the covariate "x", i.e.  $random = \sim vsc(dsc(Location), isc(ID))$  fits a variance component for ID at all levels of the covariate Location.

```
vsc(usc(x), isc(y))
```

can be used to specify an unstructured covariance structure for the "y" covariate for all levels of the covariate "x", i.e.  $random = \sim vsc(usc(Location), isc(ID))$  fits variance and covariance components for ID at all levels of the covariate Location.

```
vsc(isc(overlay(...,rlist=NULL,prefix=NULL)))
```

can be used to specify overlay of design matrices between consecutive random effects specified, i.e.  $random = \sim vsc(overlay(male,female))$  overlays (overlaps) the incidence matrices for the male and female random effects to obtain a single variance component for both effects. The 'rlist' argument is a list with each element being a numeric value that multiplies the incidence matrix to be overlayed. See overlay for details. Can be combined with vsc().

```
vsc(leg(x,n),isc(y))
```

can be used to fit a random regression model using a numerical variable x that marks the trayectory for the random effect y. The leg function can be combined with the special functions dsc, usc at and csc. For example  $random = \sim vsc(leg(x, 1), isc(y))$  or  $random = \sim vsc(leg(x, 1), isc(y))$ .

```
spl2Dc(x.coord, y.coord, at.var, at.levels))
```

can be used to fit a 2-dimensional spline (i.e. spatial modeling) using coordinates x.coord and y.coord (in numeric class) assuming multiple variance components. The 2D spline can be fitted at specific levels using the at.var and at.levels arguments. For example random=~spl2Dc(x.coord=Row,y.coord=Range,at.)

#### S3 methods

S3 methods are available for some parameter extraction such as fitted.mmec, residuals.mmec, summary.mmec, randef, coef.mmec, anova.mmec, plot.mmec, and predict.mmec to obtain adjusted means. In addition, the vpredict function (replacement of the pin function) can be used to estimate standard errors for linear combinations of variance components (i.e. ratios like h2).

#### Additional Functions

Additional functions for genetic analysis have been included such as relationship matrix building (A.mat, D.mat, E.mat, H.mat), build a genotypic hybrid marker matrix (build.HMM), plot of genetic maps (map.plot), and manhattan plots (manhattan). If you need to build a pedigree-based relationship matrix use the getA function from the pedigreemm package.

#### **Bug report and contact**

If you have any technical questions or suggestions please post it in https://stackoverflow.com or https://stats.stackexchange.com

If you have any bug report please go to https://github.com/covaruber/sommer or send me an email to address it asap, just make sure you have read the vignettes carefully before sending your question.

# **Example Datasets**

The package has been equiped with several datasets to learn how to use the sommer package:

- \* DT\_halfdiallel, DT\_fulldiallel and DT\_mohring datasets have examples to fit half and full diallel designs.
- \* DT\_h2 to calculate heritability
- \* DT\_cornhybrids and DT\_technow datasets to perform genomic prediction in hybrid single crosses
- \* DT\_wheat dataset to do genomic prediction in single crosses in species displaying only additive effects.
- \* DT\_cpdata dataset to fit genomic prediction models within a biparental population coming from 2 highly heterozygous parents including additive, dominance and epistatic effects.
- \* DT\_polyploid to fit genomic prediction and GWAS analysis in polyploids.
- \* DT\_gryphon data contains an example of an animal model including pedigree information.
- \* DT\_btdata dataset contains an animal (birds) model.
- \* DT\_legendre simulated dataset for random regression model.
- \* DT\_sleepstudy dataset to know how to translate lme4 models to sommer models.
- \* DT\_ige dataset to show how to fit indirect genetic effect models.

#### Models Enabled

For details about the models enabled and more information about the covariance structures please check the help page of the package (sommer).

#### Value

If all parameters are correctly indicated the program will return a list with the following information:

llik	the vector of log-likelihoods across iterations
М	the coeficient matrix extended by the response vector y]
W	the column binded matrix $W = [X Z y]$
b	the vector of fixed effect.
u	the vector of random effect.
Ci	the inverse of the coefficient matrix.

avInf The matrix of second derivatives of the likelihood with respect to the i.j th

variance-covariance component.

monitor The values of the variance-covariance components across iterations during the

REML estimation.

constraints The vector of constraints.

AIC Akaike information criterion

BIC Bayesian information criterion

convergence a TRUE/FALSE statement indicating if the model converged.

partitions a list where each element contains a matrix indicating where each random effect

starts and ends.

percDelta the matrix of percentage change in deltas.

normMonitor the matrix of the three norms calculated.

toBoundary the matrix of variance components that were forced to the boundary across iter-

ations.

## Author(s)

Coded by Christelle Fernandez Camacho & Giovanny Covarrubias-Pazaran

#### References

Jensen, J., Mantysaari, E. A., Madsen, P., and Thompson, R. (1997). Residual maximum likelihood estimation of (co) variance components in multivariate mixed linear models using average information. Journal of the Indian Society of Agricultural Statistics, 49, 215-236.

Covarrubias-Pazaran G. Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 2016, 11(6): doi:10.1371/journal.pone.0156744

Gilmour et al. 1995. Average Information REML: An efficient algorithm for variance parameter estimation in linear mixed models. Biometrics 51(4):1440-1450.

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```
#### Univariate homogeneous variance models ####
## Compound simmetry (CS) model
ans1 <- mmec(Yield~Env,
           random= ~ Name + Env:Name,
           rcov= ~ units,
           data=DT)
summary(ans1)
# ####=========####
# #### Univariate heterogeneous variance models ####
# ####========####
# DT=DT[with(DT, order(Env)), ]
# ## Compound simmetry (CS) + Diagonal (DIAG) model
# ans2 <- mmec(Yield~Env,</pre>
            random= ~Name + vsc(dsc(Env),isc(Name)),
#
            rcov= ~ vsc(dsc(Env),isc(units)),
            data=DT)
# summary(ans2)
# ####=======####
# #### Univariate unstructured variance models ####
 ####==========####
 ans3 <- mmec(Yield~Env,</pre>
#
#
            random=~ vsc(usc(Env),isc(Name)),
#
            rcov=~vsc(dsc(Env),isc(units)),
#
            data=DT)
# summary(ans3)
```

mmer

mixed model equations for r records

### **Description**

The mmer function uses the Direct-Inversion Newton-Raphson or Average Information coded in C++ using the Armadillo library to optimize dense matrix operations common in genomic selection models. These algorithms are **intended to be used for problems of the type c > r (more coefficients to estimate than records in the dataset) and/or dense matrices**. For problems with sparse data, or problems of the type r > c (more records in the dataset than coefficients to estimate), the MME-based algorithm in the mmec function is faster and we recommend to shift to use that function.

### Usage

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naMethodX="exclude", naMethodY="exclude",returnParam=FALSE, dateWarning=TRUE,date.warning=TRUE,verbose=TRUE, reshapeOutput=TRUE, stepWeight=NULL, emWeight=NULL)

#### **Arguments**

fixed

A formula specifying the response variable(s) and fixed effects, i.e:

response ~ covariate for univariate models

cbind(response.i,response.j) ~ covariate for multivariate models

The fcm() function can be used to constrain fixed effects in multi-response models.

random

A formula specifying the name of the **random effects**, i.e.  $random = \sim genotype + year$ .

Useful functions can be used to fit heterogeneous variances and other special models (see 'Special Functions' in the Details section for more information):

vsr(...,Gu,Gti,Gtc) is the main function to specify variance models and special structures for random effects. On the ... argument you provide the unknown variance-covariance structures (i.e. usr,dsr,atr,csr) and the random effect where such covariance structure will be used (the random effect of interest). Gu is used to provide known covariance matrices among the levels of the random effect, Gti initial values and Gtc for constraints. Auxiliar functions for building the variance models are:

\*\* dsr(x), usr(x), csr(x) and atr(x,levs) can be used to specify unknown diagonal, unstructured and customized unstructured and diagonal covariance structures to be estimated by REML.

\*\* unsm(x), fixm(x) and diag(x) can be used to build easily matrices to specify constraints in the Gtc argument of the vsr() function.

\*\* overlay(), spl2Da(), spl2Db(), and leg() functions can be used to specify overlayed of design matrices of random effects, two dimensional spline and random regression models within the vsr() function.

gvsr(...,Gu,Guc,Gti,Gtc) is an alternative function to specify general variance structures between different random effects. An special case in the indirect genetic effect models. Is similar to the vsr function but in the ... argument the different random effects are provided.

rcov

A formula specifying the name of the **error term**, i.e.  $rcov = \sim units$ .

Special heterogeneous and special variance models and constraints for the residual part are the same used on the random term but the name of the random effect is always "units" which can be thought as a column with as many levels as rows in the data, i.e.  $rcov=\sim vsr(dsr(covariate), units)$ 

data

A data frame containing the variables specified in the formulas for response, fixed, and random effects.

weights

Name of the covariate for weights. To be used for the product R = Wsi\*R\*Wsi, where \* is the matrix product, Wsi is the square root of the inverse of W and R is the residual matrix.

W

Alternatively, instead of providing a vector of weights the user can specify an entire W matrix (e.g., when covariances exist). To be used first to produce Wis

> = solve(chol(W)), and then calculate R = Wsi\*R\*Wsi.t(), where \* is the matrix product, and R is the residual matrix. Only one of the arguments weights or W should be used. If both are indicated W will be given the preference.

nIters Maximum number of iterations allowed.

tolParConvLL Convergence criteria for the change in log-likelihood.

tolParInv Tolerance parameter for matrix inverse used when singularities are encountered

in the estimation procedure.

Initial values for the variance components. By default this is NULL and initial values for the variance components are provided by the algorithm, but in case the user want to provide initial values for ALL var-cov components this argument is functional. It has to be provided as a list, where each list element corresponds to one random effect (1x1 matrix) and if multitrait model is pursued each element of the list is a matrix of variance covariance components among traits for such random effect. Initial values can also be provided in the Gti argument of the vsr function. Is highly encouraged to use the Gti and Gtc arguments of the vsr function instead of this argument, but these argument can be used to provide all

initial values at once

When initial values are provided these have to be accompanied by their constraints. See the vsr function for more details on the constraints. Is highly en-

couraged to use the Gti and Gtc arguments of the vsr function instead of this argument but these argument can be used to provide all constraints at once.

This refers to the method or algorithm to be used for estimating variance components. Direct-inversion Newton-Raphson NR and Average Information AI

(Tunnicliffe 1989; Gilmour et al. 1995; Lee et al. 2015).

A TRUE/FALSE value indicating if the program should return the predicted getPEV error variance and variance for random effects. This option is provided since

this can take a long time for certain models where p is > n by a big extent.

One of the two possible values; "include" or "exclude". If "include" is selected then the function will impute the X matrices for fixed effects with the median

value. If "exclude" is selected it will get rid of all rows with missing values for the X (fixed) covariates. The default is "exclude". The "include" option should

be used carefully.

One of the three possible values; "include", "include2" or "exclude" (default) to

treat the observations in response variable to be used in the estimation of variance components. The first option "include" will impute the response variables for all rows with the median value, whereas "include2" imputes the responses only for rows where there is observation(s) for at least one of the responses (only available in the multi-response models). If "exclude" is selected (default) it will get rid of rows in response(s) where missing values are present for at least one

of the responses.

returnParam A TRUE/FALSE value to indicate if the program should return the parameters

to be used for fitting the model instead of fitting the model.

A TRUE/FALSE value to indicate if the program should warn you when is time

to update the sommer package.

init

constraints

method

naMethodX

naMethodY

dateWarning

date.warning A TRUE/FALSE value to indicate if the program should warn you when is time

to update the sommer package. This argument will be removed soon, just left

for backcompatibility.

verbose A TRUE/FALSE value to indicate if the program should return the progress of

the iterative algorithm.

reshapeOutput A TRUE/FALSE value to indicate if the output should be reshaped to be easier to

interpret for the user, some information is missing from the multivariate models

for an easy interpretation.

stepWeight A vector of values (of length equal to the number of iterations) indicating the

weight used to multiply the update (delta) for variance components at each iteration. If NULL the 1st iteration will be multiplied by 0.5, the 2nd by 0.7, and the rest by 0.9. This argument can help to avoid that variance components go outside the parameter space in the initial iterations which doesn't happen very often with the NR method but it can be detected by looking at the behavior of the likelihood. In that case you may want to give a smaller weight to the initial

8-10 iterations.

emWeight A vector of values (of length equal to the number of iterations) indicating with

values between 0 and 1 the weight assigned to the EM information matrix. And the values 1 - emWeight will be applied to the NR/AI information matrix to

produce a joint information matrix.

#### **Details**

The use of this function requires a good understanding of mixed models. Please review the 'sommer.quick.start' vignette and pay attention to details like format of your random and fixed variables (e.g. character and factor variables have different properties when returning BLUEs or BLUPs, please see the 'sommer.changes.and.faqs' vignette).

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vignette("v4.sommer.gxe")

## Citation

Type *citation("sommer")* to know how to cite the sommer package in your publications.

### **Special variance structures**

```
vsr(atr(x,levels),y)
```

can be used to specify heterogeneous variance for the "y" covariate at specific levels of the covariate "x", i.e.  $random = \sim vsr(at(Location, c("A", "B")), ID)$  fits a variance component for ID at levels A and B of the covariate Location.

```
vsr(dsr(x),y)
```

can be used to specify a diagonal covariance structure for the "y" covariate for all levels of the covariate "x", i.e.  $random=\sim vsr(dsr(Location),ID)$  fits a variance component for ID at all levels of the covariate Location.

```
vsr(usr(x),y)
```

can be used to specify an unstructured covariance structure for the "y" covariate for all levels of the covariate "x", i.e. random = vsr(usr(Location), ID) fits variance and covariance components for ID at all levels of the covariate Location.

```
vsr(overlay(...,rlist=NULL,prefix=NULL))
```

can be used to specify overlay of design matrices between consecutive random effects specified, i.e.  $random = \sim vsr(overlay(male, female))$  overlays (overlaps) the incidence matrices for the male and female random effects to obtain a single variance component for both effects. The 'rlist' argument is a list with each element being a numeric value that multiplies the incidence matrix to be overlayed. See overlay for details. Can be combined with vsr().

```
vsr(leg(x,n),y)
```

can be used to fit a random regression model using a numerical variable x that marks the trayectory for the random effect y. The leg function can be combined with the special functions dsr, usr at and csr. For example random = vsr(leg(x,1),y) or random = vsr(leg(x,1),y).

```
vsr(x,Gtc=fcm(v))
```

can be used to constrain fixed effects in the multi-response mixed models. This is a vector that specifies if the fixed effect is to be estimated for such trait. For example  $fixed=cbind(response.i, response.j) \sim vsr(Rowf, Gtc=fcm(c(1,0)))$  means that the fixed effect Rowf should only be estimated for the first response and the second should only have the intercept.

```
gvsr(x,y)
```

can be used to fit variance and covariance parameters between two or more random effects. For example, indirect genetic effect models.

```
spl2Da(x.coord, y.coord, at.var, at.levels))
```

can be used to fit a 2-dimensional spline (i.e. spatial modeling) using coordinates x.coord and y.coord (in numeric class) assuming a single variance component. The 2D spline can be fitted at specific levels using the at.var and at.levels arguments. For example random=~spl2Da(x.coord=Row,y.coord=Range,at.

```
spl2Db(x.coord, y.coord, at.var, at.levels))
```

can be used to fit a 2-dimensional spline (i.e. spatial modeling) using coordinates x.coord and y.coord (in numeric class) assuming multiple variance components. The 2D spline can be fitted at specific levels using the at.var and at.levels arguments. For example random=~spl2Db(x.coord=Row,y.coord=Range,at.

## S3 methods

S3 methods are available for some parameter extraction such as fitted.mmer, residuals.mmer, summary.mmer, randef, coef.mmer, anova.mmer, plot.mmer, and predict.mmer to obtain adjusted means. In addition, the vpredict function (replacement of the pin function) can be used to estimate standard errors for linear combinations of variance components (i.e. ratios like h2).

#### **Additional Functions**

Additional functions for genetic analysis have been included such as relationship matrix building (A.mat, D.mat, E.mat, H.mat), build a genotypic hybrid marker matrix (build.HMM), plot of genetic maps (map.plot), and manhattan plots (manhattan). If you need to build a pedigree-based relationship matrix use the getA function from the pedigreemm package.

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- \* DT\_legendre simulated dataset for random regression model.
- \* DT\_sleepstudy dataset to know how to translate lme4 models to sommer models.
- \* DT\_ige dataset to show how to fit indirect genetic effect models.

matrix for trait BLUPs.

#### **Models Enabled**

For details about the models enabled and more information about the covariance structures please check the help page of the package (sommer).

## Value

If all parameters are correctly indicated the program will return a list with the following information:

Vi	the inverse of the phenotypic variance matrix $V^{-} = (ZGZ+R)^{-1}$
Р	the projection matrix Vi - [Vi*(X*Vi*X)^-*Vi]
sigma	a list with the values of the variance-covariance components with one list element for each random effect.
sigma_scaled	a list with the values of the scaled variance-covariance components with one list element for each random effect.
sigmaSE	Hessian matrix containing the variance-covariance for the variance components. SE's can be obtained taking the square root of the diagonal values of the Hessian.
Beta	a data frame for trait BLUEs (fixed effects).
VarBeta	a variance-covariance matrix for trait BLUEs
U	a list (one element for each random effect) with a data frame for trait BLUPs.
VarU	a list (one element for each random effect) with the variance-covariance matrix for trait BLUPs.
PevU	a list (one element for each random effect) with the predicted error variance

fitted Fitted values y.hat=XB residuals Residual values e = Y - XB AIC Akaike information criterion BIC Bayesian information criterion

convergence a TRUE/FALSE statement indicating if the model converged.

monitor The values of log-likelihood and variance-covariance components across itera-

tions during the REML estimation.

percChange The percent change of variance components across iterations. There should be

one column less than the number of iterations. Calculated as percChange =

 $((x_i/x_{i-1}) - 1) * 100$  where i is the ith iteration.

dL The vector of first derivatives of the likelihood with respect to the ith variance-

covariance component.

dL2 The matrix of second derivatives of the likelihood with respect to the i.j th

variance-covariance component.

method The method for extimation of variance components specified by the user.

call Formula for fixed, random and rcov used.

constraints contraints used in the mixed models for the random effects.

constraintsF contraints used in the mixed models for the fixed effects.

data The dataset used in the model after removing missing records for the response

variable.

dataOriginal The original dataset used in the model.

terms The name of terms for responses, fixed, random and residual effects in the

model.

termsN The number of effects associated to fixed, random and residual effects in the

model.

sigmaVector a vectorized version of the sigma element (variance-covariance components) to

match easily the standard errors of the var-cov components stored in the element

sigmaSE.

reshapeOutput The value provided to the mmer function for the argument with the same name.

## Author(s)

Giovanny Covarrubias-Pazaran

#### References

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Searle. 1993. Applying the EM algorithm to calculating ML and REML estimates of variance components. Paper invited for the 1993 American Statistical Association Meeting, San Francisco.

Yu et al. 2006. A unified mixed-model method for association mapping that accounts for multiple levels of relatedness. Genetics 38:203-208.

Tunnicliffe W. 1989. On the use of marginal likelihood in time series model estimation. JRSS 51(1):15-27.

Zhang et al. 2010. Mixed linear model approach adapted for genome-wide association studies. Nat. Genet. 42:355-360.

## **Examples**

```
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####=========####
####==========####
#### EXAMPLES
#### Different models with sommer
####=======####
data(DT_example)
DT <- DT_example
head(DT)
####=========####
#### Univariate homogeneous variance models ####
####=========####
## Compound simmetry (CS) model
ans1 <- mmer(Yield~Env,
         random= ~ Name + Env:Name,
         rcov= ~ units,
```

```
data=DT)
summary(ans1)
####==========####
#### Univariate heterogeneous variance models ####
####===========####
## Compound simmetry (CS) + Diagonal (DIAG) model
ans2 <- mmer(Yield~Env,</pre>
          random= ~Name + vsr(dsr(Env), Name),
          rcov= ~ vsr(dsr(Env),units),
          data=DT)
summary(ans2)
####========####
#### Univariate unstructured variance models ####
####========####
ans3 <- mmer(Yield~Env,
          random=~ vsr(usr(Env),Name),
          rcov=~vsr(dsr(Env),units),
          data=DT)
summary(ans3)
# ####=========####
# #### Multivariate homogeneous variance models ####
# ####=========####
# ## Multivariate Compound simmetry (CS) model
# DT$EnvName <- paste(DT$Env,DT$Name)</pre>
# ans4 <- mmer(cbind(Yield, Weight) ~ Env,</pre>
             random= ~ vsr(Name, Gtc = unsm(2)) + vsr(EnvName,Gtc = unsm(2)),
             rcov= ~ vsr(units, Gtc = unsm(2)),
             data=DT)
# summary(ans4)
# ####========####
# #### Multivariate heterogeneous variance models ####
# ####==========####
# ## Multivariate Compound simmetry (CS) + Diagonal (DIAG) model
# ans5 <- mmer(cbind(Yield, Weight) ~ Env,</pre>
#
             random= ~ vsr(Name, Gtc = unsm(2)) + vsr(dsr(Env), Name, Gtc = unsm(2)),
#
             rcov= ~ vsr(dsr(Env),units, Gtc = unsm(2)),
             data=DT)
# summary(ans5)
# ####=======####
# #### Multivariate unstructured variance models ####
# ans6 <- mmer(cbind(Yield, Weight) ~ Env,</pre>
             random= ~ vsr(usr(Env), Name, Gtc = unsm(2)),
```

```
rcov= ~ vsr(dsr(Env), units, Gtc = unsm(2)),
              data=DT)
# summary(ans6)
# ####======####
# ####======####
# #### EXAMPLE SET 2
# #### 2 variance components
# #### one random effect with variance covariance structure
# ####=======####
# ####=======####
# data("DT_cpdata")
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# head(DT)
# GT[1:4,1:4]
# #### create the variance-covariance matrix
# A <- A.mat(GT)
# #### look at the data and fit the model
# mix1 <- mmer(Yield~1,</pre>
             random=~vsr(id, Gu=A) + Rowf,
             rcov=~units,
#
             data=DT)
# summary(mix1)$varcomp
# #### calculate heritability
# vpredict(mix1, h1 \sim V1/(V1+V3))
# #### multi trait example
# mix2 <- mmer(cbind(Yield,color)~1,</pre>
              random = ~ vsr(id, Gu=A, Gtc = unsm(2)) + # unstructured at trait level
#
                         vsr(Rowf, Gtc=diag(2)) + # diagonal structure at trait level
                          vsr(Colf, Gtc=diag(2)), # diagonal structure at trait level
              rcov = ~ vsr(units, Gtc = unsm(2)), # unstructured at trait level
              data=DT)
# summary(mix2)
# ####=======####
# #### EXAMPLE SET 3
# #### comparison with lmer, install 'lme4'
# #### and run the code below
# ####=======####
# #### lmer cannot use var-cov matrices so we will not
# #### use them in this comparison example
# library(lme4)
# library(sommer)
# data("DT_cornhybrids")
# DT <- DT_cornhybrids</pre>
# DTi <- DTi_cornhybrids</pre>
# GT <- GT_cornhybrids</pre>
#
```

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```
# fm1 <- lmer(Yield ~ Location + (1|GCA1) + (1|GCA2) + (1|SCA),
              data=DT )
#
# out <- mmer(Yield ~ Location,</pre>
               random = \sim GCA1 + GCA2 + SCA,
#
#
               rcov = ~ units,
#
               data=DT)
# summary(fm1)
# summary(out)
# ### same BLUPs for GCA1, GCA2, SCA than lme4
# plot(out$U$GCA1$Yield, ranef(fm1)$GCA1[,1])
# plot(out$U$GCA2$Yield, ranef(fm1)$GCA2[,1])
# vv=which(abs(out$U$SCA$Yield) > 0)
# plot(out$U$SCA$Yield[vv], ranef(fm1)$SCA[,1])
# ### a more complex model specifying which locations
# head(DT)
# out2 <- mmer(Yield ~ Location,</pre>
                random = ~ vsr(atr(Location,c("3","4")),GCA2) +
#
                            vsr(atr(Location,c("3","4")),SCA),
#
                rcov = ~ vsr(dsr(Location), units),
                data=DT)
# summary(out2)
```

overlay

Overlay Matrix

#### **Description**

'overlay' adds r times the design matrix for model term t to the existing design matrix. Specifically, if the model up to this point has p effects and t has a effects, the a columns of the design matrix for t are multiplied by the scalar r (default value 1.0). This can be used to force a correlation of 1 between two terms as in a diallel analysis.

## Usage

```
overlay(..., rlist=NULL, prefix=NULL, sparse=FALSE)
```

## **Arguments**

as many vectors as desired to overlay.

rlist a list of scalar values indicating the times that each incidence matrix overlayed

should be multiplied by. By default r=1.

prefix a character name to be added before the column names of the final overlay ma-

trix. This may be useful if you have entries with names starting with numbers which programs such as asreml doesn't like, or for posterior extraction of pa-

rameters, that way 'grep'ing is easier.

sparse a TRUE/FALSE statement specifying if the matrices should be built as sparse or

regular matrices.

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#### Value

**\$S3** an incidence matrix with as many columns levels in the vectors provided to build the incidence matrix.

## Author(s)

Giovanny Covarrubias-Pazaran

#### References

Fikret Isik. 2009. Analysis of Diallel Mating Designs. North Carolina State University, Raleigh, USA.

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The core functions of the package mmer and a function for creating dummy variables for diallel models named add.diallel.vars.

## **Examples**

```
####=======================###
#### For CRAN time limitations most lines in the
#### examples are silenced with one '#' mark,
#### remove them and run the examples
####==============###
data("DT_halfdiallel")
DT <- DT_halfdiallel")
DT$femalef <- as.factor(DT$female)
DT$malef <- as.factor(DT$male)
DT$genof <- as.factor(DT$geno)
with(DT, overlay(femalef, malef, sparse = TRUE))
with(DT, overlay(femalef, malef, sparse = FALSE))</pre>
```

plot.mmec

plot form a LMM plot with mmec

## Description

plot method for class "mmec".

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## Usage

```
## S3 method for class 'mmec'
plot(x,stnd=TRUE, ...)
```

## **Arguments**

x an object of class "mmec"stnd argument for ploting the residuals to know if they should be standarized.... Further arguments to be passed

## Value

vector of plot

## Author(s)

Giovanny Covarrubias < covarrubias p@wisc.edu>

#### See Also

```
plot, mmec
```

# **Examples**

plot.mmer

plot form a LMM plot with mmer

# Description

```
plot method for class "mmer".
```

# Usage

```
## S3 method for class 'mmer'
plot(x,stnd=TRUE, ...)
```

pmonitor pmonitor

## **Arguments**

```
x an object of class "mmer" stnd argument for ploting the residuals to know if they should be standarized.
```

... Further arguments to be passed

#### Value

vector of plot

## Author(s)

Giovanny Covarrubias < covarrubias p@wisc.edu>

#### See Also

```
plot, mmer
```

## **Examples**

pmonitor

plot the change of VC across iterations

# Description

```
plot for monitoring.
```

## Usage

```
pmonitor(object, ...)
```

## **Arguments**

object model object of class "mmec"

... Further arguments to be passed to the plot function.

## Value

vector of plot

predict.mmec 121

#### Author(s)

Giovanny Covarrubias

#### See Also

```
plot, mmec
```

#### **Examples**

predict.mmec

Predict form of a LMM fitted with mmec

## **Description**

```
predict method for class "mmec".
```

## Usage

```
## S3 method for class 'mmec'
predict(object, Dtable=NULL, D, ...)
```

#### **Arguments**

D

object a mixed model of class "mmec"

Dtable a table specifying the terms to be included or averaged.

An "ignored" term means that the model matrix part for that fixed or random effect are not used in the BLUP and SE calculation.

An "averaged" term means that the model matrices for that fixed or random effect is filled purely with the value 1/#levels for that term.

An "include" term means that the model matrices for that fixed or random effect is filled with 1's for the positions where column names and row names match.

An "include and average" term means that the model matrices for that fixed or random effect is filled with 1/#levels for the positions where column names and

row names match.

a character string specifying the variable used to extract levels for the rows of the

 $\boldsymbol{D}$  matrix and its construction. Alternatively, the  $\boldsymbol{D}$  matrix (of class dgCMatrix)

specifying the matrix to be used for the predictions directly.

Further arguments to be passed.

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#### **Details**

This function allows to produce predictions specifying those variables that define the margins of the hypertable to be predicted (argument classify). Predictions are obtained for each combination of values of the specified variables that is present in the data set used to fit the model. See vignettes for more details.

For predicted values the pertinent design matrices X and Z together with BLUEs (b) and BLUPs (u) are multiplied and added together.

```
predicted.value equal Xb + Zu.1 + ... + Zu.n
```

For computing standard errors for predictions the parts of the coefficient matrix:

```
C11 equal (X'ViX)-
```

```
C12 equal 0 - [(X'V-X)-X' V- GZ]
```

C22 equal PEV equal G - [Z'G[V- - (VX\*tXVXVX)]GZ']

and are summarized as:

standard.errors equal sqrt( rowSums(XC11\*X') + rowSums(2\*(XC12\*Z')) + rowSums(ZC22\*Z')

when both fixed and random effects are present in the inclusion set. If only fixed and random effects are included, only the respective terms from the SE for fixed or random effects are calculated.

### Value

pvals	the table of predictions according to the specified arguments.
hypertable	the summary table specifying the ignored, include and average sets.
model	the mixed model used within predict.
C11	the inverse of the coefficient matrix corresponding to the fixed effects.
C12	the inverse of the coefficient matrix corresponding to the covariance between fixed and random effects.
C22	the inverse of the coefficient matrix corresponding to the random effects.
Xextended	the model matrix for fixed effects used to compute BLUPs and SEs.
Zextended	the model matrix for random effects used to compute BLUPs and SEs.

## Author(s)

Giovanny Covarrubias

#### References

Welham, S., Cullis, B., Gogel, B., Gilmour, A., and Thompson, R. (2004). Prediction in linear mixed models. Australian and New Zealand Journal of Statistics, 46, 325 - 347.

## See Also

```
predict, mmec
```

predict.mmec 123

#### **Examples**

```
data(DT_yatesoats)
DT <- DT_yatesoats
m3 \leftarrow mmec(fixed=Y \sim V + N + V:N),
          random = ~B + B:MP,
          rcov=~units,
          data = DT)
## predict means for nitrogen
Dt <- m3$Dtable; Dt
# first fixed effect just average
Dt[1,"average"] = TRUE
# second fixed effect include
Dt[2,"include"] = TRUE
# third fixed effect include and average
Dt[3,"include"] = TRUE
Dt[3, "average"] = TRUE
Dt
pp=predict(object=m3, Dtable=Dt, D="N")
pp$pvals
## predict means for variety
Dt <- m3$Dtable; Dt
# first fixed effect include
Dt[1,"include"] = TRUE
# second fixed effect just average
Dt[2, "average"] = TRUE
# third fixed effect include and average
Dt[3,"include"] = TRUE
Dt[3, "average"] = TRUE
Dt
pp=predict(object=m3, Dtable=Dt, D="V")
pp$pvals
## predict means for nitrogen:variety
####################################
# prediction matrix D based on (equivalent to classify in asreml)
Dt <- m3$Dtable; Dt
# first fixed effect include and average
Dt[1,"include"] = TRUE
Dt[1, "average"] = TRUE
# second fixed effect include and average
Dt[2,"include"] = TRUE
```

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```
Dt[2,"average"] = TRUE
# third fixed effect include and average
Dt[3,"include"] = TRUE
Dt[3,"average"] = TRUE
Dt

pp=predict(object=m3, Dtable=Dt, D="N:V")
pp$pvals
```

predict.mmer

Predict form of a LMM fitted with mmer

## Description

```
predict method for class "mmer".
```

## Usage

#### **Arguments**

object a mixed model of class "mmer"

classify a character vector with the variables that define the margins of the multiway

table to be aggregated.

hypertable an optional table to force the terms to be included, ignored and averaged with

same format as the output hypertable of this function (see examples).

An ignored term means that the model matrices for that fixed or random effect

are not used in the BLUP and SE calculation.

An averaged term means that the model matrices for that fixed or random effect

is filled purely with the value 1/#levels.

... Further arguments to be passed to the model fit (i.e. iters, etc.).

## **Details**

This function allows to produce predictions specifying those variables that define the margins of the hypertable to be predicted (argument classify). Predictions are obtained for each combination of values of the specified variables that is present in the data set used to fit the model. See vignettes for more details.

For predicted values the pertinent design matrices X and Z together with BLUEs (b) and BLUPs (u) are multiplied and added together.

```
predicted.value equal Xb + Zu.1 + ... + Zu.n
```

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For computing standard errors for predictions the parts of the coefficient matrix:

```
C11 equal (X'ViX)- C12 equal 0 - [(X'V-X)-X' V- GZ]  
C22 equal PEV equal G - [Z'G[V- - (VX*tXVXVX)]GZ']  
and are summarized as:  
standard.errors equal sqrt( rowSums(XC11*X') + rowSums(2*(XC12*Z')) + rowSums(ZC22*Z') )
```

when both fixed and random effects are present in the inclusion set. If only fixed and random effects are included, only the respective terms from the SE for fixed or random effects are calculated.

#### Value

pvals	the table of predictions according to the specified arguments.
hypertable	the summary table specifying the ignored, include and average sets.
model	the mixed model used within predict.
C11	the inverse of the coefficient matrix corresponding to the fixed effects.
C12	the inverse of the coefficient matrix corresponding to the covariance between fixed and random effects.
C22	the inverse of the coefficient matrix corresponding to the random effects.
Xextended	the model matrix for fixed effects used to compute BLUPs and SEs.
Zextended	the model matrix for random effects used to compute BLUPs and SEs.

## Author(s)

Giovanny Covarrubias

#### References

Welham, S., Cullis, B., Gogel, B., Gilmour, A., and Thompson, R. (2004). Prediction in linear mixed models. Australian and New Zealand Journal of Statistics, 46, 325 - 347.

#### See Also

```
predict, mmer
```

# **Examples**

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```
p0 <- predict.mmer(object=m3, classify = "N")
p0$hypertable; p0$pvals
p0 <- predict.mmer(object=m3, classify = "V")</pre>
p0$hypertable; p0$pvals
p0 <- predict.mmer(object=m3, classify = "B")</pre>
p0$hypertable; p0$pvals
p0 <- predict.mmer(object=m3, classify = c("V","N"))
p0$hypertable; p0$pvals
## Modify terms in the prediction
## Ignore a term: it doesn't include the
## model matrix in the BLUP and its SE
p0 <- predict.mmer(object=m3, classify = "N")</pre>
# modify the prediction
hypertable <- p0$hypertable; hypertable</pre>
# ignore the B:MP random term
hypertable[6,c("ignored","include","average")] <- c(TRUE,FALSE,FALSE)</pre>
hypertable
p1 <- predict.mmer(object=m3, classify = "N", hypertable = hypertable)</pre>
p1$pvals
## Modify terms in the prediction
## Average a term: it fills the model matrix
## for the term with 1/#levels affecting
## the BLUP and its SE
p0 <- predict.mmer(object=m3, classify = "N")
# modify the prediction
hypertable <- p0$hypertable; hypertable
# average the V:N fixed term
hypertable[4,c("ignored","include","average")] <- c(FALSE,TRUE,TRUE)</pre>
hypertable
p1 <- predict.mmer(object=m3, classify = "N", hypertable = hypertable)</pre>
p1$pvals
```

residuals.mmec 127

## **Description**

This function is extracts the random effects from a mixed model fitted by mmer.

## Usage

```
randef(object)
```

# Arguments

object

an mmer object

#### Value

**\$randef** a list structure with the random effects or BLUPs.

# **Examples**

```
# randef(model)
```

residuals.mmec

Residuals form a GLMM fitted with mmec

# Description

```
residuals method for class "mmec".
```

# Usage

```
## S3 method for class 'mmec'
residuals(object, ...)
```

## **Arguments**

```
object an object of class "mmec"
... Further arguments to be passed
```

## Value

vector of residuals of the form e = y - Xb - Zu, the so called conditional residuals.

## Author(s)

Giovanny Covarrubias

## See Also

```
residuals, mmec
```

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residuals.mmer

Residuals form a GLMM fitted with mmer

# Description

```
residuals method for class "mmer".
```

## Usage

```
## S3 method for class 'mmer'
residuals(object, ...)
```

# Arguments

```
object an object of class "mmer"
... Further arguments to be passed
```

# Value

vector of residuals of the form e = y - Xb - Zu, the so called conditional residuals.

## Author(s)

Giovanny Covarrubias

#### See Also

```
residuals, mmer
```

simGECorMat

Create a GE correlation matrix for simulation purposes.

# Description

Makes a simple correlation matrix based on the number of environments and megaenvironments desired.

## Usage

```
simGECorMat(nEnv,nMegaEnv,mu=0.7, v=0.2, mu2=0, v2=0.3)
```

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#### **Arguments**

nEnv	Number of environments to simulate. Needs to be divisible by the nMegaEnv
	argument.

nMegaEnv Number of megaenvironments to simulate.

mu Mean value of the genetic correlation within megaenvironments.

v variance in the genetic correlation within megaenvironments.

mu2 Mean value of the genetic correlation between megaenvironments.

v2 variance in the genetic correlation between megaenvironments.

## **Details**

Simple simulation of a correlation matrix for environments and megaenvironments.

#### Value

G the correlation matrix

**\$G** the correlation matrix

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

#### See Also

mmer – the core function of the package

## **Examples**

simGECorMat(9,3)

spl2Da

Two-dimensional penalised tensor-product of marginal B-Spline basis.

## Description

Auxiliary function used for modelling the spatial or environmental effect as a two-dimensional penalised tensor-product (isotropic approach) based on Lee et al. (2013) and Rodriguez-Alvarez et al. (2018). This is a modified wrapper of some portions of the SpATS package to build a single incidence matrix containing all the columns from tensor products of the x and y coordinates and it fits such matrix as a single random effect. Then the heterogeneous covariances structure capabilities of sommer can be used to enhance the model fit. You may be interested in reading and citing not only sommer but also Wageningen publications if using this 2D spline methodology.

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## Usage

```
spl2Da(x.coord,y.coord,at.var=NULL,at.levels=NULL, type="PSANOVA", nsegments = c(10,10), penaltyord = c(2,2), degree = c(3,3), nestorder = c(1,1))
```

#### **Arguments**

C	
x.coord	vector of coordinates on the x-axis direction (i.e. row) to use in the 2 dimensional spline.
y.coord	vector of coordinates on the y-axis direction (i.e. range or column) to use in the 2 dimensional spline.
at.var	vector of indication variable where heterogeneous variance is required (e.g., a different spl2D for each field).
at.levels	character vector with the names of the leves for the at term that should be used, if missing all levels are used.
type	one of the two methods "PSANOVA" or "SAP". See details below.
nsegments	numerical vector of length 2 containing the number of segments for each marginal (strictly nsegments - 1 is the number of internal knots in the domain of the covariate). Atomic values are also valid, being recycled. Default set to 10.
penaltyord	numerical vector of length 2 containing the penalty order for each marginal. Atomic values are also valid, being recycled. Default set to 2 (second order). Currently, only second order penalties are allowed.
degree	numerical vector of length 2 containing the order of the polynomial of the B-spline basis for each marginal. Atomic values are also valid, being recycled. Default set to 3 (cubic B-splines).
nestorder	numerical vector of length 2 containing the divisor of the number of segments (nsegments) to be used for the construction of the nested B-spline basis for the smooth-by-smooth interaction component. In this case, the nested B-spline basis will be constructed assuming a total of nsegments/nestorder segments. Default set to 1, which implies that nested basis are not used. See SAP for more details.

#### **Details**

# The following documentation is taken from the SpATS package. Please refer to this package and associated publications if you are interested in going deeper on this technique:

Within the P-spline framework, anisotropic low-rank tensor-product smoothers have become the general approach for modelling multidimensional surfaces (Eilers and Marx 2003; Wood 2006). In the original SpATS package, was proposed to model the spatial or environmental effect by means of the tensor-product of B-splines basis functions. In other words, was proposed to model the spatial trend as a smooth bivariate surface jointly defined over the the spatial coordinates. Accordingly, the current function has been designed to allow the user to specify the spatial coordinates that the spatial trend is a function of. There is no restriction about how the spatial coordinates shall be specified: these can be the longitude and latitude of the position of the plot on the field or the column and row numbers. The only restriction is that the variables defining the spatial coordinates should be numeric (in contrast to factors).

As far as estimation is concerned, we have used in this package the equivalence between P-splines and linear mixed models (Currie and Durban, 2002). Under this approach, the smoothing parameters are expressed as the ratio between variance components. Moreover, the smooth components are decomposed in two parts: one which is not penalised (and treated as fixed) and one with is penalised (and treated as random). For the two-dimensional case, the mixed model representation leads also to a very interesting decomposition of the penalised part of the bivariate surface in three different components (Lee and Durban, 2011): (a) a component that contains the smooth main effect (smooth trend) along one of the covariates that the surface is a function of (as, e.g, the x-spatial coordinate or column position of the plot in the field), (b) a component that contains the smooth main effect (smooth trend) along the other covariate (i.e., the y-spatial coordinate or row position); and (c) a smooth interaction component (sum of the linear-by-smooth interaction components and the smooth-by-smooth interaction component).

The original implementation of SpATS assumes two different smoothing parameters, i.e., one for each covariate in the smooth component. Accordingly, the same smoothing parameters are used for both, the main effects and the smooth interaction. However, this approach can be extended to deal with the ANOVA-type decomposition presented in Lee and Durban (2011). In their approach, four different smoothing parameters are considered for the smooth surface, that are in concordance with the aforementioned decomposition: (a) two smoothing parameter, one for each of the main effects; and (b) two smoothing parameter for the smooth interaction component.

It should be noted that, the computational burden associated with the estimation of the two-dimensional tensor-product smoother might be prohibitive if the dimension of the marginal bases is large. In these cases, Lee et al. (2013) propose to reduce the computational cost by using nested bases. The idea is to reduce the dimension of the marginal bases (and therefore the associated number of parameters to be estimated), but only for the smooth-by-smooth interaction component. As pointed out by the authors, this simplification can be justified by the fact that the main effects would in fact explain most of the structure (or spatial trend) presented in the data, and so a less rich representation of the smooth-by-smooth interaction component could be needed. In order to ensure that the reduced bivariate surface is in fact nested to the model including only the main effects, Lee et al. (2013) show that the number of segments used for the nested basis should be a divisor of the number of segments used in the original basis (nsegments argument). In the present function, the divisor of the number of segments is specified through the argument nestorder. For a more detailed review on this topic, see Lee (2010) and Lee et al. (2013). The "PSANOVA" approach represents an alternative method. In this case, the smooth bivariate surface (or spatial trend) is decomposed in five different components each of them depending on a single smoothing parameter (see Lee et al., 2013).

As mentioned at the beginning, the piece of documentation stated above was taken completely from the SpATS package in order to provide a deeper explanation. In practice, sommer uses some pieces of code from SpATS to build the design matrix containing all the columns from tensor products of the x and y coordinates and it fits such matrix as a single random effect. As a result the same variance component is assumed for the linear, linear by linear, linear by spline, and spline by spline interactions. This results in a less flexible approach than the one proposed by Rodriguez-Alvarez et al. (2018) but still makes a pretty good job to model the spatial variation. Use under your own risk.

#### References

Rodriguez-Alvarez, M.X, Boer, M.P., van Eeuwijk, F.A., and Eilers, P.H.C. (2018). SpATS: Spatial Analysis of Field Trials with Splines. R package version 1.0-9. https://CRAN.R-project.org/package=SpATS.

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Rodriguez-Alvarez, M.X., et al. (2015) Fast smoothing parameter separation in multidimensional generalized P-splines: the SAP algorithm. Statistics and Computing 25.5: 941-957.

Lee, D.-J., Durban, M., and Eilers, P.H.C. (2013). Efficient two-dimensional smoothing with P-spline ANOVA mixed models and nested bases. Computational Statistics and Data Analysis, 61, 22 - 37.

Gilmour, A.R., Cullis, B.R., and Verbyla, A.P. (1997). Accounting for Natural and Extraneous Variation in the Analysis of Field Experiments. Journal of Agricultural, Biological, and Environmental Statistics, 2, 269 - 293.

#### See Also

```
mmer, spl2Db
```

## **Examples**

```
## ======= ##
## example to use spl2Da()
## ======= ##
data(DT_cpdata)
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# A <- A.mat(GT)
# mix <- mmer(Yield~1,</pre>
            random=~vsr(id, Gu=A) +
              vsr(Rowf) +
              vsr(Colf) +
               spl2Da(Row,Col),
             rcov=~units,
             data=DT)
# summary(mix)$varcomp
## ======= ##
## mimic 2 fields
## ======= ##
# aa <- DT; bb <- DT
# aa$FIELD <- "A";bb$FIELD <- "B"</pre>
# set.seed(1234)
# aa$Yield <- aa$Yield + rnorm(length(aa$Yield),0,4)</pre>
# DT2 <- rbind(aa,bb)</pre>
# head(DT2)
# A <- A.mat(GT)
# mix <- mmer(Yield~1,</pre>
            random=~vsr(dsr(FIELD),id, Gu=A) +
              vsr(dsr(FIELD),Rowf) +
              vsr(dsr(FIELD),Colf) +
#
                 spl2Da(Row,Col,at.var=FIELD),
             rcov=~vsr(dsr(FIELD),units),
             data=DT2)
```

spl2Db

Two-dimensional penalised tensor-product of marginal B-Spline basis.

## **Description**

Auxiliary function used for modelling the spatial or environmental effect as a two-dimensional penalised tensor-product (isotropic approach) based on Lee et al. (2013) and Rodriguez-Alvarez et al. (2018). spl2Db gets Tensor-Product P-Spline Mixed Model Incidence Matrices for use with sommer and its main function mmer. We thank Sue Welham for making the TPSbits package available to the community. If you're using this function for your research please cite her TPSbits package:) this is mostly a wrapper of her tpsmmb function to enable the use in sommer.

# Usage

```
 spl2Db(x.coord,y.coord,at.var=NULL,at.levels=NULL,nsegments = c(10,10),\\ degree = c(3,3), penaltyord = c(2,2), nestorder = c(1,1),\\ minbound=NULL, maxbound=NULL, method="Lee", what="bits")
```

## **Arguments**

x.coord	vector of coordinates on the x-axis direction (i.e. row) to use in the 2 dimensional spline.
y.coord	vector of coordinates on the y-axis direction (i.e. range or column) to use in the 2 dimensional spline.
at.var	vector of indication variable where heterogeneous variance is required (e.g., a different spl2D for each field).
at.levels	character vector with the names of the leves for the at term that should be used, if missing all levels are used.
nsegments	numerical vector of length 2 containing the number of segments for each marginal (strictly nsegments - 1 is the number of internal knots in the domain of the covariate). Atomic values are also valid, being recycled. Default set to 10.
degree	numerical vector of length 2 containing the order of the polynomial of the B-spline basis for each marginal. Atomic values are also valid, being recycled. Default set to 3 (cubic B-splines).
penaltyord	numerical vector of length 2 containing the penalty order for each marginal. Atomic values are also valid, being recycled. Default set to 2 (second order). Currently, only second order penalties are allowed.
nestorder	numerical vector of length 2 containing the divisor of the number of segments (nsegments) to be used for the construction of the nested B-spline basis for the smooth-by-smooth interaction component. In this case, the nested B-spline basis will be constructed assuming a total of nsegments/nestorder segments. Default set to 1, which implies that nested basis are not used. See SAP for more details.
minbound	A list of length 2. The lower bound to be used for column and row dimensions respectively; default calculated as the minimum value for each dimension.

maxbound A list of length 2. The upper bound to be used for column and row dimensions

respectively; default calculated as the maximum value for each dimension.

method A string. Method for forming the penalty; default="Lee" ie the penalty from

Lee, Durban & Eilers (2013, CSDA 61, 22-37). The alternative method is "Wood" ie. the method from Wood et al (2012, Stat Comp 23, 341-360). This

option is a research tool and requires further investigation.

what one of two values; 'base' or 'bits' to return:

base = matrix for columns cbind(TP.col,TP.row,TP.C.n,TP.R.n,TP.CR.n). To be

used in the fixed part.

bits = matrices for the tensor products. To be used in the random part.

#### **Details**

# The following documentation is taken from the SpATS package. Please refer to this package and associated publications if you are interested in going deeper on this technique:

Within the P-spline framework, anisotropic low-rank tensor-product smoothers have become the general approach for modelling multidimensional surfaces (Eilers and Marx 2003; Wood 2006). In the original SpATS package, was proposed to model the spatial or environmental effect by means of the tensor-product of B-splines basis functions. In other words, was proposed to model the spatial trend as a smooth bivariate surface jointly defined over the the spatial coordinates. Accordingly, the current function has been designed to allow the user to specify the spatial coordinates that the spatial trend is a function of. There is no restriction about how the spatial coordinates shall be specified: these can be the longitude and latitude of the position of the plot on the field or the column and row numbers. The only restriction is that the variables defining the spatial coordinates should be numeric (in contrast to factors).

As far as estimation is concerned, we have used in this package the equivalence between P-splines and linear mixed models (Currie and Durban, 2002). Under this approach, the smoothing parameters are expressed as the ratio between variance components. Moreover, the smooth components are decomposed in two parts: one which is not penalised (and treated as fixed) and one with is penalised (and treated as random). For the two-dimensional case, the mixed model representation leads also to a very interesting decomposition of the penalised part of the bivariate surface in three different components (Lee and Durban, 2011): (a) a component that contains the smooth main effect (smooth trend) along one of the covariates that the surface is a function of (as, e.g, the x-spatial coordinate or column position of the plot in the field), (b) a component that contains the smooth main effect (smooth trend) along the other covariate (i.e., the y-spatial coordinate or row position); and (c) a smooth interaction component (sum of the linear-by-smooth interaction components and the smooth-by-smooth interaction component).

The default implementation assumes two different smoothing parameters, i.e., one for each covariate in the smooth component. Accordingly, the same smoothing parameters are used for both, the main effects and the smooth interaction. However, this approach can be extended to deal with the ANOVA-type decomposition presented in Lee and Durban (2011). In their approach, four different smoothing parameters are considered for the smooth surface, that are in concordance with the aforementioned decomposition: (a) two smoothing parameter, one for each of the main effects; and (b) two smoothing parameter for the smooth interaction component.

It should be noted that, the computational burden associated with the estimation of the two-dimensional tensor-product smoother might be prohibitive if the dimension of the marginal bases is large. In these cases, Lee et al. (2013) propose to reduce the computational cost by using nested bases. The

idea is to reduce the dimension of the marginal bases (and therefore the associated number of parameters to be estimated), but only for the smooth-by-smooth interaction component. As pointed out by the authors, this simplification can be justified by the fact that the main effects would in fact explain most of the structure (or spatial trend) presented in the data, and so a less rich representation of the smooth-by-smooth interaction component could be needed. In order to ensure that the reduced bivariate surface is in fact nested to the model including only the main effects, Lee et al. (2013) show that the number of segments used for the nested basis should be a divisor of the number of segments used in the original basis (nsegments argument). In the present function, the divisor of the number of segments is specified through the argument nestorder. For a more detailed review on this topic, see Lee (2010) and Lee et al. (2013). The "PSANOVA" approach represents an alternative method. In this case, the smooth bivariate surface (or spatial trend) is decomposed in five different components each of them depending on a single smoothing parameter (see Lee et al., 2013).

#### Value

List of length 7 elements:

1. data = the input data frame augmented with structures required to fit tensor product splines in asreml-R. This data frame can be used to fit the TPS model.

Added columns:

- TP. col, TP. row = column and row coordinates
- TP. CxR = combined index for use with smooth x smooth term
- TP.C.n for n=1:diff.c = X parts of column spline for use in random model (where diff.c is the order of column differencing)
- TP.R.n for n=1:diff.r = X parts of row spline for use in random model (where diff.r is the order of row differencing)
- TP.CR.n for n=1:(diff.c\*diff.r) = interaction between the two X parts for use in fixed model. The first variate is a constant term which should be omitted from the model when the constant (1) is present. If all elements are included in the model then the constant term should be omitted, eg. y ~ -1 + TP.CR.1 + TP.CR.2 + TP.CR.3 + TP.CR.4 + other terms...
- when asreml="grp" or "sepgrp", the spline basis functions are also added into the data frame. Column numbers for each term are given in the grp list structure.
- 2. fR = Xr1:Zc
- 3. fC = Xr2:Zc
- 4. fR.C = Zr:Xc1
- 5. R.fC = Zr:Xc2
- 6. fR.fC = Zc:Zr
- 7.  $all = Xr1:Zc \mid Xr2:Zc \mid Zr:Xc1 \mid Zr:Xc2 \mid Zc:Zr$

#### References

Sue Welham (2021). TPSbits: Creates Structures to Enable Fitting and Examination of 2D Tensor-Product Splines using ASReml-R. R package version 1.0.0.

Rodriguez-Alvarez, M.X, Boer, M.P., van Eeuwijk, F.A., and Eilers, P.H.C. (2018). SpATS: Spatial Analysis of Field Trials with Splines. R package version 1.0-9. https://CRAN.R-project.org/package=SpATS.

Rodriguez-Alvarez, M.X., et al. (2015) Fast smoothing parameter separation in multidimensional generalized P-splines: the SAP algorithm. Statistics and Computing 25.5: 941-957.

Lee, D.-J., Durban, M., and Eilers, P.H.C. (2013). Efficient two-dimensional smoothing with P-spline ANOVA mixed models and nested bases. Computational Statistics and Data Analysis, 61, 22 - 37.

Gilmour, A.R., Cullis, B.R., and Verbyla, A.P. (1997). Accounting for Natural and Extraneous Variation in the Analysis of Field Experiments. Journal of Agricultural, Biological, and Environmental Statistics, 2, 269 - 293.

#### See Also

```
mmer, spl2Da
```

## **Examples**

```
## ======= ##
## example to use spl2Db()
## ======= ##
data(DT_cpdata)
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# A <- A.mat(GT)
# mix <- mmer(Yield~1,</pre>
            random=~vsr(id, Gu=A) +
              vsr(Rowf) +
              vsr(Colf) +
              spl2Db(Row,Col),
             rcov=~units,
#
             data=DT)
# summary(mix)$varcomp
## ======= ##
## mimic 2 fields
## ======= ##
# aa <- DT; bb <- DT
# aa$FIELD <- "A";bb$FIELD <- "B"</pre>
# set.seed(1234)
# aa$Yield <- aa$Yield + rnorm(length(aa$Yield),0,4)</pre>
# DT2 <- rbind(aa,bb)</pre>
# head(DT2)
# A <- A.mat(GT)
# mix <- mmer(Yield~1,</pre>
            random=~vsr(dsr(FIELD),id, Gu=A) +
              vsr(dsr(FIELD),Rowf) +
#
               vsr(dsr(FIELD),Colf) +
                 spl2Db(Row,Col,at.var=FIELD),
             rcov=~vsr(dsr(FIELD),units),
#
             data=DT2)
```

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spl2Dc

Two-dimensional penalised tensor-product of marginal B-Spline basis.

## **Description**

Auxiliary function used for modelling the spatial or environmental effect as a two-dimensional penalised tensor-product (isotropic approach) based on Lee et al. (2013) and Rodriguez-Alvarez et al. (2018). This is a modified wrapper of some portions of the SpATS package to build a single incidence matrix containing all the columns from tensor products of the x and y coordinates and it fits such matrix as a single random effect. Then the heterogeneous covariances structure capabilities of sommec can be used to enhance the model fit. You may be interested in reading and citing not only sommec but also Wageningen publications if using this 2D spline methodology.

#### Usage

```
spl2Dc(x.coord,y.coord,at.var=NULL,at.levels=NULL, type="PSANOVA", nsegments = c(10,10), penaltyord = c(2,2), degree = c(3,3), nestorder = c(1,1), thetaC=NULL, theta=NULL)
```

## **Arguments**

x.coord	vector of coordinates on the x-axis direction (i.e. row) to use in the 2 dimensional spline.
y.coord	vector of coordinates on the y-axis direction (i.e. range or column) to use in the 2 dimensional spline.
at.var	vector of indication variable where heterogeneous variance is required (e.g., a different spl2D for each field).
at.levels	character vector with the names of the leves for the at term that should be used, if missing all levels are used.
type	one of the two methods "PSANOVA" or "SAP". See details below.
nsegments	numerical vector of length 2 containing the number of segments for each marginal (strictly nsegments - 1 is the number of internal knots in the domain of the covariate). Atomic values are also valid, being recycled. Default set to 10.
penaltyord	numerical vector of length 2 containing the penalty order for each marginal. Atomic values are also valid, being recycled. Default set to 2 (second order). Currently, only second order penalties are allowed.
degree	numerical vector of length 2 containing the order of the polynomial of the B-spline basis for each marginal. Atomic values are also valid, being recycled. Default set to 3 (cubic B-splines).
nestorder	numerical vector of length 2 containing the divisor of the number of segments (nsegments) to be used for the construction of the nested B-spline basis for the smooth-by-smooth interaction component. In this case, the nested B-spline basis will be constructed assuming a total of nsegments/nestorder segments.

details.

Default set to 1, which implies that nested basis are not used. See SAP for more

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thetaC an optional matrix for constraints in the variance components.
theta an optional matrix for initial values of the variance components.

#### **Details**

# The following documentation is taken from the SpATS package. Please refer to this package and associated publications if you are interested in going deeper on this technique:

Within the P-spline framework, anisotropic low-rank tensor-product smoothers have become the general approach for modelling multidimensional surfaces (Eilers and Marx 2003; Wood 2006). In the original SpATS package, was proposed to model the spatial or environmental effect by means of the tensor-product of B-splines basis functions. In other words, was proposed to model the spatial trend as a smooth bivariate surface jointly defined over the the spatial coordinates. Accordingly, the current function has been designed to allow the user to specify the spatial coordinates that the spatial trend is a function of. There is no restriction about how the spatial coordinates shall be specified: these can be the longitude and latitude of the position of the plot on the field or the column and row numbers. The only restriction is that the variables defining the spatial coordinates should be numeric (in contrast to factors).

As far as estimation is concerned, we have used in this package the equivalence between P-splines and linear mixed models (Currie and Durban, 2002). Under this approach, the smoothing parameters are expressed as the ratio between variance components. Moreover, the smooth components are decomposed in two parts: one which is not penalised (and treated as fixed) and one with is penalised (and treated as random). For the two-dimensional case, the mixed model representation leads also to a very interesting decomposition of the penalised part of the bivariate surface in three different components (Lee and Durban, 2011): (a) a component that contains the smooth main effect (smooth trend) along one of the covariates that the surface is a function of (as, e.g, the x-spatial coordinate or column position of the plot in the field), (b) a component that contains the smooth main effect (smooth trend) along the other covariate (i.e., the y-spatial coordinate or row position); and (c) a smooth interaction component (sum of the linear-by-smooth interaction components and the smooth-by-smooth interaction component).

The original implementation of SpATS assumes two different smoothing parameters, i.e., one for each covariate in the smooth component. Accordingly, the same smoothing parameters are used for both, the main effects and the smooth interaction. However, this approach can be extended to deal with the ANOVA-type decomposition presented in Lee and Durban (2011). In their approach, four different smoothing parameters are considered for the smooth surface, that are in concordance with the aforementioned decomposition: (a) two smoothing parameter, one for each of the main effects; and (b) two smoothing parameter for the smooth interaction component.

It should be noted that, the computational burden associated with the estimation of the two-dimensional tensor-product smoother might be prohibitive if the dimension of the marginal bases is large. In these cases, Lee et al. (2013) propose to reduce the computational cost by using nested bases. The idea is to reduce the dimension of the marginal bases (and therefore the associated number of parameters to be estimated), but only for the smooth-by-smooth interaction component. As pointed out by the authors, this simplification can be justified by the fact that the main effects would in fact explain most of the structure (or spatial trend) presented in the data, and so a less rich representation of the smooth-by-smooth interaction component could be needed. In order to ensure that the reduced bivariate surface is in fact nested to the model including only the main effects, Lee et al. (2013) show that the number of segments used for the nested basis should be a divisor of the number of segments used in the original basis (nsegments argument). In the present function, the divisor

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of the number of segments is specified through the argument nestorder. For a more detailed review on this topic, see Lee (2010) and Lee et al. (2013). The "PSANOVA" approach represents an alternative method. In this case, the smooth bivariate surface (or spatial trend) is decomposed in five different components each of them depending on a single smoothing parameter (see Lee et al., 2013).

As mentioned at the beginning, the piece of documentation stated above was taken completely from the SpATS package in order to provide a deeper explanation. In practice, sommec uses some pieces of code from SpATS to build the design matrix containing all the columns from tensor products of the x and y coordinates and it fits such matrix as a single random effect. As a result the same variance component is assumed for the linear, linear by linear, linear by spline, and spline by spline interactions. This results in a less flexible approach than the one proposed by Rodriguez-Alvarez et al. (2018) but still makes a pretty good job to model the spatial variation. Use under your own risk.

#### References

Rodriguez-Alvarez, M.X, Boer, M.P., van Eeuwijk, F.A., and Eilers, P.H.C. (2018). SpATS: Spatial Analysis of Field Trials with Splines. R package version 1.0-9. https://CRAN.R-project.org/package=SpATS.

Rodriguez-Alvarez, M.X., et al. (2015) Fast smoothing parameter separation in multidimensional generalized P-splines: the SAP algorithm. Statistics and Computing 25.5: 941-957.

Lee, D.-J., Durban, M., and Eilers, P.H.C. (2013). Efficient two-dimensional smoothing with P-spline ANOVA mixed models and nested bases. Computational Statistics and Data Analysis, 61, 22 - 37.

Gilmour, A.R., Cullis, B.R., and Verbyla, A.P. (1997). Accounting for Natural and Extraneous Variation in the Analysis of Field Experiments. Journal of Agricultural, Biological, and Environmental Statistics, 2, 269 - 293.

#### See Also

```
mmec, spl2Db
```

#### **Examples**

```
## ======= ##
## example to use spl2Dc()
## ======= ##
data(DT_cpdata)
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# A <- A.mat(GT)
# Ai <- as(solve(A + diag(1e-4,ncol(A),ncol(A))), Class="dgCMatrix")
# mix <- mmec(Yield~1,</pre>
            random=~vsc(isc(id), Gu=Ai) +
              Rowf + Colf +
#
              spl2Dc(Row,Col),
#
            rcov=~units,
            data=DT)
# summary(mix)$varcomp
```

spl2Dmats

```
## ====== ##
## mimic 2 fields
## ======= ##
# aa <- DT; bb <- DT
# aa$FIELD <- "A";bb$FIELD <- "B"</pre>
# set.seed(1234)
# aa$Yield <- aa$Yield + rnorm(length(aa$Yield),0,4)</pre>
# DT2 <- rbind(aa,bb)</pre>
# head(DT2)
# mix <- mmec(Yield~1,</pre>
             random=~vsc(dsc(FIELD),isc(id), Gu=Ai) +
               vsc(dsc(FIELD),isc(Rowf)) +
               vsc(dsc(FIELD),isc(Colf)) +
                 spl2Dc(Row,Col,at.var=FIELD),
#
             rcov=~vsc(dsc(FIELD),isc(units)),
             data=DT2)
```

spl2Dmats

Get Tensor Product Spline Mixed Model Incidence Matrices

## **Description**

spl2Dmats gets Tensor-Product P-Spline Mixed Model Incidence Matrices for use with sommer and its main function mmer. We thank Sue Welham for making the TPSbits package available to the community. If you're using this function for your research please cite her TPSbits package:) this is mostly a wrapper of her tpsmmb function to enable the use in sommer.

# Usage

```
spl2Dmats(
  x.coord.name,
  y.coord.name,
  data,
  at.name,
  at.levels,
  nsegments=NULL,
  minbound=NULL,
  maxbound=NULL,
  degree = c(3, 3),
  penaltyord = c(2,2),
  nestorder = c(1,1),
  method = "Lee"
)
```

# Arguments

x.coord.name A string. Gives the name of data element holding column locations.

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y.coord.name A string. Gives the name of data element holding row locations. A dataframe. Holds the dataset to be used for fitting. data name of a variable defining if the 2D spline matrices should be created at differat.name ent units (e.g., at different environments). at.levels a vector of names indicating which levels of the at.name variable should be used for fitting the 2D spline function. A list of length 2. Number of segments to split column and row ranges into, nsegments respectively (= number of internal knots + 1). If only one number is specified, that value is used in both dimensions. If not specified, (number of unique values - 1) is used in each dimension; for a grid layout (equal spacing) this gives a knot at each data value. minbound A list of length 2. The lower bound to be used for column and row dimensions respectively; default calculated as the minimum value for each dimension. A list of length 2. The upper bound to be used for column and row dimensions maxbound respectively; default calculated as the maximum value for each dimension. degree A list of length 2. The degree of polynomial spline to be used for column and row dimensions respectively; default=3. penaltyord A list of length 2. The order of differencing for column and row dimensions, respectively; default=2. nestorder A list of length 2. The order of nesting for column and row dimensions, respectively; default=1 (no nesting). A value of 2 generates a spline with half the number of segments in that dimension, etc. The number of segments in each direction must be a multiple of the order of nesting. A string. Method for forming the penalty; default="Lee" ie the penalty from method Lee, Durban & Eilers (2013, CSDA 61, 22-37). The alternative method is "Wood" ie. the method from Wood et al (2012, Stat Comp 23, 341-360). This option is a research tool and requires further investigation.

## Value

#### List of length 7 elements:

1. data = the input data frame augmented with structures required to fit tensor product splines in asreml-R. This data frame can be used to fit the TPS model.

#### Added columns:

- TP. col, TP. row = column and row coordinates
- TP.CxR = combined index for use with smooth x smooth term
- TP.C.n for n=1:diff.c = X parts of column spline for use in random model (where diff.c is the order of column differencing)
- TP.R.n for n=1:diff.r = X parts of row spline for use in random model (where diff.r is the order of row differencing)
- TP.CR.n for n=1:(diff.c\*diff.r) = interaction between the two X parts for use in fixed model. The first variate is a constant term which should be omitted from the model when the constant (1) is present. If all elements are included in the model then the constant term should be omitted, eg. y ~ -1 + TP.CR.1 + TP.CR.2 + TP.CR.3 + TP.CR.4 + other terms...

summary.mmec

• when asreml="grp" or "sepgrp", the spline basis functions are also added into the data frame. Column numbers for each term are given in the grp list structure.

```
    fR = Xr1:Zc
    fC = Xr2:Zc
    fR.C = Zr:Xc1
    R.fC = Zr:Xc2
    fR.fC = Zc:Zr
    all = Xr1:Zc | Xr2:Zc | Zr:Xc1 | Zr:Xc2 | Zc:Zr
```

## **Examples**

```
data("DT_cpdata")
DT <- DT_cpdata
GT <- GT_cpdata
MP <- MP_cpdata
#### create the variance-covariance matrix
A <- A.mat(GT) # additive relationship matrix

M <- spl2Dmats(x.coord.name = "Col", y.coord.name = "Row", data=DT, nseg =c(14,21))
head(M$data)
# m1g <- mmer(Yield~1+TP.CR.2+TP.CR.3+TP.CR.4,
# random=~Rowf+Colf+vsr(M$fC)+vsr(M$fR)+
# vsr(M$fC.R)+vsr(M$C.fR)+vsr(M$fC.fR)+
# vsr(id,Gu=A),
# data=M$data, tolpar = 1e-6,
# iters=30)
#
# summary(m1g)$varcomp</pre>
```

summary.mmec

summary form a GLMM fitted with mmec

## **Description**

```
summary method for class "mmec".
```

#### Usage

```
## S3 method for class 'mmec'
summary(object, ...)
```

# Arguments

```
object an object of class "mmec"
... Further arguments to be passed
```

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## Value

vector of summary

# Author(s)

Giovanny Covarrubias-Pazaran

# See Also

```
summary, mmec
```

summary.mmer

summary form a GLMM fitted with mmer

# Description

```
summary method for class "mmer".
```

# Usage

```
## S3 method for class 'mmer'
summary(object, ...)
```

# Arguments

object an object of class "mmer"
... Further arguments to be passed

## Value

vector of summary

# Author(s)

Giovanny Covarrubias-Pazaran

#### See Also

```
summary, mmer
```

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tpsmmbwrapper

Get Tensor Product Spline Mixed Model Incidence Matrices

### **Description**

tpsmmbwrapper is a wrapper of tpsmmb function from the TPSbits package to avoid version dependencies but if you're using this function for your research please cite the TPSbits package. This function is internally used by the spl2Dmatrices function to get Tensor-Product P-Spline Mixed Model Bits (design matrices) for use with sommer and its main function mmer.

#### Usage

```
tpsmmbwrapper(
  columncoordinates,
  rowcoordinates,
  data,
  nsegments=NULL,
  minbound=NULL,
  maxbound=NULL,
  degree = c(3, 3),
  penaltyord = c(2, 2),
  nestorder = c(1, 1),
  asreml = "mbf",
  eigenvalues = "include",
  method = "Lee",
  stub = NULL
)
```

#### **Arguments**

columncoordinates

A string. Gives the name of data element holding column locations.

rowcoordinates A string. Gives the name of data element holding row locations.

data A dataframe. Holds the dataset to be used for fitting.

nsegments A list of length 2. Number of segments to split column and row ranges into,

respectively (= number of internal knots + 1). If only one number is specified, that value is used in both dimensions. If not specified, (number of unique values - 1) is used in each dimension; for a grid layout (equal spacing) this gives a knot

at each data value.

minbound A list of length 2. The lower bound to be used for column and row dimensions

respectively; default calculated as the minimum value for each dimension.

maxbound A list of length 2. The upper bound to be used for column and row dimensions

respectively; default calculated as the maximum value for each dimension.

degree A list of length 2. The degree of polynomial spline to be used for column and

row dimensions respectively; default=3.

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penaltyord

A list of length 2. The order of differencing for column and row dimensions, respectively; default=2.

nestorder

A list of length 2. The order of nesting for column and row dimensions, respectively; default=1 (no nesting). A value of 2 generates a spline with half the number of segments in that dimension, etc. The number of segments in each direction must be a multiple of the order of nesting.

asreml

A string. Indicates the types of structures to be generated for use in asreml models; default "mbf". The appropriate eigenvalue scaling is included within the Z matrices unless setting scaling="none" is used, and then the scaling factors are supplied separately in the returned object.

- asreml="mbf" indicates the function should put the spline design matrices into structures for use with "mbf":
- asreml="grp" indicates the function should add the composite spline design matrices (eg. for second-order differencing, matrices Xr1:Zc, Xr2:Zc, Zr:Xc1, Zr:Xc2 and Zc:Zr) into the data frame and provide a group list structure for each term:
- asreml="sepgrp" indicates the function should generate the individual X and Z spline design matrices separately (ie. Xc, Xr, Zc and Zr), plus the smooth x smooth interaction term as a whole (ie. Zc:Zr), and provide a group list structure for each term.
- asreml="own" indicates the function should generate the composite matrix (Xr:Zc | Zr:Xc | Zc:Zr) as a single set of columns.

eigenvalues

A string. Indicates whether eigenvalues should be included within the Z design matrices eigenvalues="include", or whether this scaling should be omitted (eigenvalues="omit"); default eigenvalues="include". If the eigenvalue scaling is omitted from the Z design matrices, then it should instead be included in the model as a variance structure to obtain the correct TPspline model.

method

A string. Method for forming the penalty; default="Lee" ie the penalty from Lee, Durban & Eilers (2013, CSDA 61, 22-37). The alternative method is "Wood" ie. the method from Wood et al (2012, Stat Comp 23, 341-360). This option is a research tool and requires further investigation.

stub

A string. Stub to be attached to names in the mbf list to avoid over-writing structures and general confusion.

#### Value

List of length 7, 8 or 9 (according to the asreml and eigenvalues parameter settings).

1. data = the input data frame augmented with structures required to fit tensor product splines in asreml-R. This data frame can be used to fit the TPS model.

Added columns:

- TP. col, TP. row = column and row coordinates
- TP. CxR = combined index for use with smooth x smooth term
- TP.C.n for n=1:diff.c = X parts of column spline for use in random model (where diff.c is the order of column differencing)

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• TP.R.n for n=1:diff.r = X parts of row spline for use in random model (where diff.r is the order of row differencing)

- TP.CR.n for n=1:(diff.c\*diff.r) = interaction between the two X parts for use in fixed model. The first variate is a constant term which should be omitted from the model when the constant (1) is present. If all elements are included in the model then the constant term should be omitted, eg. y ~ -1 + TP.CR.1 + TP.CR.2 + TP.CR.3 + TP.CR.4 + other terms...
- when asreml="grp" or "sepgrp", the spline basis functions are also added into the data frame. Column numbers for each term are given in the grp list structure.
- 2. mbflist = list that can be used in call to asreml (so long as Z matrix data frames extracted with right names, eg BcZ<stub>.df)
- 3. BcZ.df = mbf data frame mapping onto smooth part of column spline, last column (labelled TP.col) gives column index
- 4. BrZ.df = mbf data frame mapping onto smooth part of row spline, last column (labelled TP.row) gives row index
- 5. BcrZ.df = mbf data frame mapping onto smooth x smooth term, last column (labelled TP.CxR) maps onto col x row combined index
- 6. dim = list structure, holding dimension values relating to the model:
  - (a) "diff.c" = order of differencing used in column dimension
  - (b) "nbc" = number of random basis functions in column dimension
  - (c) "nbcn" = number of nested random basis functions in column dimension used in smooth x smooth term
  - (d) "diff.r" = order of differencing used in column dimension
  - (e) "nbr" = number of random basis functions in column dimension
  - (f) "nbrn" = number of nested random basis functions in column dimension used in smooth x smooth term
- 7. trace = list of trace values for ZGZ' for the random TPspline terms, where Z is the design matrix and G is the known diagonal variance matrix derived from eigenvalues. This can be used to rescale the spline design matrix (or equivalently variance components).
- 8. grp = list structure, only added for settings asreml="grp", asreml="sepgrp" or asreml="own". For asreml="grp", provides column indexes for each of the 5 random components of the 2D splines. For asreml="sepgrp", provides column indexes for each of the X and Z component matrices for the 1D splines, plus the composite smooth x smooth interaction term. For asreml="own", provides column indexes for the composite random model. Dimensions of the components can be derived from the values in the dim item. The Z terms are scaled by the associated eigenvalues when eigenvalues="include", but not when eigenvalues="omit".
- 9. eigen = list structure, only added for option setting eigenvalues="omit". Holds the diagonal elements of the inverse variance matrix for the terms Xc:Zr (called diagr), Zc:Xr (called diagc) and Zc:Zr (called diagcr).

transformConstraints 147

transformConstraints transformConstraints

# Description

transformConstraints takes a list of matrices with constraints and transforms all the non-zero values to the value desired. The purpose of this function is to make easy the transformation of initial constraints to a fixed-constraint list to be provided to a mixed model fitted with the mmer function.

## Usage

```
transformConstraints(list0, value=1)
```

# Arguments

list0 a list of matrices with constraints according to the rules specified in the vsr

function (0: not to be estimated, 1: positive, 2:unconstrained, 3:fixed).

value value to be used to replace all the non-zero values in the constraint matrices.

## Value

**\$res** a list with the modified constraint matrices.

## Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

# See Also

The function vsr to know how to use transformConstraints in the mmer solver.

```
(a <- list(unsm(4), diag(4)))
transformConstraints(a, value=3)</pre>
```

148 transp

transp

Creating color with transparency

# Description

This function takes a color and returns the same with a certain alpha grade transparency.

## Usage

```
transp(col, alpha=0.5)
```

## **Arguments**

col Color to be used for transparency alpha Grade of transparency desired

## **Details**

No major details.

## Value

If arguments are correctly specified the function returns:

**\$res** A new color with certain grade of transparency

#### References

```
Robert J. Henry. 2013. Molecular Markers in Plants. Wiley-Blackwell. ISBN 978-0-470-95951-0. Ben Hui Liu. 1998. Statistical Genomics. CRC Press LLC. ISBN 0-8493-3166-8.
```

# See Also

The core functions of the package mmer

```
transp("red", alpha=0.5)
```

unsm 149

unsm

unstructured indication matrix

## **Description**

unsm creates a square matrix with ones in the diagonals and 2's in the off-diagonals to quickly specify an unstructured constraint in the Gtc argument of the vsr function.

## Usage

```
unsm(x, reps=NULL)
```

## **Arguments**

x integer specifying the number of traits to be fitted for a given random effect.

reps integer specifying the number of times the matrix should be repeated in a list

format to provide easily the constraints in complex models that use the ds(), us()

or cs() structures.

#### Value

**\$res** a matrix or a list of matrices with the constraints to be provided in the Gtc argument of the vsr function.

## Author(s)

Giovanny Covarrubias-Pazaran

## References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The function vsr to know how to use unsm in the mmer solver.

```
unsm(3)
unsm(3,2)
```

150 usc

usc

unstructured covariance structure

# Description

usc creates an unstructured covariance structure for specific levels of the random effect to be used with the mmec solver.

## Usage

```
usc(x, thetaC, theta)
```

# **Arguments**

x vector of observations for the random effect.

thetaC an optional matrix for constraints in the variance components.

theta an optional matrix for initial values of the variance components.

## Value

**\$res** a list with the provided vector and the variance covariance structure expected for the levels of the random effect.

## Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

## See Also

The function vsc to know how to use usc in the mmec solver.

```
x <- as.factor(c(1:5,1:5,1:5));x
usc(x)</pre>
```

usr 151

usr

unstructured covariance structure

# Description

usr creates an unstructured covariance structure for specific levels of the random effect to be used with the mmer solver.

# Usage

usr(x)

## **Arguments**

Χ

vector of observations for the random effect.

#### Value

**\$res** a list with the provided vector and the variance covariance structure expected for the levels of the random effect.

## Author(s)

Giovanny Covarrubias-Pazaran

# References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

# See Also

The function vsr to know how to use usr in the mmer solver.

```
x <- as.factor(c(1:5,1:5,1:5));x
usr(x)</pre>
```

152 vpredict

vpredict

vpredict form of a LMM fitted with mmer

## Description

vpredict method for class "mmer".

Post-analysis procedure to calculate linear combinations of variance components. Its intended use is when the variance components are either simple variances or are variances and covariances in an unstructured matrix. The functions covered are linear combinations of the variance components (for example, phenotypic variance), a ratio of two components (for example, heritabilities) and the correlation based on three components (for example, genetic correlation).

The calculations are based on the estimated variance parameters and their variance matrix as represented by the inverse of the Fisher or Average information matrix. Note that this matrix has zero values for fixed variance parameters including those near the parameter space boundary.

The transform is specified with a formula. On the left side of the formula is a name for the transformation. On the right side of the formula is a transformation specified with shortcut names like 'V1', 'V2', etc. The easiest way to identify these shortcut names is to use 'summary(object)\$varcomp'. The rows of this object can referred to with shortcuts 'V1', 'V2', etc. See the example below.

## Usage

```
vpredict(object, transform)
## S3 method for class 'mmer'
vpredict(object, transform)
```

## **Arguments**

object a model fitted with the mmer function.

transform a formula to calculate the function.

## **Details**

The delta method (e.g., Lynch and Walsh 1998, Appendix 1; Ver Hoef 2012) uses a Taylor series expansion to approximate the moments of a function of parameters. Here, a second-order Taylor series expansion is implemented to approximate the standard error for a function of (co)variance parameters. Partial first derivatives of the function are calculated by algorithmic differentiation with deriv.

Though vpredict can calculate standard errors for non-linear functions of (co)variance parameters from a fitted mmer model, it is limited to non-linear functions constructed by mathematical operations such as the arithmetic operators +, -, \*, / and ^, and single-variable functions such as exp and log. See deriv for more information.

vpredict 153

## Value

dd

the parameter and its standard error.

#### Author(s)

Giovanny Covarrubias

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Lynch, M. and B. Walsh 1998. Genetics and Analysis of Quantitative Traits. Sinauer Associates, Inc., Sunderland, MA, USA.

Ver Hoef, J.M. 2012. Who invented the delta method? The American Statistician 66:124-127. DOI: 10.1080/00031305.2012.687494

## See Also

```
vpredict, mmer
```

```
####==========####
####=========####
#### EXAMPLE 1
#### simple example with univariate models
####=======####
####=======####
# data(DT_cpdata)
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# #### create the variance-covariance matrix
# A <- A.mat(GT)
# #### look at the data and fit the model
# head(DT)
# mix1 <- mmer(Yield~1,</pre>
           random=~vsr(id,Gu=A),
           data=DT)
# summary(mix1)$varcomp
# #### run the vpredict function
# vpredict(mix1, h2 ~ V1 / ( V1 + V2 ) )
# ####======####
# ####======####
# #### EXAMPLE 2
# #### simple example with multivariate models
# ####=======####
# ####========####
# data(DT_cpdata)
```

154 vs

```
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# #### create the variance-covariance matrix
# A <- A.mat(GT)
# #### look at the data and fit the model
# head(DT)
# mix2 <- mmer(cbind(Yield,color)~1,</pre>
             random=~vsr(id,Gu=A, Gt=unsm(2)),
             rcov=~vsr(units, Gt=unsm(2)),
             data=DT)
# summary(mix2)$varcomp
# ## genetic correlation
# vpredict(mix2, gen.cor ~ V2 / sqrt(V1*V3))
# ####======####
# ####=======####
# #### EXAMPLE 3
# #### more complex multivariate model
# ####========####
# ####========####
# data(DT_btdata)
# DT <- DT_btdata
# mix3 <- mmer(cbind(tarsus, back) ~ sex,</pre>
             random = ~ vsr(dam, Gtc=unsm(2)) + vsr(fosternest,Gtc=diag(2)),
#
              rcov=~vsr(units,Gtc=unsm(2)),
#
              data = DT)
# summary(mix3)$varcomp
# #### calculate the genetic correlation
# vpredict(mix3, gen.cor ~ V2 / sqrt(V1*V3))
# ####=======####
# ####======####
# #### EXAMPLE 4
# #### going back to simple examples
# ####=======####
# ####=======####
# data(DT_btdata)
# DT <- DT_btdata
# mix4 <- mmer(tarsus ~ sex, random = ~ dam + fosternest,</pre>
              data = DT)
# summary(mix4)$varcomp
# #### calculate the ratio and its SE
# vpredict(mix4, dam.prop ~ V1 / ( V1 + V2 + V3 ) )
```

vs 155

## **Description**

vs is the main function to build the variance-covariance structure for the random effects to be fitted in the mmer solver.

#### Usage

```
vs(..., Gu=NULL, Gti=NULL, Gtc=NULL, reorderGu=TRUE, buildGu=TRUE)
```

#### **Arguments**

. . .

variance structure to be specified following the logic desired in the internal kronecker product. For example, if user wants to define a diagonal variance structure for the random effect 'genotypes'(g) with respect to a random effect 'environments'(e), this is:

being G. e a matrix containing the variance covariance components for g (genotypes) in each level of e (environments), I.g is the covariance among levels of g (genotypes; i.e. relationship matrix), and @ is the kronecker product. This would be specified in the mmer solver as:

```
random=~vs(dsr(e),g)
```

One strength of sommer is the ability to specify very complex structures with as many kronecker products as desired. For example:

```
var(g) = G.e @ G.f @ G.h @ I.g
```

is equivalent to

random=~vs(e,f,h,g)

where different covariance structures can be applied to the levels of e,f,h (i.e. dsr, usr, csr, atr or a combination of these). For more examples please see the vignettes 'sommer.start' available in the package.

Gu

matrix with the known variance-covariance values for the levels of the u.th random effect (i.e. relationship matrix among individuals or any other known covariance matrix). If NULL, then an identity matrix is assumed. The Gu matrix can have more levels than the ones present in the random effect linked to it but not the other way around. Otherwise, an error message of missing level in Gu will be returned.

Gti

matrix with dimensions t x t (t equal to number of traits) with initial values of the variance-covariance components for the random effect specified in the .... argument. If NULL the program will provide the initial values. The values need to be scaled, see Details section.

Gtc

matrix with dimensions t x t (t equal to number of traits) of constraints for the variance-covariance components for the random effect specified in the ... argument according to the following rules:

0: not to be estimated

1: estimated and constrained to be positive (i.e. variance component)

2: estimated and unconstrained (can be negative or positive, i.e. covariance component)

3: not to be estimated but fixed (value has to be provided in the Gti argument)

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In the multi-response scenario if the user doesn't specify this argument the default is to build an unstructured matrix (using the unsm() function). This argument needs to be used wisely since some covariance among responses may not make sense. Useful functions to specify constraints are; diag(), unsm(), fixm().

reorderGu a TRUE/FALSE statement if the Gu matrix should be reordered based on the

names of the design matrix of the random effect or passed with the custom order of the user. This may be important when fitting covariance components in a

customized fashion. Only for advanced users.

buildGu a TRUE/FALSE statement to indicate if the Gu matrix should be built in R when

the value for the argument Gu=NULL. Repeat, only when when the value for the argument Gu is equal to NULL. In some cases when the incidence matrix is wide (e.g. rrBLUP models) the covariance structure is a huge  $p \times p$  matrix that can be avoided when performing matrix operations. By setting this argument to FALSE

it allows to skip forming this covariance matrix.

## **Details**

m = x/var(y)

When providing initial values in the Gti argument the user has to provide scaled variance component values. The user can provide values from a previous model by accessing the sigma\_scaled output from an mmer model or if an specific value is desired the user can obtain the scaled value as:

where x is the desired initial value and y is the response variable. You can find an example in the DT\_cpdata dataset.

# Value

**\$res** a list with all neccesary elements (incidence matrices, known var-cov structures, unknown covariance structures to be estimated and constraints) to be used in the mmer solver.

#### Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Covarrubias-Pazaran G (2018) Software update: Moving the R package sommer to multivariate mixed models for genome-assisted prediction. doi: https://doi.org/10.1101/354639

## See Also

The core function of the package: mmer

## **Examples**

# Please use the function vsr() for mmer() and vsc() for mmec.

vsc 157

vsc

variance structure specification

## **Description**

vsc is the main function to build the variance-covariance structure for the random effects to be fitted in the mmec solver.

## Usage

```
vsc(..., Gu=NULL, buildGu=TRUE, meN=1, meTheta=NULL, meThetaC=NULL, sp=FALSE)
```

# **Arguments**

. . .

variance structure to be specified following the logic desired in the internal kronecker product. For example, if user wants to define a diagonal variance structure for the random effect 'genotypes'(g) with respect to a random effect 'environments'(e), this is:

being G.e a matrix containing the variance covariance components for g (genotypes) in each level of e (environments), I.g is the covariance among levels of g (genotypes; i.e. relationship matrix), and @ is the kronecker product. This would be specified in the mmec solver as:

```
random=~vsc(dsc(e),g)
```

One strength of sommer is the ability to specify very complex structures with as many kronecker products as desired. For example:

```
var(g) = G.e @ G.f @ G.h @ I.g
```

is equivalent to

random=~vsc(e,f,h,g)

where different covariance structures can be applied to the levels of e,f,h (i.e. dsc, usc, csc, atr or a combination of these). For more examples please see the vignettes 'sommer.start' available in the package.

Gu

matrix with the inverse of a known variance-covariance values for the levels of the u.th random effect (e.g., the inverse of a relationship matrix among individuals or any other known inverse covariance matrix). If NULL, then an identity matrix is assumed. The Gu matrix can have more levels than the ones present in the random effect linked to it but not the other way around. Otherwise, an error message of missing level in Gu will be returned.

buildGu

a TRUE/FALSE statement to indicate if the Gu matrix should be built in R when the value for the argument Gu=NULL. Repeat, only when when the value for the argument Gu is equal to NULL. In some cases when the incidence matrix is wide (e.g. rrBLUP models) the covariance structure is a huge p x p matrix that can be avoided when performing matrix operations. By setting this argument to FALSE it allows to skip forming this covariance matrix.

158 vsc

meN number of main effects in the variance structure. Is always counted from last to

first.

meTheta variance covariance matrix between the main effects desired.

meThetaC constraints for the variance covariance matrix between the main effects desired.

sp a TRUE/FALSE statement to indicate if the VC from this structure should be

multiplied by the scale parameter added in the mmec function through the addScaleParam

argument.

## **Details**

•••

#### Value

**\$res** a list with all neccesary elements (incidence matrices, known var-cov structures, unknown covariance structures to be estimated and constraints) to be used in the mmec solver.

## Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Covarrubias-Pazaran G (2018) Software update: Moving the R package sommer to multivariate mixed models for genome-assisted prediction. doi: https://doi.org/10.1101/354639

#### See Also

The core function of the package: mmec

```
data=DT)
## example to without structure but
## using covariance among levels in the
## random effect Name
## ======= ##
Ai <- as(solve(A + diag(1e-4,ncol(A),ncol(A))), Class="dgCMatrix")
mix <- mmec(Yield~Env,</pre>
          random= ~ vsc(isc(Name), Gu=Ai),
          rcov=~ units,
          nIters=3,
          data=DT)
summary(mix)$varcomp
## ======= ##
## example to use dsc() structure (DIAGONAL)
## ====== ##
dsc(DT$Year)
mix <- mmec(Yield~Env,</pre>
          random= ~ vsc(dsc(Year),isc(Name)),
          rcov=~ vsc(dsc(Year),isc(units)),
          nIters=3,
          data=DT)
summary(mix)$varcomp
## ======= ##
## example to use atc() structure (level-specific)
## ====== ##
# unique(DT$Year)
# mix <- mmec(Yield~Env,</pre>
            random= ~ vsc(atc(Year,c("2011","2012")),isc(Name)),
#
#
            rcov=~ vsc(dsc(Year),isc(units)),
            data=DT)
## ======= ##
## example to use usc() structure (UNSTRUCTURED)
## ======= ##
usc(DT$Year)
mix <- mmec(Yield~Env,</pre>
          random= ~ vsc(usc(Year),isc(Name)),
          rcov=~ vsc(dsc(Year),isc(units)),
          nIters = 3,
          data=DT)
```

vsr

variance structure specification

## **Description**

vsr is the main function to build the variance-covariance structure for the random effects to be fitted in the mmer solver.

## Usage

```
vsr(..., Gu=NULL, Gti=NULL, Gtc=NULL, reorderGu=TRUE, buildGu=TRUE)
```

## **Arguments**

. . .

variance structure to be specified following the logic desired in the internal kronecker product. For example, if user wants to define a diagonal variance structure for the random effect 'genotypes'(g) with respect to a random effect 'environments'(e), this is:

$$var(g) = G.e@I.g$$

being G. e a matrix containing the variance covariance components for g (genotypes) in each level of e (environments), I.g is the covariance among levels of g (genotypes; i.e. relationship matrix), and @ is the kronecker product. This would be specified in the mmer solver as:

```
random=~vsr(dsr(e),g)
```

One strength of sommer is the ability to specify very complex structures with as many kronecker products as desired. For example:

```
var(g) = G.e@G.f@G.h@I.g
```

is equivalent to

random=~vsr(e,f,h,g)

where different covariance structures can be applied to the levels of e, f, h (i.e. dsr, usr, csr, atr or a combination of these). For more examples please see the vignettes 'sommer.start' available in the package.

Gu

matrix with the known variance-covariance values for the levels of the u.th random effect (i.e. relationship matrix among individuals or any other known covariance matrix). If NULL, then an identity matrix is assumed. The Gu matrix can have more levels than the ones present in the random effect linked to it but not the other way around. Otherwise, an error message of missing level in Gu will be returned.

Gti

matrix with dimensions t x t (t equal to number of traits) with initial values of the variance-covariance components for the random effect specified in the .... argument. If NULL the program will provide the initial values. The values need to be scaled, see Details section.

Gtc

matrix with dimensions t x t (t equal to number of traits) of constraints for the variance-covariance components for the random effect specified in the ... argument according to the following rules:

0: not to be estimated

1: estimated and constrained to be positive (i.e. variance component)

2: estimated and unconstrained (can be negative or positive, i.e. covariance component)

3: not to be estimated but fixed (value has to be provided in the Gti argument)

In the multi-response scenario if the user doesn't specify this argument the default is to build an unstructured matrix (using the unsm() function). This argument needs to be used wisely since some covariance among responses may not make sense. Useful functions to specify constraints are; diag(), unsm(), fixm().

reorderGu a TRUE/FALSE statement if the Gu matrix should be reordered based on the

names of the design matrix of the random effect or passed with the custom order of the user. This may be important when fitting covariance components in a

customized fashion. Only for advanced users.

buildGu a TRUE/FALSE statement to indicate if the Gu matrix should be built in R when

the value for the argument Gu=NULL. Repeat, only when when the value for the argument Gu is equal to NULL. In some cases when the incidence matrix is wide (e.g. rrBLUP models) the covariance structure is a huge p x p matrix that can be avoided when performing matrix operations. By setting this argument to FALSE

it allows to skip forming this covariance matrix.

#### **Details**

When providing initial values in the Gti argument the user has to provide scaled variance component values. The user can provide values from a previous model by accessing the sigma\_scaled output from an mmer model or if an specific value is desired the user can obtain the scaled value as:

```
m = x/var(y)
```

where x is the desired initial value and y is the response variable. You can find an example in the DT\_cpdata dataset.

## Value

**\$res** a list with all neccesary elements (incidence matrices, known var-cov structures, unknown covariance structures to be estimated and constraints) to be used in the mmer solver.

## Author(s)

Giovanny Covarrubias-Pazaran

#### References

Covarrubias-Pazaran G (2016) Genome assisted prediction of quantitative traits using the R package sommer. PLoS ONE 11(6): doi:10.1371/journal.pone.0156744

Covarrubias-Pazaran G (2018) Software update: Moving the R package sommer to multivariate mixed models for genome-assisted prediction. doi: https://doi.org/10.1101/354639

#### See Also

The core function of the package: mmer

```
## ====== ##
dsr(DT$Year)
mix <- mmer(Yield~Env,</pre>
          random= ~ vsr(Name),
          rcov=~ vsr(units),
          data=DT)
## ====== ##
## example to without structure but
## using covariance among levels in the
## random effect Name
## ====== ##
dsr(DT$Year)
mix <- mmer(Yield~Env,</pre>
          random= ~ vsr(Name, Gu=A),
          rcov=~ vsr(units),
          data=DT)
## ====== ##
## example to use dsr() structure (DIAGONAL)
## ====== ##
dsr(DT$Year)
mix <- mmer(Yield~Env,</pre>
          random= ~ vsr(dsr(Year),Name),
          rcov=~ vsr(dsr(Year),units),
          data=DT)
## ======= ##
## example to use atr() structure (level-specific)
## ====== ##
unique(DT$Year)
mix <- mmer(Yield~Env,</pre>
          random= ~ vsr(atr(Year,c("2011","2012")),Name),
          rcov=~ vsr(dsr(Year),units),
          data=DT)
## ======= ##
## example to use usr() structure (UNSTRUCTURED)
## ====== ##
usr(DT$Year)
mix <- mmer(Yield~Env,</pre>
          random= ~ vsr(usr(Year),Name),
          rcov=~ vsr(dsr(Year),units),
          data=DT)
## ====== ##
## example to use csr() structure (CUSTOMIZED)
## ====== ##
unique(DT$Year)
mm \leftarrow matrix(1,3,3); mm[1,3] \leftarrow mm[3,1] \leftarrow 0; mm \#don't estimate cov 2011-2013
mix <- mmer(Yield~Env,</pre>
          random= ~ vsr(csr(Year,mm),Name),
          rcov=~ vsr(dsr(Year),units),
```

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data=DT)

```
## example to use overlay() + vsr() structure
## ======= ##
data("DT_halfdiallel")
DT <- DT_halfdiallel
head(DT)
DT$femalef <- as.factor(DT$female)</pre>
DT$malef <- as.factor(DT$male)</pre>
DT$genof <- as.factor(DT$geno)</pre>
A <- diag(7); colnames(A) <- rownames(A) <- 1:7; A # if you want to provide a covariance matrix
#### model using overlay
modh <- mmer(sugar~1,</pre>
             random=~vsr(overlay(femalef,malef,sparse=FALSE), Gu=A)
                     + genof,
              data=DT)
## ======= ##
## example to use vsr() + dsr() + spl2D() structure
## ====== ##
# ### mimic two fields
# data(DT_cpdata)
# DT <- DT_cpdata
# GT <- GT_cpdata
# MP <- MP_cpdata
# aa <- DT; bb <- DT
# aa$FIELD <- "A";bb$FIELD <- "B"</pre>
# set.seed(1234)
# aa$Yield <- aa$Yield + rnorm(length(aa$Yield),0,4)</pre>
# DT2 <- rbind(aa,bb)</pre>
# head(DT2)
# mix <- mmer(Yield~1,</pre>
             random=~vsr(dsr(FIELD),id, Gu=A) +
                vsr(dsr(FIELD),Rowf) +
                vsr(dsr(FIELD),Colf) +
                  vsr(dsr(FIELD),spl2D(Row,Col)),
              rcov=~vsr(dsr(FIELD),units),
              data=DT2)
```

wald.test

Wald Test for Model Coefficients

#### **Description**

Computes a Wald  $\chi^2$  test for 1 or more coefficients, given their variance-covariance matrix.

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# Usage

## **Arguments**

Sigma	A var-cov matrix, usually extracted from one of the fitting functions (e.g., $lm$ , $glm$ ,).
b	A vector of coefficients with var-cov matrix Sigma. These coefficients are usually extracted from one of the fitting functions available in $R$ (e.g., $lm$ , $glm$ ,).
Terms	An optional integer vector specifying which coefficients should be <i>jointly</i> tested, using a Wald $\chi^2$ or $F$ test. Its elements correspond to the columns or rows of the var-cov matrix given in Sigma. Default is NULL.
L	An optional matrix conformable to b, such as its product with b i.e., L %*% b gives the linear combinations of the coefficients to be tested. Default is NULL.
НØ	A numeric vector giving the null hypothesis for the test. It must be as long as Terms or must have the same number of columns as L. Default to 0 for all the coefficients to be tested.
df	A numeric vector giving the degrees of freedom to be used in an $F$ test, i.e. the degrees of freedom of the residuals of the model from which b and Sigma were fitted. Default to NULL, for no $F$ test. See the section <b>Details</b> for more information.
verbose	A logical scalar controlling the amount of output information. The default is FALSE, providing minimum output.
x	Object of class "wald.test"
digits	Number of decimal places for displaying test results. Default to 2.
	Additional arguments to print.

#### **Details**

The key assumption is that the coefficients asymptotically follow a (multivariate) normal distribution with mean = model coefficients and variance = their var-cov matrix.

One (and only one) of Terms or L must be given. When L is given, it must have the same number of columns as the length of b, and the same number of rows as the number of linear combinations of coefficients. When df is given, the  $\chi^2$  Wald statistic is divided by m = the number of linear combinations of coefficients to be tested (i.e., length(Terms) or nrow(L)). Under the null hypothesis H0, this new statistic follows an F(m,df) distribution.

#### Value

An object of class wald.test, printed with print.wald.test.

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## References

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